

Canada Gazette

Part I



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Partie I

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GOVERNMENT HOUSE**AWARDS TO CANADIANS**

The Chancellery of Honours announces that the Government of Canada has approved the following awards to Canadians:

- From the Government of the Republic of Austria
Grand Decoration of Honour for Services to the Republic of Austria to
Mr. Kurt Yakov Tutter
- From the Government of the Republic of Finland
Commander of the Order of the Lion of Finland to
The Honourable Eugene P. Rossiter
- From the Government of the French Republic
Knight of the National Order of the Legion of Honour to
Dr. Mona Nemer, C.M., C.Q.
Officer of the Order of Arts and Letters to
Mr. Normand Charbonneau
- From the Government of the Republic of Korea
Order of Civil Merit of the Republic of Korea to
Mr. Guy Black
- From the Government of the Republic of Poland
Officer's Cross of the Order of Merit of the Republic of Poland to
Mr. Juliusz Kirejczyk
Cross of Freedom and Solidarity to
Mr. Zbigniew Labeledzki (posthumous)
Gold Cross of Merit to
Ms. Anna Barycka
Silver Cross of Merit to
Ms. Anna Czyzo
Long Marital Life Medal to
Mrs. Zdzislawa Kazimiera Dziewierz
Mr. Zdzislaw Piotr Dziewierz
Mrs. Barbara Genowefa Kusilo
Mr. Andrzej Grzegorz Kusilo
Mrs. Irena Urbanowicz
Mr. Jan Jozef Urbanowicz
- From the Government of the United Kingdom
Officer of the Most Excellent Order of the British Empire to
Ms. Emer Timmons, O.B.E.
British Empire Medal to
Ms. Nancy Southern, B.E.M.
Ms. Linda Southern-Heathcott, B.E.M.

Marc Thériault

Deputy Secretary and Deputy Herald Chancellor

RÉSIDENCE DU GOUVERNEUR GÉNÉRAL**DÉCORATIONS À DES CANADIENS**

La Chancellerie des distinctions honorifiques annonce que le gouvernement du Canada a approuvé l'octroi des distinctions honorifiques suivantes à des Canadiens :

- Du gouvernement de la République d'Autriche
Grande décoration d'honneur pour services rendus à la République d'Autriche à
M. Kurt Yakov Tutter
- Du gouvernement de la République de Finlande
Commandeur de l'Ordre du Lion de Finlande à
L'honorable Eugene P. Rossiter
- Du gouvernement de la République française
Chevalier de l'Ordre national de la Légion d'honneur à
M^{me} Mona Nemer, C.M., C.Q.
Officier de l'Ordre des Arts et des lettres à
M. Normand Charbonneau
- Du gouvernement de la République de Corée
Ordre du Mérite civile de la République de Corée à
M. Guy Black
- Du gouvernement de la République de Pologne
Croix d'officier de l'Ordre de mérite de la République de la Pologne à
M. Juliusz Kirejczyk
Croix de liberté et de solidarité à
M. Zbigniew Labeledzki (à titre posthume)
Croix d'or du Mérite à
M^{me} Anna Barycka
Croix d'argent du Mérite à
M^{me} Anna Czyzo
Médaille pour vie commune de longue durée à
M^{me} Zdzislawa Kazimiera Dziewierz
M. Zdzislaw Piotr Dziewierz
M^{me} Barbara Genowefa Kusilo
M. Andrzej Grzegorz Kusilo
M^{me} Irena Urbanowicz
M. Jan Jozef Urbanowicz
- Du gouvernement du Royaume-Uni
Officier de l'Ordre très excellent de l'Empire britannique à
M^{me} Emer Timmons, O.B.E.
Médaille de l'Empire britannique à
M^{me} Nancy Southern, B.E.M.
M^{me} Linda Southern-Heathcott, B.E.M.

Le sous-secrétaire et vice-chancelier d'armes

Marc Thériault

GOVERNMENT NOTICES**DEPARTMENT OF THE ENVIRONMENT****CANADIAN ENVIRONMENTAL PROTECTION ACT, 1999***Order 2020-87-15-02 Amending the Non-domestic Substances List*

Whereas, pursuant to subsection 87(5) of the *Canadian Environmental Protection Act, 1999*^a, the Minister of the Environment has added the substances referred to in the annexed Order to the *Domestic Substances List*^b;

Therefore, the Minister of the Environment, pursuant to subsection 87(5) of the *Canadian Environmental Protection Act, 1999*^a, makes the annexed *Order 2020-87-15-02 Amending the Non-domestic Substances List*.

Gatineau, December 10, 2020

Jonathan Wilkinson
Minister of the Environment

Order 2020-87-15-02 Amending the Non-domestic Substances List**Amendment**

1 Part I of the *Non-domestic Substances List*¹ is amended by deleting the following:

3084-40-0

31727-13-6

Coming into Force

2 This Order comes into force on the day on which *Order 2020-87-15-01 Amending the Domestic Substances List* comes into force.

AVIS DU GOUVERNEMENT**MINISTÈRE DE L'ENVIRONNEMENT****LOI CANADIENNE SUR LA PROTECTION DE L'ENVIRONNEMENT (1999)***Arrêté 2020-87-15-02 modifiant la Liste extérieure*

Attendu que, conformément au paragraphe 87(5) de la *Loi canadienne sur la protection de l'environnement (1999)*^a, le ministre de l'Environnement a inscrit sur la *Liste intérieure*^b les substances visées par l'arrêté ci-après,

À ces causes, en vertu du paragraphe 87(5) de la *Loi canadienne sur la protection de l'environnement (1999)*^a, le ministre de l'Environnement prend l'*Arrêté 2020-87-15-02 modifiant la Liste extérieure*, ci-après.

Gatineau, le 10 décembre 2020

Le ministre de l'Environnement
Jonathan Wilkinson

Arrêté 2020-87-15-02 modifiant la Liste extérieure**Modification**

1 La partie 1 de la *Liste extérieure*¹ est modifiée par radiation de ce qui suit :

3084-40-0

31727-13-6

Entrée en vigueur

2 Le présent arrêté entre en vigueur à la date d'entrée en vigueur de l'*Arrêté 2020-87-15-01 modifiant la Liste intérieure*.

^a S.C. 1999, c. 33

^b SOR/94-311

¹ Supplement, *Canada Gazette*, Part I, January 31, 1998

^a L.C. 1999, ch. 33

^b DORS/94-311

¹ Supplément, *Partie I de la Gazette du Canada*, 31 janvier 1998

DEPARTMENT OF THE ENVIRONMENT**DEPARTMENT OF HEALTH****CANADIAN ENVIRONMENTAL PROTECTION ACT, 1999**

Publication of final decision after screening assessment of eight substances in the Used and Re-refined Oils Group specified on the Domestic Substances List (subsection 77(6) of the Canadian Environmental Protection Act, 1999)

Whereas the eight substances identified in the annex below are substances identified under subsection 73(1) of the *Canadian Environmental Protection Act, 1999*;

Whereas a summary of the screening assessment conducted on these substances pursuant to section 74 of the Act is annexed hereby;

And whereas it is concluded that the substances do not meet any of the criteria set out in section 64 of the Act,

Notice therefore is hereby given that the Minister of the Environment and the Minister of Health (the ministers) propose to take no further action on these substances at this time under section 77 of the Act.

Jonathan Wilkinson

Minister of the Environment

Patty Hajdu

Minister of Health

ANNEX**Summary of the screening assessment of the Used and Re-refined Oils Group**

Pursuant to section 74 of the *Canadian Environmental Protection Act, 1999* (CEPA), the Minister of the Environment and the Minister of Health have conducted a screening assessment of eight of nine substances referred to collectively under the Chemicals Management Plan as the Used and Re-refined Oils Group. Substances in this group were identified as priorities for assessment as they met categorization criteria under subsection 73(1) of CEPA.

MINISTÈRE DE L'ENVIRONNEMENT**MINISTÈRE DE LA SANTÉ****LOI CANADIENNE SUR LA PROTECTION DE L'ENVIRONNEMENT (1999)**

Publication de la décision finale après évaluation préalable de huit substances du groupe des huiles usées et régénérées inscrites sur la Liste intérieure [paragraphe 77(6) de la Loi canadienne sur la protection de l'environnement (1999)]

Attendu que les huit substances énoncées dans l'annexe ci-dessous sont des substances qui satisfont aux critères du paragraphe 73(1) de la *Loi canadienne sur la protection de l'environnement (1999)*;

Attendu qu'un résumé de l'ébauche d'évaluation préalable qui a été réalisée sur ces substances en application de l'article 74 de la Loi est ci-annexé;

Attendu qu'il est conclu que ces substances ne satisfont à aucun des critères de l'article 64 de la Loi,

Avis est par les présentes donné que le ministre de l'Environnement et la ministre de la Santé (les ministres) proposent de ne rien faire pour le moment à l'égard de ces substances en vertu de l'article 77 de la Loi.

Le ministre de l'Environnement

Jonathan Wilkinson

La ministre de la Santé

Patty Hajdu

ANNEXE**Résumé de l'évaluation préalable du groupe des huiles usées et régénérées**

En vertu de l'article 74 de la *Loi canadienne sur la protection de l'environnement (1999)* [LCPE], le ministre de l'Environnement et la ministre de la Santé ont réalisé l'évaluation préalable de huit des neuf substances appelées collectivement « groupe des huiles usées et régénérées », dans le cadre du Plan de gestion des produits chimiques. Ces substances font partie de celles qui ont été jugées d'intérêt prioritaire en vue d'une évaluation, car elles satisfont aux critères de catégorisation du paragraphe 73(1) de la LCPE.

The ninth substance, lubricant oils, used (CAS RN¹ 70514-12-4), was found to meet the broad classification of used crankcase oils, and is considered to have been addressed through the [Priority Substances List Assessment Report of Waste/Used Crankcase Oil](#) in 1994 and the [follow-up report](#) in 2005. This substance will not be subject to further risk assessment work at this time under the Chemicals Management Plan (CMP) given previous regulatory activities. Accordingly, this screening assessment addresses the eight substances listed in the table below, which will hereinafter be referred to as the Used and Re-refined Oils Group. The Chemical Abstracts Service Registry Number (CAS RN), the *Domestic Substances List* (DSL) names, and the simplified names of these substances are listed in the table below.

Substances in the Used and Re-refined Oils Group

CAS RN ^a	DSL name	Simplified name
68476-77-7	Lubricating oils, refined used	Refined used oil
92045-41-5	Lubricant oils, used, vacuum distd.	Used vacuum gas oil
125471-97-8	Lubricating oils (petroleum), hydrotreated, used, distd., residues	Hydrotreated used residue
129566-94-5	Hydrocarbons, C ₁₂₋₂₅ , dehydrated used lubricating oil distillates	C ₁₂₋₂₅ used distillate
129893-17-0	Lubricant oils, used, residues	Used oil residue
129893-18-1	Lubricating oils, used, vacuum distd., clay-treated	Clay-treated used oil
132538-91-1	Lubricant oils, used, distd., C ₅₋₁₈ fraction	C ₅₋₁₈ used distillate
132538-93-3	Lubricant oils, used, distd., light oil	Used light oil

^a All CAS RNs are UVCB substances (substances of unknown or variable composition, complex reaction products, or biological materials).

La neuvième substance, les huiles lubrifiantes (NE CAS¹ 70514-12-4), a été jugée conforme à la classification générale des huiles moteur usées et est considérée comme ayant été traitée dans le [rapport d'évaluation Huiles moteur usées – LSIP1](#) en 1994 et le [rapport de suivi](#) en 2005. Cette substance ne fera pas l'objet d'autres travaux d'évaluation des risques pour le moment dans le cadre du PGPC, compte tenu des activités réglementaires antérieures. Par conséquent, la présente évaluation préalable porte sur les huit substances énumérées dans le tableau ci-dessous, substances qui seront désignées ci-après par l'appellation « groupe des huiles usées et régénérées ». Le numéro d'enregistrement du Chemical Abstracts Service (NE CAS), le nom sur la *Liste intérieure* (LI) et le nom commun de ces substances figurent dans le tableau ci-dessous.

Substances du groupe des huiles usées et régénérées

NE CAS ^a	Nom sur la LI	Nom commun
68476-77-7	Huiles lubrifiantes usées, raffinées	Huiles usées raffinées
92045-41-5	Huiles lubrifiantes usées distillées sous vide	Huiles usées distillées sous vide
125471-97-8	Résidus de distillation de graisses lubrifiantes de pétrole, hydrotraitées, usées	Résidus d'huiles usées hydrotraitées
129566-94-5	Hydrocarbures en C ₁₂₋₂₅ , distillats d'huile lubrifiante usée déshydratée	Distillat d'huiles usées en C ₁₂₋₂₅
129893-17-0	Résidus d'huiles lubrifiantes usées	Résidus d'huiles usées
129893-18-1	Huiles lubrifiantes usées, distillées sous vide, traitées à l'argile	Huiles usées traitées à l'argile
132538-91-1	Huiles lubrifiantes usées, distillées, fraction C ₅₋₁₈	Distillat d'huiles usées en C ₅₋₁₈
132538-93-3	Huiles lubrifiantes usées et distillées, huile légère	Huiles usées légères

^a Toutes ces substances ayant un NE CAS sont des substances UVCB (substances de composition inconnue ou variable, produits de réaction complexes, ou matières biologiques).

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The Used and Re-refined Oils Group includes used oil and substances produced during the reprocessing and re-refining process. Used oil refers to all used lubricant oils that are collected, transported, and stored. Used oil residue applies to a non-volatile residual stream generated as a by-product of re-refining and reprocessing operations. Refined used oil and clay-treated used oil are base oils with commercial and industrial applications, and are the end product of the re-refining process. The remaining substances are intermediate lubricant oils generated as by-products during the re-refining and/or reprocessing operations and have various industrial applications.

The substances in the Used and Re-refined Oils Group have similar physical and chemical properties and uses to the used crankcase oils, asphalts, base oils, and other substances that have been previously assessed under CEPA. Exposures from these uses were characterized in those assessments. No environmental and general population exposures of these eight substances, beyond those that were previously assessed, are expected.

Considering all available lines of evidence presented in this screening assessment, there is a low risk of harm to the environment from used oil residue, used vacuum gas oil, C₁₂₋₂₅ used distillate, C₅₋₁₈ used distillate, used light oil, hydrotreated used residue, refined used oil and clay-treated used oil. It is concluded that used oil residue, used vacuum gas oil, C₁₂₋₂₅ used distillate, C₅₋₁₈ used distillate, used light oil, hydrotreated used residue, refined used oil and clay-treated used oil do not meet the criteria under paragraph 64(a) or (b) of CEPA as they are not entering the environment in a quantity or concentration or under conditions that have or may have an immediate or long-term harmful effect on the environment or its biological diversity or that constitute or may constitute a danger to the environment on which life depends.

On the basis of the information presented in this screening assessment, exposures to used oil residue, used vacuum gas oil, C₁₂₋₂₅ used distillate, C₅₋₁₈ used distillate, used light oil, hydrotreated used residue, refined used oil and clay-treated used oil for the general population are not expected. These substances are used as industrial intermediates and do not appear in products available to consumers. It is concluded that used oil residue, used vacuum gas oil, C₁₂₋₂₅ used distillate, C₅₋₁₈ used distillate, used light oil, hydrotreated used residue, refined used oil and clay-treated used oil do not meet the criteria under paragraph 64(c) of CEPA as they are not entering the

Le groupe des huiles usées et régénérées comprend des huiles usées et des substances produites pendant le procédé de retraitement et de régénération. Le terme huiles usées désigne toutes les huiles lubrifiantes collectées, transportées et stockées. Le terme résidus d'huiles usées s'applique au flux de résidus non volatils générés comme sous-produit des opérations de régénération et de retraitement. Les huiles usées raffinées et les huiles usées traitées à l'argile sont des huiles de base pour lesquelles il existe des applications commerciales ou industrielles, et elles constituent le produit final du procédé de régénération. Les autres substances sont des huiles lubrifiantes intermédiaires générées comme sous-produits pendant les opérations de régénération et/ou de retraitement, pour lesquelles il existe diverses applications industrielles.

Les substances du groupe des huiles usées et régénérées ont des propriétés physiques et chimiques et des utilisations similaires à celles des huiles moteur usées, des asphaltes, des huiles de base et d'autres substances qui ont été précédemment évaluées en vertu de la LCPE. Les expositions résultant de ces utilisations ont été caractérisées dans ces évaluations. Aucune exposition de l'environnement et de la population générale à ces huit substances, hormis celles qui ont été précédemment évaluées, n'est prévue.

Compte tenu de tous les éléments de preuve contenus dans la présente évaluation préalable, les résidus d'huiles usées, les huiles usées distillées sous vide, les distillats d'huiles usées en C₁₂₋₂₅, les distillats d'huiles usées en C₅₋₁₈, les huiles usées légères, les résidus d'huiles usées hydrotraitées, les huiles usées raffinées et les huiles usées traitées à l'argile présentent un faible risque d'effets nocifs sur l'environnement. Il est conclu qu'aucune de ces substances ne satisfait aux critères énoncés aux alinéas 64a) ou 64b) de la LCPE, car elles ne pénètrent pas dans l'environnement en une quantité ou concentration ou dans des conditions de nature à avoir, immédiatement ou à long terme, un effet nocif sur l'environnement ou sur la diversité biologique, ou à mettre en danger l'environnement essentiel pour la vie.

À la lumière des renseignements présentés dans la présente ébauche d'évaluation, aucune exposition de la population générale aux résidus d'huiles usées, aux huiles usées distillées sous vide, aux distillats d'huiles usées en C₁₂₋₂₅, aux distillats d'huiles usées en C₅₋₁₈, aux huiles usées légères, aux résidus d'huiles usées hydrotraitées, aux huiles usées raffinées ou aux huiles usées traitées à l'argile ne devrait avoir lieu. Ces substances sont utilisées comme intermédiaires industriels et ne sont pas présentes dans des produits de consommation. Il est conclu qu'aucune de ces substances ne satisfait à l'alinéa 64c) de la LCPE, car elles ne pénètrent pas dans l'environnement en une

environment in a quantity or concentration or under conditions that constitute or may constitute a danger in Canada to human life or health.

Overall conclusion

Therefore, it is concluded that used oil residue, used vacuum gas oil, C₁₂₋₂₅ used distillate, C₅₋₁₈ used distillate, used light oil, hydrotreated used residue, refined used oil and clay-treated used oil do not meet any of the criteria set out in section 64 of CEPA.

The screening assessment for these substances is available on the [Canada.ca \(Chemical Substances\) website](https://www.canada.ca/ChemicalSubstances).

DEPARTMENT OF THE ENVIRONMENT

DEPARTMENT OF HEALTH

CANADIAN ENVIRONMENTAL PROTECTION ACT, 1999

Publication of final decision after screening assessment of four substances in the Substituted Alkyl Imidazolines Group specified on the Domestic Substances List (paragraphs 68(b) and (c) or subsection 77(6) of the Canadian Environmental Protection Act, 1999)

Whereas the substances bearing CAS RNs¹ 95-38-5, 27136-73-8, and 68966-38-1 are substances identified under subsection 73(1) of the *Canadian Environmental Protection Act, 1999*;

Whereas a summary of the screening assessment conducted on the substance bearing CAS RN 68442-97-7 pursuant to paragraphs 68(b) and (c) of the Act and on the remaining three substances pursuant to section 74 of the Act is annexed hereby;

And whereas it is concluded that the substances do not meet any of the criteria set out in section 64 of the Act,

Therefore, notice is hereby given that the Minister of the Environment and the Minister of Health (the ministers)

quantité ou concentration ni dans des conditions qui constituent ou peuvent constituer un danger au Canada pour la santé ou la vie humaine.

Conclusion générale

Il est conclu que les résidus d'huiles usées, les huiles usées distillées sous vide, les distillats d'huiles usées en C₁₂₋₂₅, les distillats d'huiles usées en C₅₋₁₈, les huiles usées légères, les résidus d'huiles usées hydrotraitées, les huiles usées raffinées et les huiles usées traitées à l'argile ne satisfont à aucun des critères énoncés à l'article 64 de la LCPE.

L'évaluation préalable pour ces substances est disponible sur le [site Web Canada.ca \(Substances chimiques\)](https://www.canada.ca/SubstancesChimiques).

MINISTÈRE DE L'ENVIRONNEMENT

MINISTÈRE DE LA SANTÉ

LOI CANADIENNE SUR LA PROTECTION DE L'ENVIRONNEMENT (1999)

Publication de la décision finale après évaluation préalable de quatre substances du groupe des alkylimidazolines substituées inscrites sur la Liste intérieure [alinéas 68b) et c) ou paragraphe 77(6) de la Loi canadienne sur la protection de l'environnement (1999)]

Attendu que les substances portant les NE CAS¹ 95-38-5, 27136-73-8, et 68966-38-1 sont des substances qui satisfont aux critères du paragraphe 73(1) de la *Loi canadienne sur la protection de l'environnement (1999)*;

Attendu qu'un résumé de l'évaluation préalable qui a été réalisée sur la substance portant le NE CAS 68442-97-7 en application des alinéas 68b) et c) de la Loi et sur les trois substances restantes en application de l'article 74 de la Loi est ci-annexé;

Attendu qu'il est conclu que ces substances ne satisfont à aucun des critères de l'article 64 de la Loi,

Avis est par les présentes donné que le ministre de l'Environnement et la ministre de la Santé (les ministres)

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¹ Le numéro d'enregistrement du Chemical Abstracts Service (NE CAS) est la propriété de l'American Chemical Society. Toute utilisation ou redistribution, sauf si elle sert à répondre aux besoins législatifs ou si elle est nécessaire à des rapports destinés au gouvernement du Canada lorsque des renseignements ou des rapports sont exigés par la loi ou une politique administrative, est interdite sans l'autorisation écrite préalable de l'American Chemical Society.

propose to take no further action at this time under section 77 of the Act for the three substances identified under subsection 73(1) of the Act.

Notice is further given that the ministers propose to take no further action on the remaining substance at this time.

Jonathan Wilkinson

Minister of the Environment

Patty Hajdu

Minister of Health

ANNEX

Summary of the screening assessment of the Substituted Alkyl Imidazolines Group

Pursuant to section 68 or 74 of the *Canadian Environmental Protection Act, 1999* (CEPA), the Minister of the Environment and the Minister of Health have conducted a screening assessment on four of eight substances referred to collectively under the Chemicals Management Plan as the Alkyl Imidazolines and Salts Group. These four substances were identified as priorities for assessment as they met categorization criteria under subsection 73(1) of CEPA or were considered a priority on the basis of other human health concerns. The other four substances were either subsequently determined to be of low concern through other approaches, and decisions for these substances are provided in separate reports^{2,3} or are part of a separate screening assessment.⁴ The four substances addressed in this screening assessment will hereinafter be referred to as the Substituted Alkyl Imidazolines Group.

proposent de ne rien faire pour le moment en vertu de l'article 77 de la Loi à l'égard des trois substances satisfaisant aux critères du paragraphe 73(1) de la Loi.

Avis est de plus donné que les ministres proposent de ne rien faire pour le moment à l'égard des substances restantes.

Le ministre de l'Environnement

Jonathan Wilkinson

La ministre de la Santé

Patty Hajdu

ANNEXE

Résumé de l'évaluation préalable pour le groupe des alkylimidazolines substituées

En vertu de l'article 68 ou de l'article 74 de la *Loi canadienne sur la protection de l'environnement (1999)* [LCPE], la ministre de l'Environnement et du Changement climatique et la ministre de la Santé ont procédé à l'évaluation préalable de quatre des huit substances collectivement appelées « groupe des alkylimidazolines et de leurs sels » dans le Plan de gestion des produits chimiques. Ces quatre substances ont été priorisées pour une évaluation, car elles satisfont aux critères de catégorisation du paragraphe 73(1) de la LCPE ou ont été déclarées d'intérêt prioritaire en raison d'autres préoccupations relatives à la santé humaine. Les quatre autres substances ont été jugées peu préoccupantes par d'autres approches, ces substances sont visées par des décisions présentées dans des rapports distincts^{2,3}, et deux autres font l'objet d'une autre évaluation préalable⁴. Les quatre substances restantes visées faisant l'objet de la présente évaluation préalable seront collectivement désignées comme le groupe des alkylimidazolines substituées.

² The conclusion for the substance bearing CAS RN 21652-27-7 is provided in the *Substances Identified as Being of Low Concern using the Ecological Risk Classification of Organic Substances and the Threshold of Toxicological Concern (TTC)-based Approach for Certain Substances Screening Assessment*.

³ The conclusion for the substance bearing CAS RN 31135-57-6 is provided in the *Rapid Screening of Substances with Limited General Population Exposure Screening Assessment*.

⁴ Proposed conclusions for substances bearing CAS RNs 67633-57-2 and 68122-86-1 will be provided in a future screening assessment.

² Les conclusions sur la substance portant le NE CAS 21652-27-7 apparaissent dans *Substances jugées comme étant peu préoccupantes au moyen de l'approche de la Classification du risque écologique des substances organiques* et *L'Approche fondée sur le seuil de préoccupation toxicologique pour certaines substances*.

³ Les conclusions sur la substance portant le NE CAS 31135-57-6 figurent dans *l'Évaluation préalable de l'examen préalable rapide des substances avec une exposition limitée pour la population générale*.

⁴ Les conclusions proposées sur les substances portant les NE CAS 67633-57-2 et 68122-86-1 apparaîtront dans une évaluation préalable à venir.

Substances in the Substituted Alkyl Imidazolines Group

CAS RN ^a	Domestic Substances List name
95-38-5	1H-Imidazole-1-ethanol, 2-(8-heptadecenyl)-4,5-dihydro-
27136-73-8	1H-Imidazole-1-ethanol, 2-(heptadecenyl)-4,5-dihydro-
68442-97-7 ^{b,c}	1H-Imidazole-1-ethanamine, 4,5-dihydro-, 2-nortall-oil alkyl derivs.
68966-38-1	1H-Imidazole-1-ethanol, 4,5-dihydro-2-isoheptadecyl-

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^b This CAS RN is a UVCB (unknown or variable composition, complex reaction products, or biological materials).

^c This substance was not identified under subsection 73(1) of CEPA but was included in this assessment, as it was considered a priority on the basis of other human health concerns.

None of the substances in the Substituted Alkyl Imidazolines Group occurs naturally. According to information submitted in response to a CEPA section 71 survey, no manufacturing activities were reported above the reporting threshold of 100 kg for the substances in the Substituted Alkyl Imidazolines Group, and three of these substances were imported into Canada in total quantities in the range from 10 000 to 1 000 000 kg, in the 2011 reporting year. CAS RN 68966-38-1 had no importing activities reported above the reporting threshold of 100 kg in that same year. These substances may be used in various applications for consumer use in Canada, including do-it-yourself products and hair products. Industrial uses, such as components of certain lubricants and greases, in oil and natural gas extraction, and as raw material to blend into industrial products, were also identified in Canada.

The ecological risks of the substances in the Substituted Alkyl Imidazolines Group were characterized using the ecological risk classification of organic substances (ERC), which is a risk-based approach that employs multiple metrics for both hazard and exposure, with weighted consideration of multiple lines of evidence for determining risk classification. Hazard profiles are based principally on metrics regarding mode of toxic action, chemical reactivity, food web-derived internal toxicity thresholds, bio-availability, and chemical and biological activity. Metrics considered in the exposure profiles include potential emission rate, overall persistence, and long-range transport potential. A risk matrix is used to assign a low,

Substances du groupe des alkylimidazolines substituées

NE CAS ^a	Nom sur la Liste intérieure
95-38-5	2(2-heptadéc-8-ényl-2-imidazolin-1-yl)éthanol
27136-73-8	2-(heptadécényl)-4,5-dihydro-1H-imidazole -1-éthanol
68442-97-7 ^{b,c}	4,5-dihydro-1H-imidazol-1-éthanamine, dérivés de 2-nortallöl alkyle
68966-38-1	4,5-dihydro-2-isoheptadécyl-1H-imidazol-1-éthanol

^a Le numéro d'enregistrement du Chemical Abstracts Service (NE CAS) est la propriété de l'American Chemical Society. Toute utilisation ou redistribution, sauf quand cela est requis pour des exigences réglementaires ou pour des rapports au gouvernement du Canada quand l'information et les rapports sont requis en vertu d'une loi ou d'une politique administrative, est interdite sans l'autorisation écrite préalable de l'American Chemical Society.

^b La substance associée à ce NE CAS est un UVCB (substances de composition inconnue ou variable, produits de réaction complexes ou matières biologiques).

^c Cette substance n'a pas été désignée en vertu du paragraphe 73(1) de la LCPE, mais est visée par la présente évaluation, car elle est considérée comme étant d'intérêt prioritaire en raison d'autres inquiétudes pour la santé humaine.

Aucune des substances du groupe des alkylimidazolines substituées n'existe naturellement dans l'environnement. Selon les réponses à une enquête menée en vertu de l'article 71 de la LCPE, aucune des substances du groupe des alkylimidazolines substituées n'a été fabriquée en quantité dépassant le seuil de déclaration de 100 kg. De plus, pour trois d'entre elles, la quantité totale importée au Canada au cours de l'année de déclaration 2011 a été entre 10 000 et 1 000 000 kg. On n'a pas signalé d'importation de la substance NE CAS 68966-38-1 dépassant le seuil de déclaration de 100 kg pendant ladite année. Au Canada, ces substances entrent dans la composition de plusieurs produits destinés aux consommateurs, notamment des produits de bricolage et des produits de soins capillaires. On en a aussi signalé des utilisations industrielles, dans les composants des lubrifiants et les graisses, lors de l'extraction du pétrole et du gaz, et comme matière première entrant dans le mélange de certains produits industriels.

Les risques environnementaux occasionnés par le groupe des alkylimidazolines substituées qui font l'objet de la présente évaluation préalable ont été caractérisés selon la méthode dite de Classification du risque écologique (CRE) des substances organiques, une approche fondée sur les risques et qui emploie plusieurs paramètres de danger et d'exposition, en tenant compte de plusieurs sources de données pondérées, pour catégoriser les risques. Les profils de danger reposent principalement sur des paramètres liés au mode d'action toxique, à la réactivité chimique, aux seuils de toxicité interne dérivés du réseau trophique, à la biodisponibilité et à l'activité chimique et biologique. Parmi les paramètres pris en compte pour les profils

moderate or high level of potential concern for substances on the basis of their hazard and exposure profiles. Based on the outcome of the ERC analysis, substances in the Substituted Alkyl Imidazolines Group are considered unlikely to be causing ecological harm.

Considering all available lines of evidence presented in this screening assessment, there is a low risk of harm to the environment from substances in the Substituted Alkyl Imidazolines Group. It is concluded that CAS RN 95-38-5, CAS RN 27136-73-8, CAS RN 68442-97-7, and CAS RN 68966-38-1 do not meet the criteria under paragraph 64(a) or (b) of CEPA, as they are not entering the environment in a quantity or concentration or under conditions that have or may have an immediate or long-term harmful effect on the environment or its biological diversity or that constitute or may constitute a danger to the environment on which life depends.

The predominant sources of exposure to the Canadian general population are paint remover, lubricant and rust blocker, and hair conditioner for CAS RN 95-38-5, CAS RN 27136-73-8, and CAS RN 68966-38-1, respectively. There is also the potential for exposure through environmental media for CAS RN 95-38-5, CAS RN 27136-73-8, and CAS RN 68442-97-7.

With respect to human health, the adverse effects observed in a laboratory study of CAS RN 95-38-5 were point of contact effects, or secondary to such effects, via the oral route. Comparison of the No Observed Adverse Effect Level (NOAEL) to the estimated exposures for this substance for the Canadian general population resulted in margins of exposure that were considered adequate to address uncertainties in the exposure and health effects databases.

In the absence of substance-specific hazard information for CAS RN 27136-73-8 and CAS RN 68966-38-1, CAS RN 95-38-5 was selected as the analogue for these two substances based on the similarity of their chemical structures and physical and chemical properties. Comparison of the NOAEL to the estimated exposures for the Canadian general population resulted in margins of exposure that were considered adequate to address uncertainties in the exposure and health effects databases.

On the basis of available information, reduced body weight was observed in a laboratory study with CAS RN 68442-97-7 via the oral route. Comparison of the NOAEL observed in this study to the estimated exposure for the Canadian general population resulted in a margin

d'exposition figurent la vitesse d'émission potentielle, la persistance globale et le potentiel de transport sur de grandes distances. La méthode utilise une matrice du risque pour attribuer à ces substances un degré de préoccupation potentielle faible, modéré ou élevé, en fonction de leurs profils de danger et d'exposition. Selon les résultats de l'analyse de la CRE, il est peu probable les substances du groupe des alkylimidazolines substituées puissent nuire à l'environnement.

Compte tenu de tous les éléments de preuve contenus dans la présente évaluation préalable, le risque que les substances du groupe des alkylimidazolines substituées puissent avoir des effets nocifs sur l'environnement est faible. Il a été conclu que les substances NE CAS 95-38-5, 27136-73-8, 68442-97-7 et 68966-38-1 ne satisfont pas aux critères énoncés aux alinéas 64a) et b) de la LCPE, car ils ne pénètrent pas dans l'environnement en une quantité ou concentration ou dans des conditions de nature à avoir, immédiatement ou à long terme, un effet nocif sur l'environnement ou sur la diversité biologique, et à mettre en danger l'environnement essentiel pour la vie.

Les principales sources d'exposition de la population générale du Canada sont les décapants pour la substance NE CAS 95-38-5, les lubrifiants et les antirouilles pour la substance NE CAS 27136-73-8 et les revitalisants capillaires pour la substance NE CAS 68966-38-1. Il existe un potentiel d'exposition aux substances NE CAS 95-38-5, 27136-73-8 et 68442-97-7 par les milieux naturels.

Concernant la santé humaine, lors d'une étude de laboratoire, la substance NE CAS 95-38-5 a présenté des effets nocifs aux points de contact ou des effets secondaires par la voie orale découlant de ces premiers. La comparaison de la dose sans effet nocif observé (DSENO) aux expositions estimées de la population générale du Canada à cette substance donne des marges d'exposition considérées comme adéquates pour compenser les incertitudes des bases de données sur l'exposition et les effets sur la santé.

En l'absence de renseignements particuliers sur les substances NE CAS 27136-73-8 et 68966-38-1, nous avons choisi la substance NE CAS 95-38-5 comme analogue pour ces deux substances sur la base de la similitude de leurs structures chimiques et de leurs propriétés physico-chimiques. La comparaison entre la DSENO et l'exposition estimée de la population générale du Canada donne des marges d'exposition considérées comme adéquates pour compenser les incertitudes des bases de données sur l'exposition et les effets sur la santé.

Les renseignements disponibles indiquent qu'une réduction du poids corporel a été observée lors d'une étude de laboratoire sur l'absorption de la substance NE CAS 68442-97-7 par voie orale. La comparaison entre la DSENO observée dans ladite étude et de l'exposition

of exposure that was considered adequate to address uncertainties in the exposure and health effects databases.

On the basis of the information presented in this screening assessment, it is concluded that CAS RN 95-38-5, CAS RN 27136-73-8, CAS RN 68442-97-7, and CAS RN 68966-38-1 do not meet the criteria under paragraph 64(c) of CEPA, as they are not entering the environment in a quantity or concentration or under conditions that constitute or may constitute a danger in Canada to human life or health.

Overall conclusion

Therefore, it is concluded that CAS RN 95-38-5, CAS RN 27136-73-8, CAS RN 68442-97-7, and CAS RN 68966-38-1 do not meet any of the criteria set out in section 64 of CEPA.

The screening assessment for these substances is available on the [Canada.ca \(Chemical Substances\) website](https://www.canada.ca/chemical-substances).

estimée de la population générale du Canada donne des marges d'exposition considérées comme adéquates pour compenser les incertitudes des bases de données sur l'exposition et les effets sur la santé.

À la lumière des renseignements contenus dans la présente évaluation préalable, il a été conclu que les substances NE CAS 95-38-5, 27136-73-8, 68442-97-7 et 68966-38-1 ne satisfont pas au critère énoncé à l'alinéa 64c) de la LCPE, car ils ne pénètrent pas dans l'environnement en une quantité ou une concentration ou dans des conditions de nature à constituer un danger au Canada pour la vie ou la santé humaines.

Conclusion générale

Par conséquent, il a été conclu que les substances NE CAS 95-38-5, 27136-73-8, 68442-97-7 et 68966-38-1 ne satisfont à aucun des critères énoncés à l'article 64 de la LCPE.

L'évaluation préalable pour ces substances est disponible sur le [site Web Canada.ca \(Substances chimiques\)](https://www.canada.ca/substances-chimiques).

PARLIAMENT**HOUSE OF COMMONS**

Second Session, 43rd Parliament

PRIVATE BILLS

[Standing Order 130](#) respecting notices of intended applications for private bills was published in the *Canada Gazette*, Part I, on September 19, 2020.

For further information, contact the Private Members' Business Office, House of Commons, West Block, Room 314-C, Ottawa, Ontario K1A 0A6, 613-992-9511.

Charles Robert

Clerk of the House of Commons

ROYAL ASSENT

Thursday, December 10, 2020

On Thursday, December 10, 2020, Her Excellency the Governor General signified assent in Her Majesty's name to the bills listed below.

Assent was signified by written declaration, pursuant to the *Royal Assent Act*, S.C. 2002, c. 15. Section 5 of that Act provides that each Act "... is deemed to be assented to on the day on which the two Houses of Parliament have been notified of the declaration."

The Senate was notified of the written declaration on Thursday, December 10, 2020.

The House of Commons was notified of the written declaration on Thursday, December 10, 2020.

An Act for granting to Her Majesty certain sums of money for the federal public administration for the fiscal year ending March 31, 2021
(Bill C-17, chapter 14, 2020)

An Act for granting to Her Majesty certain sums of money for the federal public administration for the fiscal year ending March 31, 2021
(Bill C-16, chapter 15, 2020)

Richard Denis

Clerk of the Senate and Clerk of the Parliaments

PARLEMENT**CHAMBRE DES COMMUNES**

Deuxième session, 43^e législature

PROJETS DE LOI D'INTÉRÊT PRIVÉ

L'[article 130](#) du Règlement relatif aux avis de demande de projets de loi d'intérêt privé a été publié dans la Partie I de la *Gazette du Canada* du 19 septembre 2020.

Pour de plus amples renseignements, veuillez communiquer avec le Bureau des affaires émanant des députés à l'adresse suivante : Chambre des communes, Édifice de l'Ouest, pièce 314-C, Ottawa (Ontario) K1A 0A6, 613-992-9511.

Le greffier de la Chambre des communes

Charles Robert**SANCTION ROYALE**

Le jeudi 10 décembre 2020

Le jeudi 10 décembre 2020, Son Excellence la Gouverneure générale a accordé la sanction royale au nom de Sa Majesté aux projets de loi mentionnés ci-dessous.

La sanction a été octroyée par déclaration écrite, conformément à la *Loi sur la sanction royale*, L.C. 2002, ch. 15. Aux termes de l'article 5 de cette loi, « la déclaration écrite porte sanction royale le jour où les deux chambres du Parlement en ont été avisées ».

Le Sénat a été informé de la déclaration écrite le jeudi 10 décembre 2020.

La Chambre des communes a été informée de la déclaration écrite le jeudi 10 décembre 2020.

Loi portant octroi à Sa Majesté de crédits pour l'administration publique fédérale pendant l'exercice se terminant le 31 mars 2021
(Projet de loi C-17, chapitre 14, 2020)

Loi portant octroi à Sa Majesté de crédits pour l'administration publique fédérale pendant l'exercice se terminant le 31 mars 2021
(Projet de loi C-16, chapitre 15, 2020)

Le greffier du Sénat et greffier des Parlements

Richard Denis

COMMISSIONS**CANADA BORDER SERVICES AGENCY****SPECIAL IMPORT MEASURES ACT***Concrete reinforcing bar – Decision*

On December 14, 2020, pursuant to subsection 39(1) of the *Special Import Measures Act* (SIMA), the Canada Border Services Agency (CBSA) extended the preliminary phase of the investigation into the alleged injurious dumping of certain concrete reinforcing bar from Algeria, Egypt, Indonesia, Italy, Malaysia, Singapore and Vietnam.

The SIMA provides that, under normal circumstances, the preliminary phase of the investigation shall be completed within 90 days of the date of initiation. However, due to the existence of circumstances that, in the opinion of the CBSA, make it unusually difficult to decide within ninety days whether to make a preliminary determination of dumping or to terminate the investigation with respect to some or all of the goods, the period has been extended to 135 days, pursuant to subsection 39(1) of SIMA.

Consequently, the decision to issue a preliminary determination of dumping or to terminate the investigation with respect to some or all of the goods will be made on or before February 4, 2021.

Information

For further information, contact Robert Wright by telephone at 343-553-1729 or by email at Robert.Wright@cbsa-asfc.gc.ca. Alternatively, you may contact Lindsay Kyne by telephone at 343-553-1587 or by email at Lindsay.Kyne@cbsa-asfc.gc.ca.

Ottawa, December 14, 2020

Doug Band

Director General
Trade and Antidumping Programs Directorate

CANADA ENERGY REGULATOR**APPLICATION TO EXPORT ELECTRICITY TO THE UNITED STATES***Shell Energy North America (Canada) Inc.*

By an application dated December 16, 2020 to amend Permit EPE-464, Shell Energy North America (Canada) Inc. (the Applicant) has applied to the Commission of the

COMMISSIONS**AGENCE DES SERVICES FRONTALIERS DU CANADA****LOI SUR LES MESURES SPÉCIALES D'IMPORTATION***Barres d'armature pour béton – Décision*

Le 14 décembre 2020, conformément au paragraphe 39(1) de la *Loi sur les mesures spéciales d'importation* (LMSI), l'Agence des services frontaliers du Canada (ASFC) a prorogé la phase préliminaire de l'enquête sur le présumé dumping dommageable de certaines barres d'armature pour béton de l'Algérie, de l'Égypte, de l'Indonésie, de l'Italie, de la Malaisie, de Singapour et du Vietnam.

La LMSI prévoit que, dans des circonstances normales, la phase préliminaire de l'enquête doit être complétée dans les 90 jours suivant l'ouverture de celle-ci. Toutefois, en raison de l'existence de circonstances qui, de l'avis de l'ASFC, font qu'il lui est exceptionnellement difficile de déterminer dans un délai de 90 jours, s'il doit rendre une décision provisoire de dumping ou clore l'enquête pour tout ou partie des marchandises, ce délai a été porté à 135 jours, conformément au paragraphe 39(1) de la LMSI.

Conséquemment, la détermination de rendre une décision provisoire de dumping ou clore l'enquête pour tout ou partie des marchandises sera prise le 4 février 2021 ou avant cette date.

Renseignements

Pour de plus amples renseignements, n'hésitez pas à communiquer avec Joël Joyal par téléphone au 343-553-1586 ou par courriel à Joel.Joyal@cbsa-asfc.gc.ca.

Ottawa, le 14 décembre 2020

Le directeur général

Direction des programmes commerciaux et antidumping

Doug Band**RÉGIE DE L'ÉNERGIE DU CANADA****DEMANDE VISANT L'EXPORTATION D'ÉLECTRICITÉ VERS LES ÉTATS-UNIS***Shell Energy North America (Canada) Inc.*

Dans une demande datée du 16 décembre 2020 pour modifier Permis EPE-465, Shell Energy North America (Canada) Inc. (le demandeur) a sollicité, auprès de la

Canada Energy Regulator (the Commission), under Division 2 of Part 7 of the *Canadian Energy Regulator Act* (the Act), for authorization to increase exports up to 5 000 000 MW.h of combined firm and interruptible energy annually, for a period of 10 years. The Applicant, or its affiliates, has an interest in the following generation or transmission facilities in Canada: Brighton Beach Generating Station, Windsor, Ontario.

The Commission wishes to obtain the views of interested parties on this application before issuing a permit or recommending to the Governor in Council that the application be designated for a licensing procedure. The Directions on Procedure that follow explain in detail the procedure that will be used.

1. The Applicant shall provide a copy of the application by email to any person who requests one by emailing dave.l.smith@shell.com. The application is also publicly available on the Canada Energy Regulator website.

2. Submissions that any party wishes to present shall be filed online with the Secretary of the Commission and emailed to the Applicant by 25 January 2021.

3. Pursuant to subsection 359(2) of the Act, the Commission is interested in the views of submitters with respect to

(a) the effect of the exportation of the electricity on provinces other than that from which the electricity is to be exported; and

(b) whether the Applicant has

(i) informed those who have declared an interest in buying electricity for consumption in Canada of the quantities and classes of service available for sale, and

(ii) given an opportunity to buy electricity on conditions as favourable as the conditions specified in the application to those who, within a reasonable time after being so informed, demonstrate an intention to buy electricity for consumption in Canada.

4. Any answer to submissions that the Applicant wishes to present in response to items 2 and 3 of this Notice of Application and Directions on Procedure shall be filed with the Secretary of the Commission and emailed to the party that filed the submission by 5 February 2021.

5. For further information on the procedures governing the Commission's examination, contact the Secretary of the Commission at 403-292-4800 (telephone).

Commission de la Régie de l'énergie du Canada (la Commission), aux termes de la section 2 de la partie 7 de la *Loi sur la Régie canadienne de l'énergie* (la LRCE), l'autorisation d'exporter augmente jusqu'à une quantité globale de 5 000 000 MW.h par année d'énergie garantie et interruptible, pendant une période de 10 ans. Le demandeur, directement ou par l'entremise de ses sociétés affiliées, détient une participation dans les installations de production ou de transport suivantes au Canada : la centrale Brighton Beach, Windsor, en Ontario.

La Commission aimerait connaître le point de vue des parties intéressées sur cette demande avant de délivrer un permis ou de recommander à la gouverneure en conseil que la demande soit soumise à la procédure d'obtention de licence. Les instructions relatives à la procédure énoncées ci-après exposent en détail la démarche qui sera suivie.

1. Le demandeur doit envoyer la demande par courriel à toute personne qui manifeste son intérêt en écrivant à dave.l.smith@shell.com. La demande peut également être consultée sur le site Web de la Régie de l'énergie du Canada.

2. Les observations des parties doivent être déposées en ligne auprès du secrétaire de la Commission et envoyées par courriel au demandeur au plus tard le 25 janvier 2021.

3. Suivant le paragraphe 359(2) de la LRCE, la Commission considérera les points de vue des déposants portant sur ce qui suit :

a) les conséquences de l'exportation d'électricité sur les provinces autres que la province exportatrice;

b) le fait que le demandeur :

(i) a informé quiconque s'est montré intéressé par l'achat de l'électricité pour consommation au Canada des quantités et des catégories de services offerts,

(ii) a donné la possibilité d'acheter de l'électricité à des conditions aussi favorables que celles qui sont indiquées dans la demande, à ceux qui ont, dans un délai raisonnable suivant la communication de ce fait, manifesté l'intention d'acheter de l'électricité pour consommation au Canada.

4. Toute réponse du demandeur aux observations concernant les points 2 et 3 du présent avis de demande et instructions relatives à la procédure doit être déposée auprès du secrétaire de la Commission et envoyée par courriel à la partie qui a soumis les observations, au plus tard le 5 février 2021.

5. Pour de plus amples renseignements sur la procédure d'examen de la Commission, veuillez communiquer avec le secrétaire de la Commission, par téléphone au 403-292-4800.

The Canada Energy Regulator (the CER) is dedicated to the safety and well-being of its staff, Indigenous communities, the public, and all those with whom it works closely. For information on how the CER is continuing its regulatory oversight during the COVID-19 pandemic, please refer to the [CER's COVID-19 response page](#).

The CER's preferred filing method is online through its [e-filing tool](#), which provides step-by-step instructions. If you are unable to file documents online, you may send them by email to secretary@cer-rec.gc.ca.

Jean-Denis Charlebois

Secretary of the Commission of the Canada Energy Regulator

CANADA ENERGY REGULATOR

APPLICATION TO EXPORT ELECTRICITY TO THE UNITED STATES

Shell Energy North America (US), L.P.

By an application dated December 16, 2020 to amend Permit EPE-465, Shell Energy North America (US), L.P. (the Applicant) has applied to the Commission of the Canada Energy Regulator (the Commission), under Division 2 of Part 7 of the *Canadian Energy Regulator Act* (the Act), for authorization to increase exports up to 5 000 000 MW.h of combined firm and interruptible energy annually, for a period of 10 years. The Applicant, or its affiliates, has an interest in the following generation or transmission facilities in Canada: Brighton Beach Generating Station, Windsor, Ontario.

The Commission wishes to obtain the views of interested parties on this application before issuing a permit or recommending to the Governor in Council that the application be designated for a licensing procedure. The Directions on Procedure that follow explain in detail the procedure that will be used.

1. The Applicant shall provide a copy of the application by email to any person who requests one by emailing dave.l.smith@shell.com. The application is also publicly available on the Canada Energy Regulator website.

La Régie de l'énergie du Canada (la Régie) a à cœur la sécurité et le bien-être de son personnel, des communautés autochtones, du public et de tous ceux avec qui elle collabore. Pour de l'information sur la façon dont la Régie poursuit ses activités de surveillance réglementaire pendant la pandémie de COVID-19, veuillez consulter la [page sur la réponse de la Régie à la pandémie de COVID-19](#).

La Régie privilégie la méthode de dépôt en ligne à partir de son [outil de dépôt électronique](#), qui comprend des instructions détaillées. S'il vous est impossible de faire un dépôt de cette manière, veuillez envoyer vos documents par courriel à l'adresse secretary@cer-rec.gc.ca.

Le secrétaire de la Commission de la Régie de l'énergie du Canada

Jean-Denis Charlebois

RÉGIE DE L'ÉNERGIE DU CANADA

DEMANDE VISANT L'EXPORTATION D'ÉLECTRICITÉ VERS LES ÉTATS-UNIS

Shell Energy North America (US), L.P.

Dans une demande datée du 16 décembre 2020 pour modifier Permis EPE-465, Shell Energy North America (US), L.P. (le demandeur) a sollicité, auprès de la Commission de la Régie de l'énergie du Canada (la Commission), aux termes de la section 2 de la partie 7 de la *Loi sur la Régie canadienne de l'énergie* (la LRCE), l'autorisation d'exporter augmente jusqu'à une quantité globale de 5 000 000 MW.h par année d'énergie garantie et interruptible, pendant une période de 10 ans. Le demandeur, directement ou par l'entremise de ses sociétés affiliées, détient une participation dans les installations de production ou de transport suivantes au Canada : la centrale Brighton Beach, Windsor, en Ontario.

La Commission aimerait connaître le point de vue des parties intéressées sur cette demande avant de délivrer un permis ou de recommander à la gouverneure en conseil que la demande soit soumise à la procédure d'obtention de licence. Les instructions relatives à la procédure énoncées ci-après exposent en détail la démarche qui sera suivie.

1. Le demandeur doit envoyer la demande par courriel à toute personne qui manifeste son intérêt en écrivant à dave.l.smith@shell.com. La demande peut également être consultée sur le site Web de la Régie de l'énergie du Canada.

2. Submissions that any party wishes to present shall be filed online with the Secretary of the Commission and emailed to the Applicant by 25 January 2021.

3. Pursuant to subsection 359(2) of the Act, the Commission is interested in the views of submitters with respect to

(a) the effect of the exportation of the electricity on provinces other than that from which the electricity is to be exported; and

(b) whether the Applicant has

(i) informed those who have declared an interest in buying electricity for consumption in Canada of the quantities and classes of service available for sale, and

(ii) given an opportunity to buy electricity on conditions as favourable as the conditions specified in the application to those who, within a reasonable time after being so informed, demonstrate an intention to buy electricity for consumption in Canada.

4. Any answer to submissions that the Applicant wishes to present in response to items 2 and 3 of this Notice of Application and Directions on Procedure shall be filed with the Secretary of the Commission and emailed to the party that filed the submission by 5 February 2021.

5. For further information on the procedures governing the Commission's examination, contact the Secretary of the Commission at 403-292-4800 (telephone).

The Canada Energy Regulator (the CER) is dedicated to the safety and well-being of its staff, Indigenous communities, the public, and all those with whom it works closely. For information on how the CER is continuing its regulatory oversight during the COVID-19 pandemic, please refer to the [CER's COVID-19 response page](#).

The CER's preferred filing method is online through its [e-filing tool](#), which provides step-by-step instructions. If you are unable to file documents online, you may send them by email to secretary@cer-rec.gc.ca.

Jean-Denis Charlebois

Secretary of the Commission of the
Canada Energy Regulator

2. Les observations des parties doivent être déposées en ligne auprès du secrétaire de la Commission et envoyées par courriel au demandeur au plus tard le 25 janvier 2021.

3. Suivant le paragraphe 359(2) de la LRCE, la Commission considérera les points de vue des déposants portant sur ce qui suit :

a) les conséquences de l'exportation d'électricité sur les provinces autres que la province exportatrice;

b) le fait que le demandeur :

(i) a informé quiconque s'est montré intéressé par l'achat de l'électricité pour consommation au Canada des quantités et des catégories de services offerts,

(ii) a donné la possibilité d'acheter de l'électricité à des conditions aussi favorables que celles qui sont indiquées dans la demande, à ceux qui ont, dans un délai raisonnable suivant la communication de ce fait, manifesté l'intention d'acheter de l'électricité pour consommation au Canada.

4. Toute réponse du demandeur aux observations concernant les points 2 et 3 du présent avis de demande et instructions relatives à la procédure doit être déposée auprès du secrétaire de la Commission et envoyée par courriel à la partie qui a soumis les observations, au plus tard le 5 février 2021.

5. Pour de plus amples renseignements sur la procédure d'examen de la Commission, veuillez communiquer avec le secrétaire de la Commission, par téléphone au 403-292-4800.

La Régie de l'énergie du Canada (la Régie) a à cœur la sécurité et le bien-être de son personnel, des communautés autochtones, du public et de tous ceux avec qui elle collabore. Pour de l'information sur la façon dont la Régie poursuit ses activités de surveillance réglementaire pendant la pandémie de COVID-19, veuillez consulter la [page sur la réponse de la Régie à la pandémie de COVID-19](#).

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Le secrétaire de la Commission de la
Régie de l'énergie du Canada

Jean-Denis Charlebois

CANADA REVENUE AGENCY**AGENCE DU REVENU DU CANADA****INCOME TAX ACT****LOI DE L'IMPÔT SUR LE REVENU***List of registered investments**Liste des placements enregistrés*

Notice is hereby given, pursuant to section 204.5 of the *Income Tax Act*, that the funds listed below are registered investments (as defined in subsection 204.4(1) of the *Income Tax Act*) as at December 31, 2019.

Conformément aux dispositions de l'article 204.5 de la *Loi de l'impôt sur le revenu*, avis est par les présentes donné que les fonds dont les noms apparaissent dans la liste ci-dessous sont des placements enregistrés (tels qu'ils sont définis au paragraphe 204.4(1) de la *Loi de l'impôt sur le revenu*) au 31 décembre 2019.

Name of registered investment / Nom du placement enregistré	Trust or corporation submitting the application / Fiducie ou corporation produisant la déclaration	Note
@rgentum Canadian Equity Portfolio	@rgentum Management & Research Corporation	D
@rgentum Canadian Performance Portfolio	@rgentum Management & Research Corporation	D
@rgentum Discovery Portfolio	@rgentum Management & Research Corporation	D
@rgentum Income Portfolio	@rgentum Management & Research Corporation	D
18 Asset Management All-Cap Canadian Equity Fund	18 Asset Management All-Cap Canadian Equity Fund	D
1World Aggressive Registered Portfolio	Investors Group Trust Co. Ltd.	D
1World Conservative Portfolio	Investors Group Trust Co. Ltd.	D
1World Moderate Aggressive Registered Portfolio	Investors Group Trust Co. Ltd.	D
1World Moderate Conservative Portfolio	Investors Group Trust Co. Ltd.	D
1World Moderate Portfolio	Investors Group Trust Co. Ltd.	D
2015 Target Date Fund (Fonds à échéance cible 2015)	SEI Investments Canada Company	D
2020 Target Date Fund (Fonds à échéance cible 2020)	SEI Investments Canada Company	D
2025 Target Date Fund (Fonds à échéance cible 2025)	SEI Investments Canada Company	D
2030 Target Date Fund (Fonds à échéance cible 2030)	SEI Investments Canada Company	D
2040 Target Date Fund (Fonds à échéance cible 2040)	SEI Investments Canada Company	D
Aardvarin Real Estate Investment Trust	Aardvarin Real Estate Investment Trust	A,C
Abacus Canadian Equity Fund	S. Liberman & Company Ltd.	D
ABC American-Value Fund	I.A. Michael Investment Counsel Ltd.	A,C
ABC Fully-Managed Fund	The Royal Trust Company	D
ABC Fundamental-Value Fund	The Royal Trust Company	D
ABC Fundamental-Value Fund	I.A. Michael Investment Counsel Ltd.	A
ABC Global Equity Fund	I.A. Michael Investment Counsel Ltd.	D
ABC North American Equity Fund	I.A. Michael Investment Counsel Ltd.	D
ABN Equity Fund	ABN Management Inc.	A
Acorn Disciplined Core Fund	Acorn Global Investments Inc.	D
Activenergy Income Fund	Middlefield Activenergy Management Limited	D
Acuity All Cap & Income Trust	Acuity Funds Ltd.	D
Acuity All Cap 30 Canadian Equity Fund	Acuity Funds Ltd.	D
Acuity Canadian Balanced Fund	Acuity Funds Ltd.	D
Acuity Canadian Equity Fund	Acuity Funds Ltd.	D
Acuity Canadian Small Cap Fund	Acuity Funds Ltd.	D

Name of registered investment / Nom du placement enregistré	Trust or corporation submitting the application / Fiducie ou corporation produisant la déclaration	Note
Acuity Clean Environment Equity Fund	Acuity Funds Ltd.	D
Acuity Conservative Asset Allocation Fund	Acuity Funds Ltd.	D
Acuity Corporate Class Ltd.	Acuity Funds Ltd.	D
Acuity Diversified Income Fund	Acuity Funds Ltd.	D
Acuity Dividend Fund	Acuity Funds Ltd.	D
Acuity EAFE Equity Fund	Acuity Funds Ltd.	D
Acuity Fixed Income Fund	Acuity Funds Ltd.	D
Acuity G7 RSP Equity Fund	Acuity Funds Ltd.	D
Acuity Global Dividend Fund	Acuity Funds Ltd.	D
Acuity Global High Income Fund	Acuity Funds Ltd.	D
Acuity Growth & Income Fund	Acuity Funds Ltd.	D
Acuity Growth & Income Trust	Acuity Funds Ltd.	D
Acuity High Income Fund	Acuity Funds Ltd.	D
Acuity Money Market Fund	Acuity Funds Ltd.	D
Acuity Pooled Balanced Fund	Acuity Funds Ltd.	D
Acuity Pooled Canadian Small Cap Fund	Acuity Funds Ltd.	D
Acuity Pooled Conservative Asset Allocation Fund	Acuity Funds Ltd.	D
Acuity Pooled Corporate Bond Fund	Acuity Funds Ltd.	D
Acuity Pooled Diversified Income Fund	Acuity Funds Ltd.	D
Acuity Pooled Dividend Fund	Acuity Funds Ltd.	D
Acuity Pooled EAFE Equity Fund	Acuity Funds Ltd.	D
Acuity Pooled Fixed Income Fund	Acuity Funds Ltd.	D
Acuity Pooled Global Balanced Fund	Acuity Funds Ltd.	D
Acuity Pooled Global Dividend Fund	Acuity Funds Ltd.	D
Acuity Pooled Global High Income Fund	Acuity Funds Ltd.	D
Acuity Pooled Growth & Income Fund	Acuity Funds Ltd.	D
Acuity Pooled High Income Fund	Acuity Funds Ltd.	D
Acuity Pooled Pure Canadian Equity Fund	Acuity Funds Ltd.	D
Acuity Pooled Short Term Fund	Acuity Funds Ltd.	D
Acuity Pooled Social Values Canadian Equity Fund	Acuity Funds Ltd.	D
Acuity Social Values Balanced Fund	Acuity Funds Ltd.	D
Acuity Social Values Canadian Equity Fund	Acuity Funds Ltd.	D
Acuity Social Values Global Equity Fund	Acuity Funds Ltd.	D
Adaptive ETF Global Tactical Fund	Bellwether Investment Management Inc.	D
Addenda Commercial Mortgage – DC Pooled Fund	Addenda Capital Inc.	D
Addenda Preferred Share Pooled Fund	Addenda Capital Inc.	D
Addenda Short Term Corporate Bond Pooled Fund	Addenda Capital Inc.	D
Addenda U.S. Equity Pooled Fund (CAD)	Addenda U.S. Equity Pooled Fund (CAD)	D
Aderes Diversified Canadian Fund	Aderes Portfolio Management Limited	D
Aderes Focused Canadian Fund	Aderes Portfolio Management Limited	D

Name of registered investment / Nom du placement enregistré	Trust or corporation submitting the application / Fiducie ou corporation produisant la déclaration	Note
Aderes Money Market Fund	Aderes Portfolio Management Limited	D
ADM Private Pool Fund	McGee Capital Management Limited	D
Adroit Canadian Equity Fund	Adroit Group Limited	A,C
Adroit Fixed Income Fund	Adroit Group Limited	A,C
Advanced Folio Fund	Mackenzie Financial Corporation	D
AGF 20/20 Managed Futures Value Fund	AGF Funds Inc.	D
AGF Aggressive Global Stock Fund	AGF Funds Inc.	D
AGF Aggressive Growth Fund	AGF Funds Inc.	D
AGF All World Tax Advantage Group Limited	AGF Funds Inc.	D
AGF American Growth Fund	AGF American Growth Fund	D
AGF Canadian Aggressive All-Cap Fund	AGF Funds Inc.	D
AGF Canadian Aggressive Equity Fund	AGF Funds Inc.	D
AGF Canadian All Cap Equity Fund	AGF Funds Inc.	A
AGF Canadian Balanced Fund	AGF Funds Inc.	D
AGF Canadian Bond Fund	AGF Funds Inc.	D
AGF Canadian Dividend Fund	AGF Funds Inc.	D
AGF Canadian Growth Fund	AGF Funds Inc.	D
AGF Canadian High Income Fund	AGF Funds Inc.	D
AGF Canadian Money Market Fund	AGF Funds Inc.	D
AGF Dollar Cost Averaging Fund	AGF Funds Inc.	D
AGF Elements Balanced Portfolio	AGF Funds Inc.	D
AGF Elements Conservative Portfolio	AGF Funds Inc.	D
AGF Elements Global Portfolio	AGF Funds Inc.	D
AGF Elements Growth Portfolio	AGF Funds Inc.	D
AGF Elements Yield Portfolio	AGF Funds Inc.	D
AGF Emerging Markets Balanced Fund	AGF Funds Inc.	D
AGF Emerging Markets Bond Fund	AGF Funds Inc.	D
AGF Emerging Markets Focused Fund	AGF Funds Inc.	D
AGF Emerging Markets Fund	AGF Funds Inc.	D
AGF Equity Income Focus Fund	AGF Funds Inc.	D
AGF Flex Asset Allocation Fund	AGF Investments Inc.	D
AGF Floating Rate Income Fund	AGF Funds Inc.	D
AGF Global Aggregate Bond Fund	AGF Funds Inc.	D
AGF Global Balanced High Income Fund	AGF Funds Inc.	A
AGF Global Convertible Bond Fund	AGF Global Convertible Bond Fund	D
AGF Global Dividend Fund	AGF Funds Inc.	D
AGF Global Government Bond Fund	AGF Funds Inc.	D
AGF Global High Income Fund	AGF Funds Inc.	A
AGF Global High Yield Bond Fund	AGF Funds Inc.	D
AGF Income Focus Fund	AGF Funds Inc.	D

Name of registered investment / Nom du placement enregistré	Trust or corporation submitting the application / Fiducie ou corporation produisant la déclaration	Note
AGF Inflation Focus Fund	AGF Funds Inc.	D
AGF International Value Fund	AGF Funds Inc.	D
AGF Monthly High Income Fund	AGF Funds Inc.	D
AGF Pooled Tactical Income Fund	AGF Funds Inc.	D
AGF Pure Canadian Balanced Fund	AGF Funds Inc.	D
AGF RSP American Growth Fund	AGF Funds Inc.	A,C
AGF RSP American Tactical Asset Allocation Fund	AGF Funds Inc.	A,C
AGF RSP European Growth Fund	AGF Funds Inc.	A,C
AGF RSP Global Bond Fund	AGF Funds Inc.	D
AGF RSP International Equity Allocation Fund	AGF Funds Inc.	D
AGF RSP International Value Fund	AGF Funds Inc.	A,C
AGF RSP Japan Fund	AGF Funds Inc.	A,C
AGF RSP Multimanager Fund	AGF Funds Inc.	D
AGF Traditional Income Fund	AGF Funds Inc.	D
AGF World Balanced Fund	AGF Funds Inc.	D
AGF World Companies Fund	AGF Funds Inc.	D
AGFIQ US Long/Short Dividend Income CAD Hedged Fund	AGFIQ US Long/Short Dividend Income CAD Hedged Fund	D
Aggressive Folio Fund	Mackenzie Financial Corporation	D
AGII RRSP Growth Fund	Alexander Gluskin Investments Inc.	D
Agilith Long Only Fund	Agilith Capital Inc.	D
AH Balanced Section	Aston Hill Asset Management Inc.	D
AH Dividend Income Section	Aston Hill Asset Management Inc.	D
AH High Income Section	Aston Hill Asset Management Inc.	D
AH Real Estate Section	Aston Hill Asset Management Inc.	D
AHF Credit Opportunities Fund	AHF Capital Partners Inc.	A,C
AIC Canadian Income Choice Fund	AIC Limited	D
AIC Money Market Fund	AIC Limited	D
AIC RSP American Balanced Fund	AIC Limited	D
AIC RSP International Leaders Fund	AIC Limited	D
Alco Standard Canadian Bond Fund	Montreal Trust	D
Alco Standard Canadian Equity Fund	Montreal Trust	D
Alco Standard Canadian Fixed Income Fund	Montreal Trust	D
Alexandria Dividend Equity Fund	Guardian Group of Funds Ltd.	D
Alexis Nihon Real Estate Investment Trust	Alexis Nihon Real Estate Investment Trust	D
Algonquin Power Income Fund	Algonquin Management Inc.	D
Alitis Growth Pool	Alitis Investment Counsel Inc.	A,C
Alitis Income & Growth Pool	Alitis Investment Counsel Inc.	A,C
Alitis Mortgage Plus Fund	Alitis Investment Counsel Inc.	A,C
Alitis Private REIT	Alitis Investment Counsel Inc.	A,C
Alitis Strategic Income Pool	Alitis Investment Counsel Inc.	A,C

Name of registered investment / Nom du placement enregistré	Trust or corporation submitting the application / Fiducie ou corporation produisant la déclaration	Note
All-Canadian Compound Fund	All-Canadian Management Inc.	A,C
Allendale Mutual Insurance Savings Plan	The Royal Trust Company	A,B
Allied Properties Real Estate Investment Trust	Allied Properties Real Estate Investment Trust	D
Alpha Balanced Portfolio	Acuity Funds Ltd.	D
Alpha Global Portfolio	Acuity Funds Ltd.	D
Alpha Growth Portfolio	Acuity Funds Ltd.	D
Alpha Income Portfolio	Acuity Funds Ltd.	D
Alpha Lake Multistrategy Portfolio	Alpha Lake Financial Analytics Corp.	D
Alpha Money Market Fund	Alpha Strategic Partners Ltd.	D
Alpha Quantitative Equity Fund	Alpha Strategic Partners Ltd.	D
Alpha Quantitative Value Fund	Alpha Strategic Partners Ltd.	D
Alpha Social Values Portfolio	Acuity Funds Ltd.	D
AlphaQuest Bond Fund	AlphaQuest Capital Management Ltd.	D
AlphaQuest Canadian Equity Fund	AlphaQuest Capital Management Ltd.	D
AlphaQuest Short-Term Investment Fund	AlphaQuest Capital Management Ltd.	D
Alsek Investment Fund	Alsek Services Inc.	A,C
Altagas Income Trust	Altagas Income Trust	D
Altamira Dividend Fund	Altamira Investment Services Inc.	D
Altamira Energy Fund	Altamira Investment Services Inc.	D
Altamira Global Bond Fund	Altamira Investment Services Inc.	D
Altamira Global Money Fund	Altamira Investment Services Inc.	D
Altamira High Yield Bond Fund	Altamira Investment Services Inc.	D
Altamira Income Fund	Altamira Investment Services Inc.	D
Altamira Inflation-Adjusted Bond Fund	Altamira Investment Services Inc.	D
Altamira Monthly Income Fund	Altamira Investment Services Inc.	D
Altamira North American Recovery Fund	Altamira Investment Services Inc.	D
Altamira Pooled Balanced Fund	Altamira Investment Services Inc.	D
Altamira Pooled Canadian Equity Fund	Altamira Investment Services Inc.	D
Altamira Pooled Money Market Fund	Altamira Investment Services Inc.	D
Altamira Precious and Strategic Metal Fund	Altamira Investment Services Inc.	D
Altamira Precision Canadian Index Fund	Altamira Investment Services Inc.	D
Altamira Precision European RSP Index Fund	Altamira Investment Services Inc.	D
Altamira Precision International RSP Index Fund	Altamira Investment Services Inc.	D
Altamira Precision U.S. RSP Index Fund	Altamira Investment Services Inc.	D
Altamira Resource Fund	Altamira Investment Services Inc.	D
Altamira RSP Biotechnology Fund	Altamira Investment Services Inc.	D
Altamira RSP e-business Fund	Altamira Investment Services Inc.	D
Altamira RSP Global 20 Fund	Altamira Investment Services Inc.	D
Altamira RSP Global Diversified Fund	Altamira Investment Services Inc.	D
Altamira RSP Global Telecommunications Fund	Altamira Investment Services Inc.	D

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Altamira RSP Health Sciences Fund	Altamira Investment Services Inc.	D
Altamira RSP Japanese Opportunity Fund	Altamira Investment Services Inc.	D
Altamira RSP Science and Technology Fund	Altamira Investment Services Inc.	D
Altamira Short Term Canadian Income Fund	Altamira Investment Services Inc.	D
Altamira Short Term Government Bond Fund	Altamira Investment Services Inc.	D
Altamira T-Bill Fund	Altamira Investment Services Inc.	D
Altema Diversified Equity Market Neutral Fund	Altema Diversified Equity Market Neutral Fund	D
Alto Aggressive Registered Portfolio	Investors Group Trust Co. Ltd.	D
Alto Conservative Portfolio	Investors Group Trust Co. Ltd.	D
Alto Moderate Aggressive Registered Portfolio	Investors Group Trust Co. Ltd.	D
Alto Moderate Conservative Portfolio	Investors Group Trust Co. Ltd.	D
Alto Moderate Portfolio	Investors Group Trust Co. Ltd.	D
American Timing Fund	Guardian Timing Services Inc.	D
AMI Private Capital Equity Fund	AMI Partners Inc.	D
AMI Private Capital Income Fund	AMI Partners Inc.	D
AMI Private Capital Money Market Fund	AMI Partners Inc.	D
AMI Private Capital Optimix Fund	AMI Partners Inc.	D
Antares Balanced Pool	Antares Investment Management Inc.	A,C
Antares Conservative Growth Pool	Antares Investment Management Inc.	A,C
Antares Special Opportunities Pool	Antares Investment Management Inc.	A,C
Antares Total Equity Pool	Antares Investment Management Inc.	A,C
Antares Value Pool	Antares Investment Management Inc.	A,C
APF Energy Trust	APF Energy Trust	D
Arcs of Fire Tactical Balanced Fund	Arcs of Fire Tactical Balanced Fund	D
Ark Canadian Long-Short Equity Fund	Ark Funds Management Ltd.	A,C
Armtec Infrastructure Income Fund	Armtec Infrastructure Income Fund	D
Arrow Epic Capital Fund	Arrow Hedge Partners Inc.	D
Arrow Global RSP Long/Short Fund	Arrow Hedge Partners Inc.	D
Arrow Goodwood Fund	Arrow Hedge Partners Inc.	D
Artisan Canadian Equity Portfolio	Loring Ward Investment Counsel Ltd.	D
Artisan Canadian T-Bill Portfolio	Loring Ward Investment Counsel Ltd.	D
Artisan RSP Aggressive Portfolio	Loring Ward Investment Counsel Ltd.	D
Artisan RSP Conservative Portfolio	Loring Ward Investment Counsel Ltd.	D
Artisan RSP Global Advantage Portfolio	Assante Asset Management Ltd.	D
Artisan RSP Moderate Portfolio	Loring Ward Investment Counsel Ltd.	D
Artisan RSP Most Aggressive Portfolio	Loring Ward Investment Counsel Ltd.	D
Artisan RSP Most Conservative Portfolio	Loring Ward Investment Counsel Ltd.	D
Artworkx Fixed Income Fund	Artworkx Fixed Income Fund	A,C
Asset Protection Fund	The Canada Life Assurance Company	D
Aston Hill Canadian Total Return Fund	Aston Hill Asset Management Inc.	D

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Aston Hill Corporate Bond Fund	Aston Hill Asset Management Inc.	A,C
Aston Hill Global Growth & Income Fund	Aston Hill Shareholder Yield Fund	D
Aston Hill Global Resource Fund	Aston Hill Asset Management Inc.	D
Aston Hill High Income Fund	Aston Hill Asset Management Inc.	A
Aston Hill Millennium Fund	Aston Hill Asset Management Inc.	A
Aston Hill Opportunities Fund	Aston Hill Opportunities Fund	D
ATB Money Market Fund	ATB Investment Management Inc.	D
ATBIS Canadian Equity Pool	ATBIS Canadian Equity Pool	D
ATBIS Fixed Income Pool	ATBIS Fixed Income Pool	D
ATBIS International Equity Pool	ATBIS International Equity Pool	D
ATBIS U.S. Equity Pool	ATBIS U.S. Equity Pool	D
Atlantic Equity Fund / Fonds d'actions Atlantique	Bolton Tremblay Inc.	D
Atlas Canadian Diversified Fund	Atlas Asset Management Inc.	D
Atlas Canadian Emerging Value Fund	Atlas Asset Management Inc.	D
Atlas Managed Futures Fund	Atlas Asset Management Inc.	D
Aurion Balanced Savings Fund	Aurion Capital Management Inc.	B
Aurion Capital Canadian Bond Fund	Aurion Capital Management Inc.	D
Aurion Capital Canadian Equity Fund	Aurion Capital Management Inc.	D
Aurion II Equity Fund	Aurion Capital Management Inc.	D
Aurion Income Opportunities Fund	Aurion Capital Management Inc.	D
Australian REIT Income Fund	Australian REIT Income Fund	D
Aventine Canadian Equity Fund	Aventine Management Group Inc.	D
Aventine Stable Income Fund	Aventine Management Group Inc.	A,C
Avista Real Estate Investment Trust	Avista Real Estate Investment Trust	D
Axiom All Equity Portfolio	CIBC Asset Management Inc.	D
Axiom Balanced Growth Portfolio	CIBC Asset Management Inc.	D
Axiom Balanced Income Portfolio	CIBC Asset Management Inc.	D
Axiom Canadian Growth Portfolio	CIBC Asset Management Inc.	D
Axiom Diversified Monthly Income Portfolio	CIBC Asset Management Inc.	D
Axiom Foreign Growth Portfolio	CIBC Asset Management Inc.	D
Axiom Global Growth Portfolio	CIBC Asset Management Inc.	D
Axiom Long-Term Growth Portfolio	CIBC Asset Management Inc.	D
Baker Gilmore & Associates Bond Fund	Baker Gilmore & Associates Inc.	D
Balanced 50/50 Fund	SEI Investments Canada Company	D
Balanced 60/40 Fund	SEI Investments Canada Company	D
Balanced Folio Fund	Mackenzie Financial Corporation	D
Balanced Index Fund	SEI Investments Canada Company	D
Balanced Monthly Income Fund	SEI Investments Canada Company	D
Banque Nationale du Canada — Fonds de revenu Invesnat	Banque Nationale du Canada	D
Barclays McConnell Canadian Equity Fund	National Trust	A,B

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Barclays McConnell Canadian Savings Fund	National Trust	D
Barlow Partners Growth Fund	Wellington West Asset Management Inc.	D
Barlow Partners Income and Growth Fund	Wellington West Asset Management Inc.	D
Barometer Equity Pool	Barometer Capital Management Inc.	D
Barometer Global Macro Pool	Barometer Capital Management Inc.	D
Barometer Tactical Balanced Pool	Barometer Capital Management Inc.	D
Barometer Tactical Fixed Income Pool	Barometer Capital Management Inc.	D
Barometer Tactical Income Pool	Barometer Capital Management Inc.	D
Barrtor Canadian Fund	National Trust	D
Baskin Equity Fund	Baskin Equity Fund	D
BCR Mortgage Fund Trust	BCR Mortgage Fund Trust	A,C
BDC Investment Fund	National Trust	D
Bechtel Canada Inc. Balanced Trust	The Royal Trust Company	A,B
Bechtel Canada Inc. Canadian Trust	The Royal Trust Company	A,B
Bechtel Canada Inc. Money Market Trust	The Royal Trust Company	A,B
Beedie Real Estate Income Trust	Beedie Real Estate Income Trust	D
Bellwether Alternative Income Fund	Bellwether Investment Management Inc.	D
Bellwether Canadian Performance Fund	Bellwether Investment Management Inc.	D
Bellwether Canadian Stock Fund	Bellwether Investment Management Inc.	D
Bellwether Global Real Estate & Infrastructure Fund	Bellwether Investment Management Inc.	D
Bellwether North American Growth & Income Fund	Bellwether Investment Management Inc.	D
Bellwether US Stock Fund	Bellwether Investment Management Inc.	D
Bengeo Investment Fund	Bengeo Investment Fund	A
Beutel Goodman American Equity Fund	Beutel, Goodman & Company Limited	D
Beutel Goodman Balanced Fund	Beutel, Goodman & Company Limited	D
Beutel Goodman Canadian Dividend Fund	Beutel, Goodman & Company Limited	D
Beutel Goodman Canadian Equity Fund	Beutel, Goodman & Company Limited	D
Beutel Goodman Canadian Equity Plus Fund	Beutel, Goodman & Company Limited	D
Beutel Goodman Canadian Intrinsic Fund	Beutel, Goodman & Company Limited	D
Beutel Goodman Corporation/Provincial Active Bond Fund	Beutel, Goodman & Company Limited	D
Beutel Goodman Fundamental Canadian Equity Fund	Beutel, Goodman & Company Limited	D
Beutel Goodman Global Dividend Fund	Beutel, Goodman & Company Limited	D
Beutel Goodman Global Equity Fund	Beutel, Goodman & Company Limited	D
Beutel Goodman Income Fund	Beutel, Goodman & Company Limited	D
Beutel Goodman International Equity Fund	Beutel, Goodman & Company Limited	D
Beutel Goodman Long Term Bond Fund	Beutel, Goodman & Company Limited	D
Beutel Goodman Money Market Fund	Beutel, Goodman & Company Limited	D
Beutel Goodman Short Term Bond Fund	Beutel, Goodman & Company Limited	D
Beutel Goodman Small Cap Fund	Beutel, Goodman & Company Limited	D
Beutel Goodman World Focus Equity Fund	Beutel, Goodman & Company Limited	D

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BF Private World Focus Equity Fund	Beutel, Goodman & Company Limited	A
BG Fundamental Canadian Equity Fund	Beutel, Goodman & Company Limited	D
BG Private Balanced RRSP Fund	Beutel, Goodman & Company Limited	A
BG Private Bond Fund	Beutel, Goodman & Company Limited	D
BG Private Canadian Equity Fund	Beutel, Goodman & Company Limited	D
BG Private North American Balanced Fund	Beutel, Goodman & Company Limited	D
BG Private U.S. Equity Fund	Beutel, Goodman & Company Limited	D
BG Private World Focus Equity Fund	Beutel, Goodman & Company Limited	A
BG World Focus Equity Fund	Beutel, Goodman & Company Limited	D
BGICL Active Canadian Equity Fund II	Barclays Global Investors Canada Limited	D
BGICL Active Canadian Equity Fund III	Barclays Global Investors Canada Limited	D
BGICL Active Canadian Equity Fund IV	Barclays Global Investors Canada Limited	D
BGICL Active Canadian Equity Fund V	Barclays Global Investors Canada Limited	D
BGICL Conservative Balanced Index Fund	Barclays Global Investors Canada Limited	D
BGICL CoreActive Long Bond Fund	Barclays Global Investors Canada Limited	D
BGICL Daily S&P/TSX Composite Index Fund	Barclays Global Investors Canada Limited	D
Bison Income Trust II	Bison Income Services Ltd.	D
Bison Prime Mortgage Fund	Bison Income Services Ltd.	A
Bissett Core Equity Trust	Franklin Templeton Investments Corporation	D
Bissett Corporate Bond Fund	Franklin Templeton Investments Corporation	D
Bissett Institutional Balanced Trust	Bissett Institutional Balanced Trust	D
Bissett Microcap Fund	Bissett & Associates Investment Management Ltd.	D
Black Canadian Bond Fund	Black Investment Management Ltd.	D
Black Conservative Income Fund	Black Investment Management Ltd.	D
Black Creek International Equity Pool	Black Creek International Equity Pool	D
Black Dividend Income Fund	Black Investment Management Ltd.	D
Black Money Market Fund	Black Investment Management Ltd.	D
Black North American Growth Fund	Black Investment Management Ltd.	D
Black One Decision Fund	Black Investment Management Ltd.	D
BlackRock Active Canadian Equity DC Fund	BlackRock Asset Management Canada Limited	D
BlackRock Active Canadian Equity ex-Income Trusts Fund	BlackRock Asset Management Canada Limited	D
BlackRock Active Canadian Equity Fund	BlackRock Asset Management Canada Limited	D
BlackRock Active Canadian Equity Small Cap Fund	BlackRock Asset Management Canada Limited	D
BlackRock All Bond Portfolio	BlackRock Asset Management Canada Limited	D
BlackRock Balanced Aggressive Index DC Fund	BlackRock Asset Management Canada Limited	D
BlackRock Balanced Conservative Index DC Fund	BlackRock Asset Management Canada Limited	D
BlackRock Balanced Moderate Index DC Fund	BlackRock Asset Management Canada Limited	D
BlackRock Balanced Portfolio	BlackRock Asset Management Canada Limited	D
BlackRock Canada ex-BBB Universe Bond Index Fund	BlackRock Asset Management Canada Limited	D
BlackRock Canada Long Bond Index Fund	BlackRock Asset Management Canada Limited	D

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BlackRock Canada Real Return Bond Index Fund	BlackRock Asset Management Canada Limited	D
BlackRock Canada Universe Bond Index Fund	BlackRock Asset Management Canada Limited	D
BlackRock Canadian Equity Index Fund	BlackRock Asset Management Canada Limited	D
BlackRock CDN Global Developed Real Estate Index Fund	BlackRock CDN Global Developed Real Estate Index Fund	D
BlackRock CDN Global Infrastructure Equity Index Fund	BlackRock CDN Global Infrastructure Equity Index Fund	D
BlackRock CDN LifePath 2010 Index Fund	BlackRock Asset Management Canada Limited	D
BlackRock CDN LifePath 2015 Index Fund	BlackRock Asset Management Canada Limited	D
BlackRock CDN LifePath 2020 Index Fund	BlackRock Asset Management Canada Limited	D
BlackRock CDN LifePath 2025 Index Fund	BlackRock Asset Management Canada Limited	D
BlackRock CDN LifePath 2030 Index Fund	BlackRock Asset Management Canada Limited	D
BlackRock CDN LifePath 2035 Index Fund	BlackRock Asset Management Canada Limited	D
BlackRock CDN LifePath 2040 Index Fund	BlackRock Asset Management Canada Limited	D
BlackRock CDN LifePath 2045 Index Fund	BlackRock Asset Management Canada Limited	D
BlackRock CDN LifePath 2050 Index Fund	BlackRock CDN LifePath 2050 Index Fund	D
BlackRock CDN LifePath Retirement Index Fund I	BlackRock Asset Management Canada Limited	D
BlackRock CDN MSCI ACWI ex-Canada Index Fund	BlackRock Asset Management Canada Limited	D
BlackRock CDN MSCI EAFE Equity Index Fund	BlackRock CDN MSCI EAFE Equity Index Fund	D
BlackRock CDN MSCI USA Minimum Volatility Index Fund	BlackRock CDN MSCI USA Minimum Volatility Index Fund	D
BlackRock CDN Short Term Index Fund	BlackRock Asset Management Canada Limited	D
BlackRock CDN US Equity Index Fund	BlackRock CDN US Equity Index Fund	D
BlackRock CDN US Equity Index Non-Taxable Fund	BlackRock CDN US Equity Index Fund	D
BlackRock Conservative Portfolio	BlackRock Asset Management Canada Limited	D
BlackRock Defensive Portfolio	BlackRock Asset Management Canada Limited	D
BlackRock Diversified Monthly Income Portfolio	BlackRock Asset Management Canada Limited	D
BlackRock Growth Portfolio	BlackRock Asset Management Canada Limited	D
BlackRock Maxgrowth Portfolio	BlackRock Asset Management Canada Limited	D
BLC-EdR Bond Fund / Fonds d'obligations BLC-EdR	BLC-Edmond de Rothschild Asset Management Inc.	D
BLC-EdR Bond Index Fund	BLC-Edmond de Rothschild Asset Management Inc.	D
BLC-EdR Canadian Equities Fund-Defensive / Fonds d'actions canadiennes-défensif BLC-EdR	BLC-Edmond de Rothschild Asset Management Inc.	D
BLC-EdR Canadian Equities Fund-Quality / Fonds d'actions canadiennes-qualité BLC-EdR	BLC-Edmond de Rothschild Asset Management Inc.	D
BLC-EdR Canadian Preferred Shares Fund	BLC-Edmond de Rothschild Asset Management Inc.	D
BLC-EdR Dynamic Bond Fund	BLC-Edmond de Rothschild Asset Management Inc.	D
BLC-EdR Money Market Fund / Fonds du marché monétaire BLC-EdR	BLC-Edmond de Rothschild Asset Management Inc.	D
Blockchain Technologies ETF	Blockchain Technologies ETF	D
Bloom Canada Dividend Fund	Bloom Investment Counsel, Inc.	D
Bloombergsen Bond Fund	Bloombergsen Bond Fund	D
BlueBay European High Yield Bond Fund (Canada)	RBC Global Asset Management Inc.	D
BlueBay Global Convertible Bond Fund (Canada)	BlueBay Global Convertible Bond Fund (Canada)	D

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BlueBay Global Investment Grade Corporate Bond Fund (Canada)	RBC Global Asset Management Inc.	D
BlueBay Global Sovereign Bond Fund (Canada)	RBC Global Asset Management Inc.	D
Blueprint Global Strategies Pool	Cornerstone Investment Counsel	D
BluMont Core Hedge Fund	BluMont Capital Corporation	D
BluMont Hirsch Long/Short Fund	BluMont Capital Corporation	D
BluMont Hirsch Performance Fund	BluMont Capital Corporation	D
BluMont U.S. Long/Short Fund	BluMont Capital Corporation	D
BMO Asset Management Balanced Fund	Jones Heward Investment Mgmt. Inc.	D
BMO Asset Management Canadian Equity Fund	BMO Asset Management	D
BMO Capital Trust	Trust Co. of the Bank of Montreal	D
BMO Core Bond Fund	BMO Core Bond Fund	D
BMO Core Plus Bond Fund	BMO Core Plus Bond Fund	D
BMO Crossover Bond Fund	BMO Crossover Bond Fund	D
BMO Emerging Markets Bond Fund	BMO Emerging Markets Bond Fund	D
BMO Fixed Income ETF Portfolio	BMO Fixed Income ETF Portfolio	D
BMO Global Balanced Fund	BMO Global Balanced Fund	D
BMO Global Bond Fund	BMO Investments Inc.	D
BMO Global Business High Income Fund	BMO Global Businesses High Income Fund	D
BMO Harris Canadian Alpha Plus Portfolio	BMO Harris Canadian Alpha Plus Portfolio	D
BMO Harris Canadian Bond Income Portfolio	Trust Co. of the Bank of Montreal	D
BMO Harris Canadian Dividend Income Portfolio	Trust Co. of the Bank of Montreal	D
BMO Harris Canadian Money Market Portfolio	Trust Co. of the Bank of Montreal	D
BMO Harris Canadian Total Return Bond Portfolio	Trust Co. of the Bank of Montreal	D
BMO Harris Private Canadian Growth Equity Portfolio	Trust Co. of the Bank of Montreal	D
BMO International Index RSP Fund	Trust Co. of the Bank of Montreal	A,C
BMO Monthly Income Fund	Trust Co. of the Bank of Montreal	A,C
BMO Nesbitt Burns Balanced Fund	BMO Nesbitt Burns Inc.	D
BMO Nesbitt Burns Balanced Portfolio Fund	BMO Nesbitt Burns Inc.	D
BMO Nesbitt Burns Bond Fund	BMO Nesbitt Burns Inc.	D
BMO Nesbitt Burns Canadian Stock Selection Fund	BMO Nesbitt Burns Inc.	D
BMO Nesbitt Burns Growth Portfolio Fund	BMO Nesbitt Burns Inc.	D
BMO Nesbitt Burns International Equity Fund	BMO Nesbitt Burns Inc.	D
BMO Nesbitt Burns Maximum Growth Portfolio Fund	BMO Nesbitt Burns Inc.	D
BMO Nesbitt Burns RRSP Stock Selection Fund	BMO Nesbitt Burns Inc.	D
BMO Private Canadian Conservative Equity Portfolio	Trust Co. of the Bank of Montreal	D
BMO Private Canadian Corporate Bond Portfolio	BMO Harris Investment Inc.	D
BMO Private Canadian Income Equity Portfolio	Trust Co. of the Bank of Montreal	D
BMO Private Canadian Special Growth Portfolio	Trust Co. of the Bank of Montreal	D
BMO Private Diversified Yield Portfolio	BMO Harris Investment Inc.	D
BMO RSP European Fund	Trust Co. of the Bank of Montreal	A,C

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BMO RSP Global Science & Technology Fund	Trust Co. of the Bank of Montreal	A,C
BMO RSP Japanese Fund	Trust Co. of the Bank of Montreal	A,C
BMO Target Education 2020 Portfolio	BMO Target Education 2020 Portfolio	D
BMO Target Education 2025 Portfolio	BMO Target Education 2025 Portfolio	D
BMO Target Education 2030 Portfolio	BMO Target Education 2030 Portfolio	A
BMO Target Education 2035 Portfolio	BMO Target Education 2035 Portfolio	D
BMO Target Education Income Portfolio	BMO Target Education Income Portfolio	D
BMO U.S. Dividend Fund	BMO U.S. Dividend Fund	D
BMO U.S. Equity Plus Fund	BMO U.S. Equity Plus Fund	A
BNS Capital Trust	BNS Capital Trust	D
Bodnar Canadian Equity Fund	T.H.A. Bodnar & Co. Investment Management Ltd.	D
Bodnar Fixed Income Fund	T.H.A. Bodnar & Co. Investment Management Ltd.	D
Bodnar Money Market Fund	T.H.A. Bodnar & Co. Investment Management Ltd.	D
Boeing of Canada Limited Retirement Trust Fund	Investors Group Trust Co. Ltd.	A,B
Bolton Tremblay Balanced Fund / Fonds équilibré Bolton Tremblay	Bolton Tremblay Inc.	D
Bolton Tremblay Bond and Mortgage Fund	Bolton Tremblay Inc.	D
Bolton Tremblay Canadian Equity Fund / Fonds d'actions canadiennes Bolton Tremblay	Bolton Tremblay Inc.	D
Bolton Tremblay Canadian Mid-Capitalization Equity Fund / Fonds d'actions canadiennes de moyenne capitalisation Bolton Tremblay	Bolton Tremblay Inc.	D
Bolton Tremblay Canadian Small Capitalization Equity Fund / Fonds d'actions canadiennes à petite capitalisation Bolton Tremblay	Bolton Tremblay Inc.	D
Bolton Tremblay Fixed Income Fund / Fonds de titres à revenu fixe Bolton Tremblay	Bolton Tremblay Inc.	D
Bolton Tremblay International Bond Fund / Fonds d'obligations international Bolton Tremblay	Bolton Tremblay Inc.	D
Bolton Tremblay Short Term Fund / Fonds de titres à court terme Bolton Tremblay	Bolton Tremblay Inc.	D
Bolton Yield Enhanced Canadian Preferred Share Fund	Bolton Capital Canada Inc.	D
Bonavista Balanced Fund	The Royal Trust Company	D
Bonavista Canadian Equity Fund	The Royal Trust Company	D
Bonavista Canadian Equity Value Fund	RBC Global Asset Management Inc.	D
Bonavista Fixed Income Fund	The Royal Trust Company	D
Bonavista Global Balanced Fund	RBC Global Asset Management Inc.	D
Bonavista Money Market Fund	The Royal Trust Company	D
Bonavista Private Balanced Fund	The Royal Trust Company	D
Bonavista US Equity Fund	Bonavista Asset Management Ltd.	D
Bond Private Trust	Integra Capital Financial Corp.	D
Bonnett's Energy Services Trust	Bonnett's Energy Services Trust	D
BPI American Equity RSP Fund	BPI Capital Management Corporation	D
BPI Canadian Mid-Cap Fund	BPI Capital Management Corporation	D

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BPI Canadian Resource Fund	BPI Capital Management Corporation	D
BPI Corporate Bond Fund	BPI Capital Management Corporation	D
BPI Dividend Equity Fund	BPI Capital Management Corporation	D
BPI Global Equity RSP Fund	BPI Capital Management Corporation	D
BPI Global RSP Bond Fund	BPI Capital Management Corporation	D
BPI High Income Fund	BPI Capital Management Corporation	D
BPI Income & Growth Fund	BPI Capital Management Corporation	D
BPI Income Fund	BPI Capital Management Corporation	D
BPI International Equity RSP Fund	CI Investments Inc.	D
BPI North American Balanced RSP Fund	BPI Capital Management Corporation	D
BPI U.S. Money Market Fund	BPI Capital Management Corporation	D
Brand Leaders Fund	Harvest Portfolios Group Inc.	D
Brand Leaders Plus Income Fund	Harvest Portfolios Group Inc.	D
Brandes Canadian Equity Fund	Brandes Investment Partners & Co.	D
Brandes Canadian Money Market Fund	Brandes Investment Partners & Co.	D
Brandes Corporate Focus Bond Fund	Brandes Investment Partners & Co.	A,C
Brandes Global Opportunities Fund	Brandes Global Opportunities Fund	A,C
Brandes RSP Global Equity Fund	Brandes Investment Partners & Co.	D
Brandes RSP International Equity Fund	Brandes Investment Partners & Co.	D
Brandes RSP US Equity Fund	Brandes Investment Partners & Co.	D
Brandes Sionna Canadian Balanced Fund	Brandes Investment Partners & Co.	D
Brandes Sionna Canadian Equity Fund	Brandes Investment Partners & Co.	A,C
Brandes Sionna Canadian Small Cap Equity Fund	Brandes Investment Partners & Co.	A,C
Brandes Sionna Diversified Income Fund	Brandes Investment Partners & Co.	A,C
Brandes Sionna Monthly Income Fund	Brandes Investment Partners & Co.	A,C
Brascan SoundVest Rising Distribution Split Trust	Brascan Rising Distribution Management Ltd.	D
Brigata Diversified Portfolio	Brigata Diversified Portfolio	D
Brirma Fixed Income Fund	Brirma Capital Services Ltd.	D
BTH Tactical Growth Fund	BTH Tactical Growth Fund	D
Buck Stable Value Fund	Montreal Trust	D
Burgundy Balanced Income Fund	Burgundy Asset Management Ltd.	D
Burgundy Compound Reinvestment Fund	Burgundy Asset Management Ltd.	A,C
Burgundy Focus Equity RSP Fund	Burgundy Asset Management Ltd.	D
Burgundy Government Bond Fund	Burgundy Asset Management Ltd.	D
Burgundy Money Market Fund	Burgundy Asset Management Ltd.	D
Burgundy RCA Trust Fund	Burgundy Asset Management Ltd.	A,C
Burgundy US Small / Mid Cap Fund	Burgundy Asset Management Ltd.	A,C
Burns Fry Pooled Balanced Fund	Burns Fry Limited	D
Business Cycle Growth Fund	Business Cycle Growth Fund	D
Business Cycle Income Fund	Business Cycle Income Fund	D

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C.F.G. Heward Fund	C.F.G. Heward Investments Management	D
C.I. American RSP Fund	CI Investments Inc.	D
C.I. Canadian Balanced Fund	CI Investments Inc.	D
C.I. Canadian Bond Fund	CI Investments Inc.	D
C.I. Canadian Growth Fund	CI Investments Inc.	D
C.I. Canadian Income Fund	CI Investments Inc.	D
C.I. Emerging Markets RSP Fund	CI Investments Inc.	D
C.I. Global Biotechnology RSP Fund	CI Investments Inc.	D
C.I. Global Bond RSP Fund	CI Investments Inc.	D
C.I. Global Boomernomics RSP Fund	CI Investments Inc.	D
C.I. Global Business-to-Business RSP Fund	CI Investments Inc.	D
C.I. Global Consumer Products RSP Fund	CI Investments Inc.	D
C.I. Global Energy RSP Fund	CI Investments Inc.	D
C.I. Global Equity RSP Fund	CI Investments Inc.	D
C.I. Global Financial Services RSP Fund	CI Investments Inc.	D
C.I. Global Health Sciences RSP Fund	CI Investments Inc.	D
C.I. Global Managers RSP Fund	CI Investments Inc.	D
C.I. Global Technology RSP Fund	CI Investments Inc.	D
C.I. Global Telecommunications RSP Fund	CI Investments Inc.	D
C.I. International RSP Fund	CI Investments Inc.	D
C.I. Japanese RSP Fund	CI Investments Inc.	D
C.I. Money Market Fund	CI Investments Inc.	D
C.I. Pacific RSP Fund	CI Investments Inc.	D
C.I. US Money Market Fund	CI Investments Inc.	D
Caisse commune actions américaines Natcan	Trust Banque Nationale inc.	D
Caisse commune actions canadiennes Natcan	Trust Banque Nationale inc.	D
Caisse commune actions de croissance Natcan	Trust Banque Nationale inc.	D
Caisse commune actions étrangères Natcan	Trust Général du Canada	A
Caisse commune marché monétaire américain Natcan	Trust Banque Nationale inc.	A
Caisse commune marché monétaire canadien Natcan	Trust Banque Nationale inc.	D
Caisse commune obligations à haut rendement Natcan RER	Trust Banque Nationale inc.	D
Caisse commune obligations canadiennes indicelle-plus Natcan	Trust Banque Nationale inc.	D
Caisse commune obligations canadiennes Natcan	Trust Banque Nationale inc.	D
Caisse commune obligations corporatives	Trust Banque Nationale inc.	D
Caisse commune obligations étrangères Natcan	Trust Général du Canada	A
Caisse commune S&P/TSE 60 Natcan	Trust Banque Nationale inc.	D
Caisse privée actifs réels TBN	Trust Banque Nationale inc.	D
Caisse privée actions américaines / U.S. Equity Pooled Fund	Trust Banque Nationale inc.	D
Caisse privée actions canadiennes TBN	Trust Banque Nationale inc.	D

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Caisse privée actions de croissance TBN	Trust Banque Nationale inc.	D
Caisse privée actions indicielles	National Bank Investments Inc. / Banque Nationale Investissements inc.	D
Caisse privée actions internationales / International Equity Pooled Fund	Trust Banque Nationale inc.	D
Caisse privée actions privilégiées TBN	Trust Banque Nationale inc.	D
Caisse privée américaine diversifiée TBN	Trust Banque Nationale inc.	D
Caisse privée appréciation de capital non traditionnel / Non-Traditional Capital Appreciation Pooled Fund	Trust Banque Nationale inc.	D
Caisse privée B actions canadiennes TBN	Trust Banque Nationale inc.	D
Caisse privée déviations tactiques TBN	Trust Banque Nationale inc.	D
Caisse privée fiducies et successions TBN	Trust Banque Nationale inc.	D
Caisse privée marché monétaire TBN	Trust Banque Nationale inc.	D
Caisse privée marchés émergents TBN	Trust Banque Nationale inc.	D
Caisse privée obligations américaines / U.S. Bond Pooled Fund	Trust Banque Nationale inc.	D
Caisse privée obligations canadiennes court terme TBN	Trust Banque Nationale inc.	D
Caisse privée obligations canadiennes TBN	Trust Banque Nationale inc.	D
Caisse privée obligations corporatives TBN	Trust Banque Nationale inc.	D
Caisse privée obligations gouvernementales TBN	Trust Banque Nationale inc.	D
Caisse privée revenu fixe / Fixed Income Pooled Fund	Trust Banque Nationale inc.	D
Caisse privée revenu fixe non traditionnel / Non-Traditional Fixed Income Pooled Fund	Trust Banque Nationale inc.	D
Caisses communes de placements fonds actions	Trust Général du Canada	B
Caisses communes de placements fonds d'obligations	Trust Général du Canada	B
Caldwell Balanced Fund	Caldwell Investment Management Ltd.	D
Caldwell Canada Fund	Caldwell Investment Management Ltd.	D
Caldwell Canadian Value Momentum Fund	Caldwell Canadian Value Momentum Fund	A,C
Caldwell Growth Opportunities Trust	Caldwell Growth Opportunities Trust	A,C
Caldwell ICM Market Strategy Trust	Caldwell ICM Market Strategy Trust	D
Caldwell Income Fund	Caldwell Investment Management Ltd.	D
Caldwell Strategic Investment Pool	Caldwell Strategic Investment Pool	A,C
Caldwell U.S. Dividend Champions Fund	Caldwell U.S. Dividend Champions Fund	D
Calloway Real Estate Investment Trust	Calloway Real Estate Investment Trust	D
CALP RSP Fund	CALP RSP Fund	D
Cambridge Asset Allocation Fund	CI Investments Inc.	D
Cambridge Bond Fund	Cambridge Bond Fund	D
Cambridge Canadian Equity Fund	CI Investments Inc.	D
Cambridge Canadian Growth Companies Fund	Cambridge Canadian Growth Companies Fund	D
Cambridge Global Dividend Fund	Cambridge Global Dividend Fund	D
Cambridge Global Equity Fund	CI Investments Inc.	D
Cambridge Growth Companies Fund	CI Investments Inc.	D
Cambridge Income Fund	Cambridge Income Fund	D

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Cambridge Precious Metals Fund	Sagit Investment Management Ltd.	D
Cambridge Pure Canadian Equity Fund	Cambridge Pure Canadian Equity Fund	D
Cambridge Stock Selection Fund	Cambridge Stock Selection Fund	D
Cambridge U.S. Dividend Registered Fund	Cambridge U.S. Dividend Registered Fund	D
Cambridge U.S. Dividend US\$ Fund	Cambridge U.S. Dividend US\$ Fund	D
Camlin Global Catalyst Fund	Camlin Global Catalyst Fund	A,C
Campbell Soup's Employees Savings & Stock Bonus Plan Canada	Montreal Trust	A
Canada Income Plus Fund	The Royal Trust Company	D
Canada Life Capital Trust	The Canada Life Assurance Company	D
Canada Starch Company Inc. Diversified Income Fund	The Royal Trust Company	A,B
Canada Trust Alliance RSP Balanced Fund Portfolio	CT Investment Management Group Inc.	D
Canada Trust Alliance RSP Canadian Growth Fund Portfolio	CT Investment Management Group Inc.	D
Canada Trust Alliance RSP Income Growth Fund Portfolio	CT Investment Management Group Inc.	D
Canada Trust Alliance RSP World Growth Fund Portfolio	CT Investment Management Group Inc.	D
Canada Trust Canadian Equity Index Fund	CT Investment Management Group Inc.	D
Canada Trust Company Retirement Savings Plan Equity Section	The Canada Trust Company	A,C
Canada Trust Company Retirement Savings Plan Income Section	The Canada Trust Company	A,C
Canada Trust Conservative Income Fund Portfolio	CT Investment Management Group Inc.	D
Canada Trust Income Fund Portfolio	CT Investment Management Group Inc.	D
Canada Trust Pooled Funds: Canadian Equity Fund	The Canada Trust Company	A,B
Canada Trust Pooled Funds: Diversified Fund	The Canada Trust Company	D
Canada Trust Pooled Mortgage Fund – T.C.A. Part	The Canada Trust Company	A,B
Canada Trust Retirement Balanced Fund	CT Investment Management Group Inc.	D
Canada Trust RSP Balanced Fund Portfolio	CT Investment Management Group Inc.	D
Canada Trust RSP Canadian Growth Fund Portfolio	CT Investment Management Group Inc.	D
Canada Trust RSP Income Growth Fund Portfolio	CT Investment Management Group Inc.	D
Canada Trust RSP World Growth Fund Portfolio	CT Investment Management Group Inc.	D
Canada's Leading Companies Growth Trust, 1999 Portfolio	HSBC James Capel Canada Inc.	D
Canada's Leading Companies Growth Trust, 2000 Portfolio	First Defined Portfolio Management Co.	D
Canadian Apartment Properties Real Estate Investment Trust	Canadian Apartment Properties Real Estate Investment Trust	D
Canadian Balanced Pooled Fund	The Royal Trust Company	D
Canadian Balanced Sheltered Private Client Pooled Fund	The Royal Trust Company	D
Canadian Bond Fund	The Royal Trust Company	D
Canadian Bond Pooled Fund	The Royal Trust Company	D
Canadian Bond Private Client Pooled Fund	The Royal Trust Company	D
Canadian Conference of the Mennonite Brethren Church of North America Retirement Plan Fund	Investors Group Trust Co. Ltd.	A,C
Canadian Dividend Income Component Fund	HSBC Global Asset Management (Canada) Limited	D

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Canadian Equity Alpha Pool	CI Investments Inc.	D
Canadian Equity Folio Fund	Mackenzie Financial Corporation	D
Canadian Equity Fund	Royal Bank Invst. Mgmt. Inc.	D
Canadian Equity Fund	SEI Investments Canada Company	D
Canadian Equity Pooled Fund	The Royal Trust Company	D
Canadian Equity Private Client Pooled Fund	The Royal Trust Company	D
Canadian Fixed Income Fund	SEI Investments Canada Company	D
Canadian Fixed Income Index Fund	SEI Investments Canada Company	D
Canadian Focused Balanced Fund	SEI Investments Canada Company	D
Canadian Focused Growth Fund	SEI Investments Canada Company	D
Canadian Hotel Income Properties Real Estate Investment Trust	CHIP REIT	D
Canadian Index Fund	SEI Investments Canada Company	D
Canadian Large Cap Index Fund	SEI Investments Canada Company	D
Canadian Premium Select Income Fund	Canadian Premium Select Income Fund	D
Canadian Real Estate Investment Trust	Canadian Real Estate Investment Trust	D
Canadian Resources Income Trust	CaRIT Limited	D
Canadian Small Company Equity Fund	SEI Investments Canada Company	D
Canadian Trading Fund I	Canadian Trading Fund I	D
Canadian Western Bank Capital Trust	Canadian Western Bank Capital Trust	D
Canoe EIT Income Fund	EnerVest Diversified Management Inc.	D
Canso Asia Pacific Fund	Canso Asia Pacific Fund	D
Canso Canadian Bond Fund	Canso Fund Management Ltd.	D
Canso Canadian Equity Fund	Canso Fund Management Ltd.	D
Canso Catalina Fund	Canso Fund Management Ltd.	D
Canso Core Plus Fund	Canso Fund Management Ltd.	D
Canso Coriel Investment Grade Fund	Canso Coriel Investment Grade Fund	D
Canso Corporate Bond Fund	Canso Fund Management Ltd.	D
Canso Corporate Securities Fund	Canso Fund Management Ltd.	A,C
Canso Dividend and Income Fund	Canso Fund Management Ltd.	D
Canso Fund	Canso Fund Management Ltd.	A,C
Canso High Yield Fund	Canso Fund Management Ltd.	A,C
Canso Income Fund	Canso Fund Management Ltd.	D
Canso India Fund	Canso Fund Management Ltd.	D
Canso Inflation Linked Fund	Canso Fund Management Ltd.	D
Canso North Star Fund	Canso Fund Management Ltd.	A,C
Canso Preservation Fund	Canso Fund Management Ltd.	A,C
Canso Private Debt Fund	Canso Fund Management Ltd.	D
Canso Private Investment Grade Bond Fund	Canso Private Investment Grade Bond Fund	D
Canso Reconnaissance Fund	Canso Fund Management Ltd.	D
Canso Retirement and Savings Fund	Canso Fund Management Ltd.	D

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Canso Short Term and Floating Rate Income Fund	Canso Fund Management Ltd.	D
Capital Direct I Income Trust	Capital Direct I Income Trust	A
Capital Global Discovery RSP Fund	Scotia Securities Inc.	D
Capital Global Small Companies RSP Fund	Scotia Securities Inc.	D
Capital International Large Companies RSP Fund	Scotia Securities Inc.	D
Capital Preservation Fund	Global Growth Assets Inc.	D
Capital U.S. Large Companies RSP Fund	Scotia Securities Inc.	D
Capital U.S. Small Companies RSP Fund	Scotia Securities Inc.	D
Capstone Cash Management Fund	International Trust Company	D
Capsure Hedged Oil and Gas Income and Growth Trust	Capsure Hedged Oil and Gas Income and Growth Trust	D
Cardinal Canadian Equity Pool	Cardinal Canadian Equity Pool	D
Cardinal Foreign Equity Pool	Cardinal Capital Management Inc.	D
Carrington Investment Fund	Carrington Investment Fund	D
Cartier Cdn. Managers' Choice Fund	Desjardins Trust Inc.	D
Cartier World RRSP Fund	Desjardins Trust Inc.	D
Catégorie de Société Fonds Desjardins inc.	Fiducie Desjardins inc.	D
CBI Pooled Fund	Montreal Trust	B
CC&L Balanced Growth Portfolio	Connor, Clark & Lunn Managed Portfolios Inc.	D
CC&L Canadian Equity Fund	Connor, Clark & Lunn Private Capital Ltd.	D
CC&L Canadian Small Cap Fund	Connor, Clark & Lunn Private Capital Ltd.	D
CC&L Diversified Income Portfolio	Connor, Clark & Lunn Managed Portfolios Inc.	D
CC&L Genesis Fund	Connor, Clark & Lunn Private Capital Ltd.	D
CC&L Global Alpha Fund	Connor, Clark & Lunn Investment Management Ltd.	D
CC&L Group Canadian Equity Fund	Connor, Clark & Lunn Private Capital Ltd.	D
CC&L Group Money Market Fund	Connor, Clark & Lunn Private Capital Ltd.	D
CC&L Market Neutral Fund	Connor, Clark & Lunn Private Capital Ltd.	D
CC&L Market Neutral Onshore Fund	Connor, Clark & Lunn Private Capital Ltd.	D
CC&L Q Canadian Equity Core Fund	Connor, Clark & Lunn Private Capital Ltd.	D
CC&L Q Canadian Equity Growth Fund	Connor, Clark & Lunn Private Capital Ltd.	D
CC&L Select Balanced Growth PortFolio	Connor, Clark & Lunn Investment Management Ltd.	D
CC&L Select Balanced Income PortFolio	Connor, Clark & Lunn Investment Management Ltd.	D
CC&L Select Balanced Portfolio	Connor, Clark & Lunn Private Capital Ltd.	D
CC&L Select Diversified Income Portfolio	Connor, Clark & Lunn Investment Management Ltd.	D
CC&L Select Growth PortFolio	Connor, Clark & Lunn Investment Management Ltd.	D
CDN LifePath 2055 Index Fund	BlackRock Asset Management Canada Limited	D
CDN LifePath 2060 Index Fund	CDN LifePath 2060 Index Fund	D
CDN MSCI EAFE Minimum Volatility Index Fund	CDN EAFE Minimum Volatility Index Fund	D
CDN MSCI World Ex-Canada Minimum Volatility Index Fund	CDN MSCI World Ex-Canada Minimum Volatility Index Fund	D
CDN Strategic Completion Fund	CDN Strategic Completion Fund	D
Centaur Balanced Fund	TAL Global Asset Management Inc.	D

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Centaur Bond Fund	TAL Global Asset Management Inc.	D
Centaur Canadian Equity Fund	TAL Global Asset Management Inc.	D
Centaur Smaller Companies Fund	TAL Global Asset Management Inc.	D
Centerfire Index Plus Pooled Bond Fund	Centerfire Capital Management Inc.	D
Centerfire Pooled Balanced Fund	Centerfire Capital Management Inc.	A,C
Centerfire Pooled Bond Fund	Centerfire Capital Management Inc.	D
Centerfire Pooled Canadian Equity Fund	Centerfire Capital Management Inc.	D
Centerfire Pooled GIC Alternative Performance Plus Fund	Centerfire Capital Management Inc.	D
CFG Heward Investment Management Ltd.	The CFG Heward Canadian Dividend Growth Fund	D
CGD Mortgage Fund	CGD Management Ltd.	A
CGO & V Bedford Income Fund	Cranston, Gaskin, O'Reilly and Vernon Investment Counsel	D
CGO & V Equity Income Fund	Cranston, Gaskin, O'Reilly and Vernon Investment Counsel	D
CGO & V Fixed Income Fund	Cranston, Gaskin, O'Reilly and Vernon Investment Counsel	D
CGOV Balanced Fund	Cranston, Gaskin, O'Reilly and Vernon Investment Counsel	D
CGOV Canadian Equity Fund	Cranston, Gaskin, O'Reilly and Vernon Investment Counsel	D
CGOV Global Equity Fund	CGOV Global Equity Fund	D
CGOV Short Term Fixed Income Fund	CGOV Asset Management	D
CGOV US Equity Fund	Cranston, Gaskin, O'Reilly and Vernon Investment Counsel	D
Chartwell Seniors Housing Real Estate Investment Trust	Chartwell Seniors Housing Real Estate Investment Trust	D
Chou RRSP Fund	Chou Associates Management Inc.	D
CI American Managers RSP Fund	CI Investments Inc.	D
CI American Value RSP Fund	CI Investments Inc.	D
CI Cambridge Core Canadian Equity Fund	CI Cambridge Core Canadian Equity Fund	D
CI Cambridge Global Equity	CI Cambridge Global Equity	D
CI Cambridge Global Smaller Companies Fund	CI Cambridge Global Smaller Companies Fund	D
CI Cambridge International Equity	CI Cambridge International Equity	D
CI Cambridge Large Cap Canadian Equity Fund	CI Cambridge Large Cap Canadian Equity Fund	D
CI Cambridge U.S. Equity	CI Cambridge U.S. Equity	D
CI Canadian Dividend Private Pool	CI Investments Inc.	D
CI Canadian Equity Private Pool	CI Investments Inc.	D
CI Canadian Fixed Income Private Pool	CI Investments Inc.	D
CI CG/20i 2034 Q3 Fund	CI Investments Inc.	D
CI European RSP Fund	CI Investments Inc.	D
CI G5/20 2038 Q3 Fund	CI G5/20 2038 Q3 Fund	D
CI G5/20 2038 Q4 Fund	CI Investments Inc.	D
CI G5/20 2039 Q1 Fund	CI Investments Inc.	D
CI G5/20 2039 Q2 Fund	CI Investments Inc.	D
CI G5/20 2039 Q3 Fund	CI Investments Inc.	D
CI G5/20 2039 Q4 Fund	CI G5/20 2039 Q4 Fund	D
CI G5/20 2040 Q1 Fund	CI G5/20 2040 Q1 Fund	D

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CI G5/20 2040 Q2 Fund	CI G5/20 2040 Q2 Fund	D
CI G5/20 2040 Q3 Fund	CI G5/20 2040 Q3 Fund	D
CI G5/20 2040 Q4 Fund	CI G5/20 2040 Q4 Fund	D
CI G5/20 2041 Q1 Fund	CI G5/20 2041 Q1 Fund	D
CI G5/20 2041 Q2 Fund	CI G5/20 2041 Q2 Fund	D
CI G5/20i 2034 Q2 Fund	CI Investments Inc.	D
CI G5/20i 2034 Q4 Fund	CI G5/20i 2034 Q4 Fund	D
CI G5/20i 2035 Q1 Fund	CI G5/20i 2035 Q1 Fund	D
CI G5/20i 2035 Q2 Fund	CI G5/20i 2035 Q2 Fund	D
CI G5/20i 2035 Q3 Fund	CI G5/20i 2035 Q3 Fund	D
CI G5/20i 2035 Q4 Fund	CI G5/20i 2035 Q4 Fund	D
CI G5/20i 2036 Q1 Fund	CI G5/20i 2036 Q1 Fund	D
CI G5/20i 2036 Q2 Fund	CI G5/20i 2036 Q2 Fund	D
CI Global Asset Allocation Private Pool	CI Investments Inc.	D
CI Global Balanced Yield Private Pool	CI Investments Inc.	D
CI Global Concentrated Equity Private Pool	CI Investments Inc.	D
CI Global Equity Alpha Private Pool	CI Investments Inc.	D
CI Global Equity Momentum Private Pool	CI Investments Inc.	D
CI Global Focus Value RSP Fund	CI Investments Inc.	D
CI Global Fund	CI Global Fund	D
CI Global Investment Grade Credit Private Pool	CI Investments Inc.	D
CI Global Smaller Companies Private Pool	CI Investments Inc.	D
CI Global Unconstrained Bond Private Pool	CI Investments Inc.	D
CI Global Value Fund	CI Global Value Fund	D
CI High Interest Savings Fund	CI Investments Inc.	D
CI Income Fund	CI Income Fund	D
CI International Equity Alpha Private Pool	CI Investments Inc.	D
CI International Equity Growth Private Pool	CI Investments Inc.	D
CI International Equity Value Private Pool	CI Investments Inc.	D
CI International Value RSP Fund	CI Investments Inc.	D
CI Investment Grade Bond Fund	CI Investment Grade Bond Fund	D
CI LifeCycle 2015 Portfolio	CI LifeCycle 2015 Portfolio	D
CI LifeCycle 2020 Portfolio	CI Lifestyle 2020 Portfolio	D
CI LifeCycle 2025 Portfolio	CI Lifestyle 2025 Portfolio	D
CI LifeCycle 2030 Portfolio	CI Lifestyle 2030 Portfolio	D
CI LifeCycle 2035 Portfolio	CI Lifestyle 2035 Portfolio	D
CI LifeCycle 2040 Portfolio	CI Lifestyle 2040 Portfolio	D
CI LifeCycle 2050 Portfolio	CI LifeCycle 2050 Portfolio	D
CI LifeCycle 2055 Portfolio	CI LifeCycle 2055 Portfolio	D
CI Mosaic Balanced ETF Portfolio	CI Investments Inc.	D

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CI Mosaic Balanced Growth ETF Portfolio	CI Investments Inc.	D
CI Mosaic Balanced Income ETF Portfolio	CI Investments Inc.	D
CI Mosaic Growth ETF Portfolio	CI Investments Inc.	D
CI Mosaic Income ETF Portfolio	CI Investments Inc.	D
CI MSCI World ESG Impact Fund	CI Investments Inc.	D
CI North American Small/Mid Cap Equity Private Pool	CI Investments Inc.	D
CI Pacific Fund	CI Pacific Fund	D
CI Signature Core Bond Plus Fund	CI Signature Core Bond Plus Fund	D
CI Signature Long Bond Fund	CI Signature Long Bond Fund	D
CI U.S. Equity Private Pool	CI Investments Inc.	D
CI U.S. Income US\$ Pool	CI U.S. Income US\$ Pool	D
CI US Equity Fund	CI Investments Inc.	D
CIBC Balanced Fund	Canadian Imperial Bank of Commerce	D
CIBC Balanced Growth Passive Portfolio	CIBC Balanced Growth Passive Portfolio	D
CIBC Balanced Index Fund	Canadian Imperial Bank of Commerce	D
CIBC Balanced Passive Portfolio	CIBC Balanced Passive Portfolio	D
CIBC Canadian Bond Fund	Canadian Imperial Bank of Commerce	D
CIBC Canadian Bond Index Fund	Canadian Imperial Bank of Commerce	D
CIBC Canadian Equity Fund	Canadian Imperial Bank of Commerce	D
CIBC Canadian Equity Value Fund	Canadian Imperial Bank of Commerce	D
CIBC Canadian Index Fund	Canadian Imperial Bank of Commerce	D
CIBC Canadian Real Estate Fund	Canadian Imperial Bank of Commerce	D
CIBC Canadian Resources Fund	Canadian Imperial Bank of Commerce	D
CIBC Canadian Short-Term Bond Index Fund	Canadian Imperial Bank of Commerce	D
CIBC Canadian Small Companies Fund	Canadian Imperial Bank of Commerce	D
CIBC Canadian Small-Cap Fund	Canadian Imperial Bank of Commerce	D
CIBC Canadian T-Bill Fund	Canadian Imperial Bank of Commerce	D
CIBC Conservative Fixed Income Pool	CIBC Conservative Fixed Income Pool	D
CIBC Conservative Passive Portfolio	CIBC Conservative Passive Portfolio	D
CIBC Core Fixed Income Pool	CIBC Core Fixed Income Pool	D
CIBC Core Plus Fixed Income Pool	CIBC Core Plus Fixed Income Pool	D
CIBC Dividend Growth Fund (Fonds de croissance de dividendes CIBC)	Canadian Imperial Bank of Commerce	D
CIBC Dividend Income Fund (Fonds de revenu de dividendes CIBC)	Canadian Imperial Bank of Commerce	D
CIBC Energy Fund	Canadian Imperial Bank of Commerce	D
CIBC European Index Fund	CIBC European Index Fund	D
CIBC Financial Companies Fund	Canadian Imperial Bank of Commerce	D
CIBC Global Bond Fund	Canadian Imperial Bank of Commerce	D
CIBC Global Bond Index Fund	Canadian Imperial Bank of Commerce	D
CIBC Global Monthly Income Fund	Canadian Imperial Bank of Commerce	D

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CIBC International Equity Fund	Canadian Imperial Bank of Commerce	D
CIBC International Index Fund	CIBC International Index Fund	D
CIBC Managed Aggressive Growth Portfolio	Canadian Imperial Bank of Commerce	D
CIBC Managed Balanced Growth Portfolio	Canadian Imperial Bank of Commerce	D
CIBC Managed Balanced Portfolio	Canadian Imperial Bank of Commerce	D
CIBC Managed Growth Portfolio	Canadian Imperial Bank of Commerce	D
CIBC Managed Income Plus Portfolio	Canadian Imperial Bank of Commerce	D
CIBC Managed Income Portfolio	Canadian Imperial Bank of Commerce	D
CIBC Managed Monthly Income Balanced Portfolio	Canadian Imperial Bank of Commerce	D
CIBC Mellon Mortgage Fund	CIBC Mellon Trust Company	D
CIBC Money Market Fund	Canadian Imperial Bank of Commerce	D
CIBC Monthly Income Fund	Canadian Imperial Bank of Commerce	D
CIBC Nasdaq Index Fund	CIBC Nasdaq Index Fund	D
CIBC Personal Portfolio Services Balanced Income Portfolio	Balanced Income Portfolio	D
CIBC Personal Portfolio Services Conservative Income Portfolio	Conservative Income Portfolio	D
CIBC Personal Portfolio Services Enhanced Income Portfolio	Enhanced Income Portfolio	D
CIBC Precious Metals Fund	Canadian Imperial Bank of Commerce	D
CIBC Short-Term Income Fund (Fonds de revenu à court terme CIBC)	Canadian Imperial Bank of Commerce	D
CIBC Smart Balanced Growth Solution	CIBC Smart Balanced Growth Solution	D
CIBC Smart Balanced Income Solution	CIBC Smart Balanced Income Solution	D
CIBC Smart Balanced Solution	CIBC Smart Balanced Solution	D
CIBC Smart Growth Solution	CIBC Smart Growth Solution	D
CIBC Smart Income Solution	CIBC Smart Income Solution	D
CIBC U.S. Dollar Managed Balanced Portfolio	Canadian Imperial Bank of Commerce	D
CIBC U.S. Dollar Managed Growth Portfolio	CIBC Asset Management Inc.	D
CIBC U.S. Dollar Managed Income Portfolio	Canadian Imperial Bank of Commerce	D
CIBC U.S. Dollar Money Market Fund	Canadian Imperial Bank of Commerce	D
CIBC U.S. Equity Fund	Canadian Imperial Bank of Commerce	D
CIBC U.S. Index Fund (Fonds indice boursier américain CIBC)	Canadian Imperial Bank of Commerce	D
CIBC Wood Gundy Enhanced Equity Fund	CIBC Wood Gundy Enhanced Equity Fund	D
Cidel Balanced Portfolio Fund	AMI Partners Inc.	D
Cidel Canadian Corporate Fixed Income Fund	Cidel Asset Management Inc.	D
Cidel Canadian Equity Fund	Cidel Asset Management Inc.	D
Cidel Canadian Fixed Income Fund	AMI Partners Inc.	D
Cidel Canadian Opportunities Fund	AMI Partners Inc.	D
Cidel Canadian Total Return Equity Fund	Cidel Asset Management Inc.	D
Cidel Equity Income Fund	Cidel Asset Management Inc.	D
Cidel Global Equity Fund	AMI Partners Inc.	D

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Cidel International Equity Fund	Cidel Asset Management Inc.	D
Cidel Money Market Fund	AMI Partners Inc.	D
Cidel Preferred Share Fund	Cidel Asset Management Inc.	D
Cidel Russell Fundamental Canada Index Fund	Cidel Asset Management Inc.	D
Cidel Russell Fundamental Developed ex-U.S. Large Company Index Fund	Cidel Asset Management Inc.	D
Cidel Russell Fundamental U.S. Large Company Index Fund	Cidel Asset Management Inc.	D
ClG Value Fund	Christopher Ross Cunningham Investments Ltd.	A
Claret Mortgage Fund Trust	Claret Mortgage Fund Trust	A,C
Clarica Alpine Canadian Resources Fund / Fonds de ressources canadiennes Alpin Clarica	Clarica Diversico Ltd.	D
Clarica Alpine Growth Equity Fund	Clarica Diversico Ltd.	D
Clarica Balanced Fund	Clarica Diversico Ltd.	A,C
Clarica Bond Index Fund	Clarica Diversico Ltd.	A,C
Clarica Canadian Equity Index Fund	Clarica Diversico Ltd.	A,C
Clarica Canadian Growth Equity Fund	Clarica Diversico Ltd.	D
Clarica Canadian Large Cap Value Fund	Clarica Diversico Ltd.	A,C
Clarica Conservative Balanced Fund	Clarica Diversico Ltd.	A,C
Clarica Global Bond Fund	Clarica Diversico Ltd.	A,C
Clarica High Yield Bond Fund	Clarica Diversico Ltd.	A,C
Clarica Income Fund	Clarica Diversico Ltd.	D
Clarica Premier Diversified Fund / Fonds supérieur diversifié Clarica	Clarica Diversico Ltd.	D
Clarica Premier Growth Fund / Fonds supérieur de croissance Clarica	Clarica Diversico Ltd.	D
Clarica Premier Mortgage Fund / Fonds supérieur de placements hypothécaires Clarica	Clarica Diversico Ltd.	D
Clarica RSP European Index Fund	Clarica Diversico Ltd.	A,C
Clarica RSP International Index Fund	Clarica Diversico Ltd.	A,C
Clarica RSP U.S. Technology Index Fund	Clarica Diversico Ltd.	A,C
Clarica Short Term Bond Fund	Clarica Diversico Ltd.	D
Clarica Summit Canadian Equity Fund / Fonds d'actions canadiennes Sommet Clarica	Clarica Diversico Ltd.	D
Clarica Summit Dividend Growth Fund / Fonds croissance et dividendes Sommet Clarica	Clarica Diversico Ltd.	D
Clarica Summit Growth & Income Fund / Fonds croissance et revenu Sommet Clarica	Clarica Diversico Ltd.	D
Clarington Canadian Balanced Fund	Clarington Funds Inc.	A,C
Clarington Canadian Bond Fund	Clarington Funds Inc.	A,C
Clarington Canadian Core Portfolio	Clarington Funds Inc.	D
Clarington Canadian Dividend Fund	Clarington Funds Inc.	A,C
Clarington Canadian Equity Fund	Clarington Funds Inc.	A,C
Clarington Canadian Growth Fund	Clarington Funds Inc.	D

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Clarington Canadian Income Fund	Clarington Funds Inc.	A,C
Clarington Canadian Income Fund II	Clarington Funds Inc.	D
Clarington Canadian Micro-Cap Fund	Clarington Funds Inc.	A,C
Clarington Canadian Resources Inc.	Clarington Funds Inc.	D
Clarington Canadian Small Cap Fund	Clarington Funds Inc.	A,C
Clarington Canadian Value Fund	Clarington Funds Inc.	D
Clarington Diversified Income Fund	Clarington Funds Inc.	D
Clarington Money Market Fund	Clarington Funds Inc.	A,C
Clarington RSP Global Communications Fund	Clarington Funds Inc.	D
Clarington RSP Global Equity Fund	Clarington Funds Inc.	D
Clarington RSP Global Equity Index Fund	Clarington Funds Inc.	A,C
Clarington RSP Global Income Fund	Clarington Funds Inc.	D
Clarington RSP Global Value Fund	Clarington Funds Inc.	D
Clarington RSP International Equity Fund	Clarington Funds Inc.	D
Clarington RSP Navellier U.S. All Cap Fund	Clarington Funds Inc.	D
Clarington RSP Technology Fund	Clarington Funds Inc.	D
Clarington Target Click 2010 Fund	Clarington Funds Inc.	D
Clarington Target Click 2015 Fund	Clarington Funds Inc.	D
Clarington Target Click 2020 Fund	Clarington Funds Inc.	D
Clarington Target Click 2025 Fund	Clarington Funds Inc.	D
Class A, Class B, Class C, and Class D Shares	Primary Capital Partners Inc.	A
Clean Power Income Fund	Clean Power Inc.	D
Clearpoint Short Term Income Fund	Clearpoint Short Term Income Fund	A,C
CLH Investment Fund	CLH Investment Fund	A
Cloverdale Paint Inc. Registered Investment Fund	The Royal Trust Company	A,B
CMC Gold Bullion Fund	Central Metals Corporation	D
CMP Gold Trust	Goodman & Company, Investment Counsel Ltd.	D
Coastal Fixed Income Fund	Coastal Fixed Income Fund Inc.	A
Coda Investment Fund	Comat Mortgage Corporation	A
Comat Mortgage Fund	HSBC Investments (Canada) Ltd.	A
Combustion Engineering-Superheater Ltd. Employee Thrift Investment Plan – Common Stock Fund	The Royal Trust Company	A,B
Combustion Engineering-Superheater Ltd. Employee Thrift Investment Plan – Fixed Income Fund	The Royal Trust Company	A,B
Combustion Engineering-Superheater Ltd. Employee Thrift Investment Plan – Guaranteed Fund	The Royal Trust Company	A,B
Cominar Real Estate Investment Trust	Cominar Real Estate Invest Trust	D
Commerce and Industry Insurance Company of Canada Master Trust Fund	The Royal Trust Company	A,B
Commercial and Industrial Securities Income Trust	Sentry Select Capital Corporation	D
Compass Balanced Growth Portfolio	ATB Investment Management Inc.	D
Compass Balanced Portfolio	ATB Investment Management Inc.	D

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Compass Conservative Balanced Portfolio	ATB Investment Management Inc.	D
Compass Conservative Portfolio	ATB Investment Management Inc.	D
Compass Growth Portfolio	ATB Investment Management Inc.	D
Compass Income Fund	Middlefield Compass Management Ltd.	D
Compass Maximum Growth Portfolio	ATB Investment Management Inc.	D
Connor Clark Energy Trust	Connor, Clark Private Trust Co.	D
Connor Clark Long-Term Income Trust	Connor, Clark Private Trust Co.	D
Connor Clark Private Trust Series – Balanced Trust	Connor, Clark Private Trust Co.	D
Connor Clark Private Trust Series – The Connor Clark Canadian Equity Trust I	Connor, Clark Private Trust Co.	D
Connor Clark Private Trust Series – The Connor Clark Canadian Equity Trust II	Connor, Clark Private Trust Co.	D
Connor Clark Private Trust Series – The Connor Clark Fixed Income Trust	Connor, Clark Private Trust Co.	D
Conservative Folio Fund	Mackenzie Financial Corporation	D
Conservative Fund	SEI Investments Canada Company	D
Conservative Monthly Income Fund	SEI Investments Canada Company	D
Contrans Income Fund	Contrans Corp.	D
Convertible Debentures Income Fund	O’Leary Funds Management LP	A,C
Corporation de fonds R.E.G.A.R. Gestion Privée	Fonds R.E.G.A.R. Gestion Privée	D
Counsel Canadian Dividend	Counsel Canadian Dividend	D
Counsel Canadian Growth	Counsel Canadian Growth	D
Counsel Canadian Value	Counsel Canadian Value	D
Counsel Conservative Portfolio	Counsel Group of Funds Inc.	D
Counsel Fixed Income Portfolio	Counsel Group of Funds Inc.	D
Counsel Focus RSP Portfolio	Counsel Group of Funds Inc.	D
Counsel Global Real Estate	Counsel Global Real Estate	D
Counsel International Growth	Counsel International Growth	D
Counsel International Managed RSP Fund	Counsel Group of Funds Inc.	D
Counsel International Managed RSP Portfolio	Counsel Group of Funds Inc.	D
Counsel International Value	Counsel International Value	D
Counsel Managed Fund	Counsel Group of Funds Inc.	D
Counsel Managed Portfolio	Counsel Group of Funds Inc.	D
Counsel Money Market	Counsel Group of Funds Inc.	D
Counsel Regular Pay Portfolio	Counsel Group of Funds Inc.	D
Counsel Select Sector RSP Portfolio	Counsel Group of Funds Inc.	D
Counsel Select Small Cap	Counsel Select Small Cap	D
Counsel U.S. Growth	Counsel U.S. Growth	D
Counsel U.S. Value	Counsel U.S. Value	D
Counsel World Equity RSP Portfolio	Counsel Group of Funds Inc.	D
Cowan Absolute Return Fund	Cowan Asset Management Ltd.	D
Cowan Income Opportunities Fund	Cowan Asset Management Ltd.	D

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CPL Long Term Care Real Estate Investment Trust	CPL Long Term Care Real Estate Investment Trust	D
Cranston, Gaskin, O'Reilly & Vernon Short-Term Fund	Cranston, Gaskin, O'Reilly and Vernon Investment Counsel	D
Credential Balanced Portfolio	Ethical Funds Inc.	D
Credential Equity Portfolio	Ethical Funds Inc.	D
Credential Growth Portfolio	Ethical Funds Inc.	D
Credential Income and Growth Portfolio	Ethical Funds Inc.	D
Crerar Mortgage Fund	2013243 Ontario Limited	A
Creststreet Mutual Funds Limited	Creststreet Resource Fund Limited	D
Croft Enhanced Income Fund	R.N. Croft Financial Group Inc.	D
Crusader Equity Income Fund	Crusader Equity Income Fund	D
Crystal Enhanced Mortgage Fund	Crystal Enhanced Mortgage Fund	D
Crystal Enlightened Growth Fund	Crystal Enlightened Growth Fund	D
Crystal Enlightened Income Fund	Crystal Enlightened Income Fund	D
Crystal Enlightened Resource and Precious Metals Fund	Crystal Wealth Mgmt. System Ltd.	D
Crystal Wells Protected Portfolio	Crystal Wells Management System Limited	D
CTI Palos Bond Fund / Fonds d'obligations CTI Palos	CTI Palos Bond Fund / Fonds d'obligations CTI Palos	A
CTI Palos Equity Fund / Fonds d'actions CTI Palos	CTI Palos Equity Fund / Fonds d'actions CTI Palos	A
Cumberland Capital Appreciation Fund	Cumberland Investment Management Inc.	D
Cumberland Equity Income Fund	Cumberland Equity Income Fund	D
Cumberland Global Fund	Cumberland Investment Management Inc.	D
Cumberland Income Fund	Cumberland Investment Management Inc.	D
Cumberland Opportunities Fund	Cumberland Investment Management Inc.	D
Cundill Canadian Balanced Fund	Mackenzie Financial Corporation	D
Cundill RSP Value Fund	Mackenzie Financial Corporation	D
Cundill Security Fund	Peter Cundill & Associates Ltd.	D
CWB Onyx Conservative Solution Fund	CWB Onyx Conservative Solution Fund	D
CWM Private Canadian Equity Portfolio	C.A. Delaney Capital Management Ltd.	A,C
CWM Private Canadian Fixed Income Portfolio	CWM Pooled Trust	A,C
CWM Private International Equity Portfolio	CWM Pooled Trust	A,C
CWM Private US Equity Portfolio	CWM Pooled Trust	A,C
Cypress Canadian Equity Fund	Cypress Capital Management Ltd.	A,C
Cypress High Yield Fund	Cypress Capital Management Ltd.	A,C
Cypress Science & Technology Fund	Cypress Capital Management Ltd.	A,C
Cypress Small Cap Growth Fund	Cypress Capital Management Ltd.	A,C
Dakota Fund	Dakota Fund	D
Davis + Henderson Income Fund	Davis + Henderson Income Fund	A
Davis-Rea Enhanced Income Pooled Fund	Davis-Rea Ltd.	D
Davis-Rea Equity Pooled Fund	Davis-Rea Ltd.	D
Davis-Rea Fixed Income Pooled Fund	Davis-Rea Ltd.	D
Davis-Rea Ltd. Balanced Pooled Fund	Davis-Rea Ltd.	D

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Davis-Rea Ltd. Enhanced Income Fund	Davis-Rea Ltd.	D
Davis-Rea Partners Pooled Fund	Davis-Rea Ltd.	D
DayCap Fixed Income Fund	DayCap Fixed Income Fund	A,B
DDJ Canadian High Yield Fund	CI Investments Inc.	D
Deans Knight Bond Fund	Montreal Trust	D
Deans Knight Equity Growth Fund	Montreal Trust	D
Debenoras Fixed Income Fund	Debenoras Capital Services Inc.	A
Defeasance Trust II	Retirement Residences Real Estate Investment Trust	D
Delaney Capital Equity Fund	C.A. Delaney Capital Management Ltd.	D
Delaney Capital US Equity Fund	C.A. Delaney Capital Management Ltd.	D
Delbrook Enhanced Return Fund	Delbrook Enhanced Return Fund	D
Delbrook Resource Opportunities Fund	Delbrook Resource Opportunities Fund	D
DeltaOne Energy RSP Fund	DeltaOne Capital Partners Corp. (Canada)	A
DFA Canadian Applied Core Equity Fund	Dimensional Fund Advisors Canada Inc.	D
DFA Five-Year Global Fixed Income Fund	Dimensional Fund Advisors Canada Inc.	D
DFA Global 80EQ-20FI Portfolio	DFA Global 80EQ-20FI Portfolio	D
DFC Select – J. Zechner Balanced Fund	Montreal Trust	A,B
DFC Select – J. Zechner Canadian Equity Fund	Montreal Trust	A,B
DFC Select – J.R. Senecal Moderate Active Balanced Fund	Montreal Trust	A,B
Diplomat Balanced Portfolio	Opus 2 Financial Inc.	D
Diplomat Growth Portfolio	Opus 2 Financial Inc.	D
Diplomat Maximum Growth Portfolio	Opus 2 Financial Inc.	D
Disciplined Leadership Canadian Equity Fund	Rockwater Asset Management Inc.	D
Disciplined Leadership High Income Fund	Rockwater Asset Management Inc.	D
Discovery Fund	Viking Capital Corporation	D
Diversified Fixed Income Folio Fund	Diversified Fixed Income Folio Fund	D
Diversified Income Trust II	Sentry Select Capital Corporation	D
Diversified Private Trust	Integra Capital Financial Corp.	D
Diversified Utility Trust	ScotiaMcLeod Inc.	D
diversiGlobal Dividend Value Fund	Goodman & Company, Investment Counsel Ltd.	D
diversiTrust Income Fund	Goodman & Company, Investment Counsel Ltd.	D
diversiYield Income Fund	Goodman & Company, Investment Counsel Ltd.	D
Dixon Mitchell Canadian Equity Fund	Dixon Mitchell Investment Counsel Inc.	D
Dixon Mitchell Foreign Equity Fund	Dixon Mitchell Investment Counsel Inc.	D
Dixon Mitchell Small Cap Fund	Dixon Mitchell Small Cap Fund	D
DKAM Boosted AI Quant Fund	DKAM Boosted AI Quant Fund	D
DoAll Group RRSP	DoAll Canada Inc.	A
DPF India Opportunities Fund	Goodman & Company, Investment Counsel Ltd.	D
Duncan Ross Equity Fund	Duncan Ross Associates Ltd.	D
Duncan Ross Money Market Fund	Duncan Ross Associates Ltd.	D

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Dundee Real Estate Investment Fund	Dundee Realty Corporation	D
Dynamic Active Core Bond Private Pool	1832 Asset Management L.P.	D
Dynamic Active Credit Strategies Private Pool	1832 Asset Management L.P.	D
Dynamic Active U.S. Mid-Cap Fund	1832 Asset Management L.P.	D
Dynamic Advantage Bond Fund	Goodman & Company, Investment Counsel Ltd.	D
Dynamic Alpha Performance Fund	Goodman & Company, Investment Counsel Ltd.	D
Dynamic Alpha Performance II Fund	1832 Asset Management L.P.	D
Dynamic Alternative Yield Fund	Dynamic Alternative Yield Fund	D
Dynamic American Value Fund	Goodman & Company, Investment Counsel Ltd.	D
Dynamic Analyst Choice Fund	Goodman & Company, Investment Counsel Ltd.	D
Dynamic Asset Allocation Private Pool	1832 Asset Management L.P.	D
Dynamic Aurion Total Return Bond Class	Dynamic Global Fund Corporation	D
Dynamic Aurion Total Return Bond Fund	Dynamic Aurion Total Return Bond Fund	D
Dynamic Canadian Bond Fund	Goodman & Company, Investment Counsel Ltd.	D
Dynamic Canadian Dividend Fund	Goodman & Company, Investment Counsel Ltd.	D
Dynamic Conservative Yield Private Pool	Dynamic Funds	D
Dynamic Corporate Bond Strategies	Dynamic Corporate Bond Strategies	D
Dynamic Credit Absolute Return Fund	Dynamic Funds	D
Dynamic Credit Absolute Return II Fund	1832 Asset Management L.P.	D
Dynamic Deep Value Fund	Dynamic Deep Value Fund	D
Dynamic Diversified Real Asset Fund	Goodman & Company, Investment Counsel Ltd.	D
Dynamic Dividend Fund	Goodman & Company, Investment Counsel Ltd.	D
Dynamic Dividend Income Fund	Goodman & Company, Investment Counsel Ltd.	D
Dynamic Dividend Value Fund	Goodman & Company, Investment Counsel Ltd.	D
Dynamic Dollar Cost Averaging Fund	Goodman & Company, Investment Counsel Ltd.	D
Dynamic Emerging Markets Class	Dynamic Global Fund Corporation	D
Dynamic European Value Fund	Goodman & Company, Investment Counsel Ltd.	D
Dynamic Far East Value Fund	Goodman & Company, Investment Counsel Ltd.	D
Dynamic Focus + Diversified Income Fund	Goodman & Company, Investment Counsel Ltd.	D
Dynamic Focus + Energy Income Trust Fund	Goodman & Company, Investment Counsel Ltd.	D
Dynamic Focus + Equity Fund	Goodman & Company, Investment Counsel Ltd.	D
Dynamic Focus + Real Estate Fund	Goodman & Company, Investment Counsel Ltd.	D
Dynamic Focus + Resource Fund	Goodman & Company, Investment Counsel Ltd.	D
Dynamic Focus + Small Business Fund	Goodman & Company, Investment Counsel Ltd.	D
Dynamic Focus + Wealth Management Fund	Goodman & Company, Investment Counsel Ltd.	D
Dynamic Global All Terrain Fund	Dynamic Global All Terrain Fund	D
Dynamic Global Balanced Fund	1832 Asset Management L.P.	D
Dynamic Global Discovery Fund	Goodman & Company, Investment Counsel Ltd.	D
Dynamic Global Dividend Value Fund	Goodman & Company, Investment Counsel Ltd.	D
Dynamic Global Equity Fund	1832 Asset Management L.P.	D

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Dynamic Global Equity Income Fund	1832 Asset Management L.P.	D
Dynamic Global Fund Corporation	Goodman & Company, Investment Counsel Ltd.	D
Dynamic Global Infrastructure Fund	Goodman & Company, Investment Counsel Ltd.	D
Dynamic Global Strategic Yield Fund	1832 Asset Management L.P.	D
Dynamic Global Value Balanced Fund	Goodman & Company, Investment Counsel Ltd.	D
Dynamic Global Value Fund	Goodman & Company, Investment Counsel Ltd.	D
Dynamic Global Yield Private Pool	1832 Asset Management L.P.	D
Dynamic High Yield Bond Fund	Goodman & Company, Investment Counsel Ltd.	D
Dynamic High Yield Credit Fund	Dynamic High Yield Credit Fund	D
Dynamic Income Opportunities Fund	Goodman & Company, Investment Counsel Ltd.	D
Dynamic International Dividend Private Pool	Dynamic Funds	D
Dynamic Investment Grade Floating Rate Fund	Dynamic Investment Grade Floating Rate Fund	D
Dynamic Managed Portfolios Ltd.	Goodman & Company, Investment Counsel Ltd.	A,C
Dynamic Money Market Fund	Goodman & Company, Investment Counsel Ltd.	D
Dynamic Multi Strategy Hedge Fund	Dynamic Multi Strategy Hedge Fund	D
Dynamic North American Dividend Private Pool	Dynamic Funds	D
Dynamic Power American Currency Neutral Fund	Goodman & Company, Investment Counsel Ltd.	D
Dynamic Power American Growth Fund	Goodman & Company, Investment Counsel Ltd.	D
Dynamic Power Balanced Fund	Goodman & Company, Investment Counsel Ltd.	D
Dynamic Power Canadian Growth Fund	Goodman & Company, Investment Counsel Ltd.	D
Dynamic Power Emerging Markets Fund	Goodman & Company, Investment Counsel Ltd.	D
Dynamic Power Global Growth Fund	Goodman & Company, Investment Counsel Ltd.	D
Dynamic Power Hedge Fund	Goodman & Company, Investment Counsel Ltd.	D
Dynamic Power Small Cap Fund	Goodman & Company, Investment Counsel Ltd.	D
Dynamic Precious Metals Fund	Goodman & Company, Investment Counsel Ltd.	D
Dynamic Premium Bond Private Pool	Dynamic Funds	D
Dynamic Premium Yield Fund	Dynamic Premium Yield Fund	D
Dynamic Premium Yield PLUS Fund	1832 Asset Management L.P.	D
Dynamic Protected Dividend Value Fund	Goodman & Company, Investment Counsel Ltd.	D
Dynamic Protected Global Value Fund	Goodman & Company, Investment Counsel Ltd.	D
Dynamic Real Estate & Infrastructure Income Fund	Goodman & Company, Investment Counsel Ltd.	D
Dynamic Real Estate & Infrastructure Income II Fund	1832 Asset Management L.P.	D
Dynamic SAMI Fund	Goodman & Company, Investment Counsel Ltd.	D
Dynamic Short Term Bond Fund	Goodman & Company, Investment Counsel Ltd.	D
Dynamic Strategic All Income Portfolio	Goodman & Company, Investment Counsel Ltd.	D
Dynamic Strategic Global Fund	Dynamic Strategic Global Fund	D
Dynamic Strategic Value Fund	Goodman & Company, Investment Counsel Ltd.	D
Dynamic Strategic Yield Fund	Goodman & Company, Investment Counsel Ltd.	D
Dynamic Tactical Bond Private Pool	Dynamic Funds	D
Dynamic U.S. Dividend Advantage Fund	Dynamic U.S. Dividend Advantage Fund	D

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Dynamic U.S. Equity Income Fund	1832 Asset Management L.P.	D
Dynamic U.S. Strategic Yield Fund	1832 Asset Management L.P.	D
Dynamic U.S. Value Balanced Fund	Dynamic U.S. Value Balanced Fund	D
Dynamic Value Balanced Fund	Goodman & Company, Investment Counsel Ltd.	D
Dynamic Value Fund of Canada	Goodman & Company, Investment Counsel Ltd.	D
DynamicEdge Balanced Growth Portfolio	Goodman & Company, Investment Counsel Ltd.	D
DynamicEdge Balanced Portfolio	Goodman & Company, Investment Counsel Ltd.	D
DynamicEdge Defensive Portfolio	DynamicEdge Defensive Portfolio	D
DynamicEdge Equity Portfolio	Goodman & Company, Investment Counsel Ltd.	D
DynamicEdge Growth Portfolio	Goodman & Company, Investment Counsel Ltd.	D
East Coast Performance Trust	East Coast Performance Trust	D
Ecorock Opportunities Fund	Ecorock Asset Management Inc.	D
EdgePoint Canadian Growth & Income Portfolio	EdgePoint Canadian Growth & Income Portfolio	D
EdgePoint Canadian Portfolio	EdgePoint Canadian Portfolio	D
EdgePoint Global Growth & Income Portfolio	EdgePoint Global Growth & Income Portfolio	D
EdgePoint Global Portfolio	EdgePoint Global Portfolio	D
Educators Monitored Aggressive Portfolio	Educators Financial Group Inc.	D
Educators Monitored Growth Portfolio	Educators Financial Group Inc.	D
EHP Advantage Fund	EHP Advantage Fund	D
EHP Dividend & Income Fund	EHP Dividend & Income Fund	D
EHP Select Fund	EdgeHill Partners	D
Eleven Fund	Spartan Fund Management Inc.	D
Elliott & Page Pooled Short-Term Fund	Elliott & Page Limited	D
Emerald Balanced Fund	TD Asset Management Inc.	D
Emerald Canadian Bond Index Fund	TD Asset Management Inc.	D
Emerald Canadian Equity Index Fund	TD Asset Management Inc.	D
Emerald Canadian Equity Market Neutral Fund	TD Asset Management Inc.	D
Emerald Canadian Short Term Investment Fund	TD Asset Management Inc.	D
Emerald Global Government Bond Index Fund	TD Asset Management Inc.	D
Emerald North American Equity Pairs Fund	TD Asset Management Inc.	D
Emerald Private Capital Small Cap Equity Fund	TD Asset Management Inc.	D
Emerald U.S. Equity Market Neutral Fund	TD Asset Management Inc.	D
Emerging Markets Equity Pool	United Financial Corporation	D
Energy Leaders Income Fund	Energy Leaders Income Fund	D
Energy Leaders Plus Income Fund	Energy Leaders Plus Income Fund	D
EnerVest Energy and Oil Sands Total Return Trust	EnerVest Energy and Oil Sands Total Return Trust	D
EnerVest Natural Resource Fund Shares	EnerVest Natural Resource Fund Ltd.	D
Enhanced Global Bond Fund	SEI Investments Canada Company	D
Enhanced Income Fund	United Financial Corporation	D
Enterra Energy Trust	Olympia Trust Company	D

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Environmental Investment Canadian Fund	The Royal Trust Company	D
Epoch Global Shareholder Yield Currency Neutral Fund	TD Asset Management Inc.	D
Epoch U.S. Shareholder Yield Fund (formerly TD U.S. Shareholder Yield Fund)	TD Asset Management Inc.	D
eQuaTe Asset Management Global Opportunities (GO) Fund	eQuaTe Asset Management Inc.	D
Equate Asset Management MVP Fund	Equate Asset Management MVP Fund	D
eQuaTe Asset Management STEADY Fund	eQuaTe Asset Management Inc.	D
Equium Global Tactical Allocation Fund	Equium Global Tactical Allocation Fund	D
ESI Premium Portfolio	ESI Premium Portfolio	D
Ethical Select Canadian Balanced Portfolio	Northwest & Ethical Investments L.P.	A,C
Ethical Select Canadian Growth Portfolio	Northwest & Ethical Investments L.P.	A,C
Ethical Select Global Balanced Portfolio	Northwest & Ethical Investments L.P.	A,C
Ethical Select Global Growth Portfolio	Northwest & Ethical Investments L.P.	A,C
Ethical Select Income Portfolio	Northwest & Ethical Investments L.P.	A,C
Everest International Bond Fund	CT Investment Counsel Inc.	D
Évolution actions canadiennes – grandes capitalisations	Fonds Évolution Inc.	D
Évolution actions canadiennes – valeur	Fonds Évolution Inc.	D
Évolution américain RER	Fonds Évolution Inc.	D
Évolution démographique canadienne	Fonds Évolution Inc.	D
Évolution équilibré	Fonds Évolution Inc.	D
Évolution expansion Québec	Fonds Évolution Inc.	D
Évolution finance et technologie	Fonds Évolution Inc.	D
Évolution gestion d'actif conservateur canadien	Fonds Évolution Inc.	D
Évolution gestion d'actif croissance canadienne	Fonds Évolution Inc.	D
Évolution gestion d'actif équilibré canadien	Fonds Évolution Inc.	D
Évolution leaders mondiaux RER	Fonds Évolution Inc.	D
Évolution marché monétaire	Fonds Évolution Inc.	D
Évolution REA	Fonds Évolution Inc.	D
Evovest Global Equity Fund	Evovest Global Equity Fund	A,C
Ewing Morris Flexible Fixed Income Trust Fund	Ewing Morris Flexible Fixed Income Trust Fund	A,C
Ewing Morris Opportunities Trust Fund	Ewing Morris Opportunities Trust Fund	A,C
Excalibur Harvest Canadian Fund	Excalibur Investment Strategies	D
Excel Canadian Balanced Fund	Excel Funds Management Inc.	D
Facs Records Storage Income Fund	Facs Records Centre Inc.	D
Factory Mutual Insurance Savings Plan	The Royal Trust Company	A,B
Fairbank Pooled Value Fund	Fairbank Pooled Value Fund	A,C
Faircourt Global Income Advantage Class	Faircourt Mutual Fund Corporation	D
Faircourt Income Split Trust	Faircourt Asset Management Inc.	D
Faircourt Split Trust	Faircourt Asset Management Inc.	D
Fairlane A Plus Bond Fund	Fairlane Asset Management Ltd.	D

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Fallingbrook Growth Fund	Fallingbrook Growth Fund	D
FC Fund	FC Fund	D
Federated Strategic Value U.S. Equity Dividend Fund	Federated Strategic Value U.S. Equity Dividend Fund	D
Fédération des médecins omnipraticiens du Québec / Section Omnibus	Fiducie Desjardins inc.	D
FG Income Trust	Moneysmarts Financial Corporation	A
FGP Balanced Fund	Foyston, Gordon & Payne Inc.	D
FGP Balanced Pool Fund	Foyston, Gordon & Payne Inc.	D
FGP Bond Fund	Foyston, Gordon & Payne Inc.	D
FGP Canadian Balanced Fund	Foyston, Gordon & Payne Inc.	D
FGP Canadian Equity Dividend Fund	Foyston, Gordon & Payne Inc.	D
FGP Canadian Equity Fund	Foyston, Gordon & Payne Inc.	D
FGP Core Plus Fund	Foyston, Gordon & Payne Inc.	D
FGP Corporate Bond Pooled Fund	Foyston, Gordon & Payne Inc.	D
FGP Enhanced Yield Corporate Bond Fund	FGP Enhanced Yield Corporate Bond Fund	D
FGP Foreign Equity Pooled Fund	Foyston, Gordon & Payne Inc.	D
FGP Income Fund	Foyston, Gordon & Payne Inc.	D
FGP Income Pooled Fund	Foyston, Gordon & Payne Inc.	A,C
FGP Inflation Linked Bond Fund	Foyston, Gordon & Payne Inc.	D
FGP Long Term Bond Fund	Foyston, Gordon & Payne Inc.	D
FGP Preferred Share Fund	Foyston, Gordon & Payne Inc.	D
FGP Short Term Bond Fund	Foyston, Gordon & Payne Inc.	D
FGP Short Term Investment Fund	Foyston, Gordon & Payne Inc.	D
FGP Small Cap Canadian Equity Pooled Fund	Foyston, Gordon & Payne Inc.	D
FGP Universe Bond Fund	Foyston, Gordon & Payne Inc.	D
FGP US Equity Fund	Foyston, Gordon & Payne Inc.	D
FI Capital Canadian Small Cap Equity Fund	FI Capital Ltd.	D
FI Capital SRI Canadian Equity Fund	FI Capital Ltd.	D
FI Capital SRI Enhanced Income Fund	FI Capital Ltd.	D
Fidelity Canadian High Quality Index ETF Fund	Fidelity Canadian High Quality Index ETF Fund	D
Fidelity Canadian Income Fund	Fidelity Investments Canada Limited	D
Fidelity Clear Path 2050 Portfolio	Fidelity Clear Path 2050 Portfolio	D
Fidelity Clear Path 2055 Portfolio	Fidelity Clear Path 2055 Portfolio	D
Fidelity Income Replacement 2017 Portfolio	Fidelity Investments Canada Limited	D
Fidelity Income Replacement 2019 Portfolio	Fidelity Investments Canada Limited	D
Fidelity Income Replacement 2021 Portfolio	Fidelity Investments Canada Limited	D
Fidelity Income Replacement 2023 Portfolio	Fidelity Investments Canada Limited	D
Fidelity Income Replacement 2025 Portfolio	Fidelity Investments Canada Limited	D
Fidelity Income Replacement 2027 Portfolio	Fidelity Investments Canada Limited	D
Fidelity Income Replacement 2029 Portfolio	Fidelity Investments Canada Limited	D
Fidelity Income Replacement 2031 Portfolio	Fidelity Investments Canada Limited	D

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Fidelity Income Replacement 2033 Portfolio	Fidelity Investments Canada Limited	D
Fidelity Income Replacement 2035 Portfolio	Fidelity Investments Canada Limited	D
Fidelity Income Replacement 2037 Portfolio	Fidelity Investments Canada Limited	D
Fidelity International High Quality Index ETF Fund	Fidelity International High Quality Index ETF Fund	D
Fidelity Managed Income Fund	Fidelity Investments Canada Limited	D
Fidelity Premium Fixed Income Private Pool	Fidelity Investments Canada Limited	D
Fidelity Premium Money Market Private Pool	Fidelity Investments Canada Limited	D
Fidelity RSP Global Asset Allocation Fund	Fidelity Investments Canada Limited	D
Fidelity RSP Global Bond Fund	Fidelity Investments Canada Limited	D
Fidelity RSP International Portfolio Fund	Fidelity Investments Canada Limited	D
Fidelity RSP NorthStar Fund	Fidelity Investments Canada Limited	D
Fidelity Systematic Canadian Bond Index ETF Fund	Fidelity Systematic Canadian Bond Index ETF Fund	D
Fidelity Systematic U.S. High Yield Bond Currency Neutral ETF Fund	Fidelity Systematic U.S. High Yield Bond Currency Neutral ETF Fund	D
Fidelity Systematic U.S. High Yield Bond ETF Fund	Fidelity Systematic U.S. High Yield Bond ETD Fund	D
Fidelity U.S. Dividend Private Pool	Fidelity U.S. Dividend Private Pool	D
Fidelity U.S. High Quality Currency Neutral Index ETF Fund	Fidelity U.S. High Quality Currency Neutral Index ETF Fund	D
Fidelity U.S. Low Volatillity Currency Neutral Index ETF Fund	Fidelity U.S. Low Volatillity Currency Neutral Index ETF Fund	D
Fiducie Desjardins Actions canadiennes	Fiducie Desjardins inc.	D
Fiera Active Trading Fund	Fiera Capital Corporation	D
Fiera Canadian Equity Value Fund	Fiera Capital Corporation	D
Fiera Capital Fonds d'actions américaines / Fiera Capital U.S. Equity Fund	Fiera Capital Corporation	D
Fiera Capital Fonds d'actions canadiennes de base / Fiera Capital Core Canadian Equity Fund	Fiera Capital Corporation	D
Fiera Capital Fonds d'actions de croissance / Fiera Capital Equity Growth Fund	Fiera Capital Corporation	A,C
Fiera Capital Fonds d'actions mondiales / Fiera Capital Global Equity Fund	Fiera Capital Corporation	D
Fiera Capital Fonds d'obligations diversifiées / Fiera Capital Diversified Bond Fund	Fiera Capital Corporation	D
Fiera Capital Fonds de revenu élevé / Fiera Capital High Income Fund	Fiera Capital Corporation	D
Fiera Capital Fonds de revenu et de croissance / Fiera Capital Income and Growth Fund	Fiera Capital Corporation	D
Fiera Emerging Markets Equity Fund	Fiera Capital Corporation	D
Fiera Market Neutral Equity Fund	Fiera Market Neutral Equity Fund	D
Fiera Short Term Investment Fund	Fiera Capital Corporation	D
Financial Institutions Trust	Moss Lawson & Co. Limited	D
FIRM Canadian Dividend Fund	Mulvihill Capital Management Inc.	D
FIRM Canadian Growth Fund	Mulvihill Capital Management Inc.	A,C
First American Fund	International Trust Company	D
First Canadian Balanced Fund	Bank of Montreal Investment Management Ltd.	D

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First Canadian Bond Fund	Bank of Montreal Investment Management Ltd.	D
First Canadian Dividend Income Fund	Bank of Montreal Investment Management Ltd.	D
First Canadian Equity Index Fund	Bank of Montreal Investment Management Ltd.	D
First Canadian Growth Fund	Bank of Montreal Investment Management Ltd.	D
First Canadian Money Market Fund	Bank of Montreal Investment Management Ltd.	D
First Canadian Mortgage Fund	Bank of Montreal Investment Management Ltd.	D
First Canadian Precious Metals Fund	Bank of Montreal Investment Management Ltd.	D
First Canadian Premium Money Market Fund	Bank of Montreal Investment Management Ltd.	D
First Canadian Resource Fund	Bank of Montreal Investment Management Ltd.	D
First Canadian Special Growth Fund	Bank of Montreal Investment Management Ltd.	D
First Canadian T-Bill Fund	Bank of Montreal Investment Management Ltd.	D
First Canadian U.S. Equity Index Fund	Bank of Montreal Investment Management Ltd.	D
First Capital Income and Growth Fund	First Capital Income and Growth Fund (Management) Inc.	D
First Heritage Fund	First Grenadier Fund Management Inc.	D
First Leaside Mortgage Fund	First Leaside Mortgage Fund	A,C
First Leaside Properties Fund	First Leaside Properties Fund	A,C
First Leaside Wealth Management Fund	First Leaside Wealth Management Fund	A,C
First Phoenix Canadian Equity Fund	First Phoenix Fund Company Ltd.	D
First Trust AlphaDEX Canadian Dividend Plus ETF	First Trust AlphaDEX Canadian Dividend Plus ETF	D
First Trust AlphaDEX Emerging Markets Dividend ETF (CAD-Hedged)	First Trust AlphaDEX Emerging Markets Dividend ETF (CAD-Hedged)	D
First Trust AlphaDEX U.S. Dividend Plus ETF (CAD-Hedged)	First Trust AlphaDex U.S. Dividend Plus ETF (CAD-Hedged)	D
First Trust AlphaDEXtm U.S. Consumer Discretionary Sector Index ETF	FT Portfolios Canada Co.	D
First Trust AlphaDEXtm U.S. Consumer Staples Sector Index ETF	FT Portfolios Canada Co.	D
First Trust AlphaDEXtm U.S. Energy Sector Index ETF	FT Portfolios Canada Co.	D
First Trust AlphaDEXtm U.S. Financial Sector Index ETF	FT Portfolios Canada Co.	D
First Trust AlphaDEXtm U.S. Health Care Sector Index ETF	FT Portfolios Canada Co.	D
First Trust AlphaDEXtm U.S. Industrials Sector Index ETF	FT Portfolios Canada Co.	D
First Trust AlphaDEXtm U.S. Materials Sector Index ETF	FT Portfolios Canada Co.	D
First Trust AlphaDEXtm U.S. Technology Sector Index ETF	FT Portfolios Canada Co.	D
First Trust AlphaDEXtm U.S. Utilities Sector Index ETF	FT Portfolios Canada Co.	D
First Trust Dorsey Wright U.S. Sector Rotation Index ETF	FT Portfolios Canada Co.	D
First Trust Global Capital Strength Portfolio	First Defined Portfolio Management Co.	D
First Trust Indxx Innovative Transaction and Process ETF	First Trust Indxx Innovative Transaction and Process ETF	D
First Trust International Capital Strength ETF	First Trust International Capital Strength ETF	D
First Trust Senior Loan ETF (CAD-Hedged)	First Trust Senior Loan ETF (CAD-Hedged)	D
Fixed Income Folio Fund	Mackenzie Financial Corporation	D
Flex Fund	Flex Fund	A,C
Floating Rate Income Fund	O'Leary Funds Management LP	A,C

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Floyd Growth Fund	R.A. Floyd Capital Management Inc.	D
Folk Strategic Investment Fund	Folk Strategic Investment Fund	A
Fonds à gestion discrétionnaire Trust Général/Banque Nationale	Trust Général du Canada	D
Fonds à revenu fixe court terme des professionnels	Financière des professionnels – Fonds d'investissement inc.	A,C
Fonds à revenu fixe mondial des professionnels	Fonds à revenu fixe mondial des professionnels	A,C
Fonds AA&A actions canadiennes valeur	Fonds AA&A actions canadiennes valeur	D
Fonds AA&A actions mondiales valeur	Fonds AA&A actions mondiales valeur	D
Fonds AA&A revenu fixe Canadien	Fonds AA&A revenu fixe Canadien	D
Fonds Acadie équilibré	Fiducie Desjardins inc.	D
Fonds Acadie hypothèques	Fiducie Desjardins inc.	D
Fonds Acadie marché monétaire	Fiducie Desjardins inc.	D
Fonds Acadie obligations	Fiducie Desjardins inc.	D
Fonds actions CSS	Fiducie Desjardins inc.	D
Fonds Arbipro	Lévesque Beaubien Geoffrion Inc.	A
Fonds Architectes du Québec section (B)	Trust Général du Canada	A,C
Fonds Architectes du Québec section (C)	Trust Général du Canada	A,C
Fonds Barrage	Barrage Holding Inc.	A,C
Fonds Canadien de Répartition d'Actif Cartier / Cartier Cdn Asset Allocation Fund	Fonds Mutuels Cartier Inc.	D
Fonds canadien de Trust Prêt et Revenu	Trust Prêt et Revenu	D
Fonds commun Addenda actions – Canada	Addenda Capital Inc.	D
Fonds commun Addenda actions – EAEO	Addenda Capital Inc.	D
Fonds commun Addenda actions – Mondiales	Addenda Capital Inc.	D
Fonds commun Addenda équilibré	Addenda Capital Inc.	D
Fonds commun Addenda hypothèques commerciales	Fonds commun Addenda hypothèques commerciales	D
Fonds commun Addenda marché monétaire – liquidité	Fonds commun Addenda marché monétaire – liquidité	D
Fonds commun Addenda obligations d'infrastructure	Fonds commun Addenda obligations d'infrastructure	D
Fonds commun Addenda obligations de sociétés	Addenda Capital Inc.	D
Fonds commun Addenda obligations de sociétés long terme	Addenda Capital Inc.	D
Fonds commun Addenda obligations gouvernements long terme durée active	Addenda Capital Inc.	D
Fonds commun Addenda obligations provinciales long terme indiciel	Addenda Capital Inc.	D
Fonds commun Addenda obligations sociétés diversifiées (Core)	Fonds commun Addenda obligations sociétés diversifiées (Core)	D
Fonds commun Addenda obligations univers diversifié (Core)	Fonds commun Addenda obligations univers diversifié (Core)	D
Fonds commun Addenda revenu diversifié canadien	Addenda Capital Inc.	D
Fonds commun d'actions américaines LODH OPUS	Lombard Odier Darier Hentsch (Canada), L.P.	A,C
Fonds commun d'actions canadiennes acheteur/vendeur OPTIONA	Les Conseillers en valeurs Chabotpage Inc.	D

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Fonds commun d'actions EAEO LODH OPUS	Lombard Odier Darier Hentsch (Canada), L.P.	A,C
Fonds commun d'obligations	Trust Général du Canada	D
Fonds commun de placement Régimes de retraite du Crédit Foncier	Société de Fiducie Crédit Foncier	B
Fonds commun de revenu fixe OPTIONA	Les Conseillers en valeurs Chabotpage Inc.	D
Fonds commun Élantis actions canadiennes valeur / Elantis Canadian Equity Value Pooled Fund	Placements Elantis Inc.	D
Fonds commun Fiera Capital Actions canadiennes / Fiera Capital Canadian Equity Pooled Fund	Gestion Fiera Capital Inc.	D
Fonds Cormel – section action	Fiducie Desjardins inc.	D
Fonds COTE 100 Excel	COTE 100 Inc.	D
Fonds COTE 100 Grandes sociétés canadiennes	COTE 100 Inc.	D
Fonds COTE 100 Premier	COTE 100 Inc.	D
Fonds COTE 100 Revenu	COTE 100 Inc.	D
Fonds COTE 100 U.S.	COTE 100 Inc.	D
Fonds COTE Grandes sociétés U.S.	COTE 100 Inc.	D
Fonds Court Terme des professionnels	Fonds des professionnels – Fonds d'Investissement Inc.	D
Fonds croissance Amérique Banque Nationale / Fidelity / National Bank Fidelity Growth America Fund	Placements Banque Nationale Inc.	D
Fonds croissance équilibré protégé Banque Nationale / National Bank Protected Growth Balanced Fund	Placements Banque Nationale Inc.	D
Fonds croissance Québec Banque Nationale	Placements Banque Nationale Inc.	D
Fonds CSA Sécurité	Fiducie Desjardins inc.	D
Fonds CSFPQ équilibré	Fiducie Desjardins inc.	A,C
Fonds d'actions américaines Landry	Fiducies de fonds communs Landry	A,C
Fonds d'actions ASIE des professionnels	Fonds des professionnels – Fonds d'Investissement Inc.	D
Fonds d'actions BNP (Canada)	BNP (Canada) Valeurs Mobilières Inc.	D
Fonds d'actions canadiennes Banque Nationale / National Bank Canadian Equity Fund	Banque Nationale du Canada	D
Fonds d'actions canadiennes CTI	Trust Banque Nationale inc.	A,C
Fonds d'actions canadiennes F.M.O.Q.	Fiducie Desjardins inc.	D
Fonds d'actions canadiennes Landry	Fiducies de fonds communs Landry	A,C
Fonds d'actions canadiennes protégé Banque Nationale / National Bank Protected Canadian Equity Fund	Placements Banque Nationale Inc.	D
Fonds d'actions canadiennes SmartBeta BNI	National Bank Investments Inc. / Banque Nationale Investissements Inc.	D
Fonds d'actions de pays émergents des professionnels	Fonds des professionnels – Fonds d'Investissement Inc.	D
Fonds d'actions internationales F.M.O.Q.	Fiducie Desjardins inc.	D
Fonds d'actions mondiales Landry	Fiducies de fonds communs Landry	A,C
Fonds d'actions mondiales R.E.G.A.R. Gestion Privée	Fonds R.E.G.A.R. Gestion Privée	D
Fonds d'actions mondiales RER Banque Nationale / National Bank Global Equity RSP Fund	Placements Banque Nationale Inc.	D
Fonds d'actions mondiales SmartBeta BNI	National Bank Investments Inc. / Banque Nationale Investissements Inc.	D
Fonds d'actions tendances mondiales des professionnels	Fonds d'actions tendances mondiales des professionnels	A,C

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Fonds d'arbitrage améthyste	Gestion Cristallin Inc.	D
Fonds d'hypothèques Banque Nationale / National Bank Mortgage Fund	Placements Banque Nationale Inc.	D
Fonds d'investissement REA Inc.	Le Fonds d'investissement REA Inc.	D
Fonds d'obligations Banque Nationale / National Bank Bond	Placements Banque Nationale Inc.	C
Fonds d'obligations BNP (Canada)	BNP (Canada) Valeurs Mobilières Inc.	D
Fonds d'obligations canadiennes CTI	Trust Banque Nationale inc.	A,C
Fonds d'obligations canadiennes protégé Banque Nationale / National Bank Protected Canadian Bond Fund	Placements Banque Nationale Inc.	D
Fonds d'obligations de Trust Prêt et Revenu	Trust Prêt et Revenu	D
Fonds d'obligations des professionnels	Fonds des professionnels — Fonds d'Investissement Inc.	D
Fonds d'obligations FMOQ	Fiducie Desjardins inc.	A,C
Fonds d'obligations mondiales RER Banque Nationale / National Bank International RSP Bond Fund	Placements Banque Nationale Inc.	D
Fonds d'obligations mondiales tactique \$ US Banque Nationale	National Bank Investments Inc. / Banque Nationale Investissements Inc.	D
Fonds d'opportunités canadiennes Banque Nationale / National Bank Canadian Opportunities Fund	Placements Banque Nationale Inc.	D
Fonds de bons du trésor plus Banque Nationale / National Bank Treasury Bill Plus Fund	Placements Banque Nationale Inc.	D
Fonds de couverture TBN	Trust Banque Nationale inc.	D
Fonds de croissance APPQ	Trust Banque Nationale inc.	D
Fonds de croissance Concorde	Groupe Financier Concorde Inc.	D
Fonds de croissance Soficorp	Trust Général du Canada	D
Fonds de croissance stratégique — CTI	Trust Banque Nationale inc.	A,C
Fonds de croissance Timvest Inc.	Trust Général du Canada	D
Fonds de croissance Zenith à valeur stable / Zenith Stable Value Growth Fund	Corporation de gestion Zenith	A,C
Fonds de Devises à Forte Croissance MFG	Fonds Monexia Inc.	D
Fonds de Devises Diversifié MFG	Fonds Monexia Inc.	D
Fonds de dividendes américains des professionnels	Fonds de dividendes américains des professionnels	A,C
Fonds de dividendes Banque Nationale / National Bank Dividend Fund	Placements Banque Nationale Inc.	D
Fonds de dividendes Concorde	Groupe Financier Concorde Inc.	D
Fonds de dividendes de Trust Prêt et Revenu	Trust Prêt et Revenu	D
Fonds de dividendes des professionnels	Fonds des professionnels — Fonds d'Investissement Inc.	D
Fonds de dividendes Soficorp	Trust Général du Canada	D
Fonds de gestion de trésorerie Banque Nationale	Fonds de gestion de trésorerie Banque Nationale	D
Fonds de gestion de trésorerie Banque Nationale / National Bank Treasury Management Fund	Placements Banque Nationale Inc.	D
Fonds de Gestion Privée FMOQ Actions	Société de gérance des Fonds FMOQ inc.	A,C
Fonds de Gestion Privée FMOQ Immobilier et Infrastructure	Société de Gestion Privée des Fonds FMOQ Inc.	A,C
Fonds de liquidités corporatives Banque Nationale / National Bank Corporate Cash Management Fund	Placements Banque Nationale Inc.	D

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Fonds de marché monétaire américain Banque Nationale / National Bank U.S. Money Market Fund	Placements Banque Nationale Inc.	D
Fonds de marché monétaire Banque Nationale / National Bank Money Market Fund	Placements Banque Nationale Inc.	D
Fonds de placement Dividendes du Barreau du Québec	Corporation de services du Barreau du Québec	D
Fonds de placement du Barreau du Québec – section actions	Fiducie Desjardins inc.	D
Fonds de placement du Barreau du Québec – section équilibrée	Fiducie Desjardins inc.	D
Fonds de placement du Barreau du Québec – section obligations	Fiducie Desjardins inc.	D
Fonds de placement du Trust Général – Fonds équilibré	Trust Général du Canada	B
Fonds de placement du Trust Général – Fonds marché monétaire	Trust Général du Canada	D
Fonds de Placement FMOQ	Société de gérance des Fonds FMOQ inc.	D
Fonds de placement groupe Culinar	Fiducie Desjardins inc.	A,C
Fonds de placement Trust La Laurentienne – Fonds actions	Trust La Laurentienne du Canada inc.	A
Fonds de placements Marché monétaire du Barreau du Québec	Corporation de services du Barreau du Québec	A,C
Fonds de placements Mondial du Barreau du Québec	Corporation de services du Barreau du Québec	D
Fonds de retraite équilibré Banque Nationale / National Bank Retirement Balanced Fund	Placements Banque Nationale Inc.	D
Fonds de retraite équilibré Protégé Banque Nationale / National Bank Protected Retirement Balanced Fund	Placements Banque Nationale Inc.	D
Fonds de retraite Prêt et Revenu	Trust Prêt et Revenu	D
Fonds de revenu Boralex Énergie	Boralex Inc.	D
Fonds de revenu Concorde	Groupe Financier Concorde Inc.	D
Fonds de revenu d'actifs réels mondiaux BNI	National Bank Investments Inc. / Banque Nationale Investissements Inc.	D
Fonds de revenu et de croissance américain stratégique Banque Nationale	National Bank Investments Inc. / Banque Nationale Investissements Inc.	D
Fonds de revenu fixe sans contraintes BNI	National Bank Investments Inc. / Banque Nationale Investissements Inc.	D
Fonds de revenu mensuel Actions Banque Nationale	Société de Fiducie NATCAN	D
Fonds de revenu mensuel Banque Nationale / National Bank Monthly Income Fund	Placements Banque Nationale Inc.	D
Fonds de revenu mensuel Conservateur Banque Nationale	Société de Fiducie NATCAN	D
Fonds de revenu mensuel Élevé Banque Nationale	Société de Fiducie NATCAN	D
Fonds de revenu mensuel Pondéré Banque Nationale	Société de Fiducie NATCAN	D
Fonds de revenu mensuel Prudent Banque Nationale	Société de Fiducie NATCAN	D
Fonds de revenu Soficorp	Trust Général du Canada	D
Fonds de revenu Timvest	Trust Général du Canada	D
Fonds des régimes enregistrés non réclamés	Fiducie Desjardins inc.	A,C
Fonds Desjardins Actions	Fiducie Desjardins inc.	D
Fonds Desjardins Actions américaines croissance	Fiducie Desjardins inc.	D

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Fonds Desjardins Actions américaines croissance - Devises neutres	Fiducie Desjardins inc.	D
Fonds Desjardins Actions américaines valeur	Fiducie Desjardins inc.	D
Fonds Desjardins Actions canadiennes de revenu	Fiducie Desjardins inc.	D
Fonds Desjardins Actions canadiennes valeurs	Fiducie Desjardins inc.	D
Fonds Desjardins Actions mondiales	Fiducie Desjardins inc.	D
Fonds Desjardins Actions mondiales croissance	Fiducie Desjardins inc.	D
Fonds Desjardins Actions mondiales petite capitalisation	Fiducie Desjardins inc.	D
Fonds Desjardins Actions mondiales toute capitalisation	Fiducie Desjardins inc.	D
Fonds Desjardins Actions mondiales valeur	Fiducie Desjardins inc.	D
Fonds Desjardins Actions outre-mer croissance	Fiducie Desjardins inc.	D
Fonds Desjardins Actions outre-mer valeur	Fiducie Desjardins inc.	D
Fonds Desjardins Actions privilégiées canadiennes	Fiducie Desjardins inc.	D
Fonds Desjardins croissance	Fiducie Desjardins inc.	D
Fonds Desjardins croissance de dividendes	Fiducie Desjardins inc.	D
Fonds Desjardins dividendes	Fiducie Desjardins inc.	D
Fonds Desjardins environnement	Fiducie Desjardins inc.	D
Fonds Desjardins équilibré	Fiducie Desjardins inc.	D
Fonds Desjardins équilibré mondial de revenu stratégique	Fiducie Desjardins inc.	D
Fonds Desjardins éthique équilibré	Fiducie Desjardins inc.	D
Fonds Desjardins éthique revenu	Fiducie Desjardins inc.	D
Fonds Desjardins Fidelity Expansion Canada	Fiducie Desjardins inc.	D
Fonds Desjardins hypothèques	Fiducie Desjardins inc.	D
Fonds Desjardins IBrix Actions canadiennes à dividendes élevés	Fiducie Desjardins inc.	D
Fonds Desjardins IBrix Actions mondiales à faible volatilité	Fiducie Desjardins inc.	D
Fonds Desjardins IBrix Focus actions canadiennes	Fiducie Desjardins inc.	D
Fonds Desjardins IBrix Focus actions mondiales	Fiducie Desjardins inc.	D
Fonds Desjardins IBrix Marchés émergents à faible volatilité	Fiducie Desjardins inc.	D
Fonds Desjardins IBrix Obligations mondiales	Fiducie Desjardins inc.	D
Fonds Desjardins Immobilier mondial	Fiducie Desjardins inc.	D
Fonds Desjardins Infrastructures mondiales	Fiducie Desjardins inc.	D
Fonds Desjardins international RER	Fiducie Desjardins inc.	D
Fonds Desjardins marché américain	Fiducie Desjardins inc.	D
Fonds Desjardins marché monétaire	Fiducie Desjardins inc.	D
Fonds Desjardins marchés émergents	Fiducie Desjardins inc.	D
Fonds Desjardins mondial équilibré	Fiducie Desjardins inc.	D
Fonds Desjardins NordOuest Spécialisé actions	Fiducie Desjardins inc.	D
Fonds Desjardins NordOuest Spécialisé d'obligations mondial à rendement élevé	Fiducie Desjardins inc.	D
Fonds Desjardins Obligations	Fiducie Desjardins inc.	D

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Fonds Desjardins Obligations à rendement en capital	Fiducie Desjardins inc.	D
Fonds Desjardins Obligations des marchés émergents	Fiducie Desjardins inc.	D
Fonds Desjardins Obligations mondiales de sociétés	Fiducie Desjardins inc.	D
Fonds Desjardins Obligations mondiales tactique	Fiducie Desjardins inc.	D
Fonds Desjardins Obligations opportunités	Fiducie Desjardins inc.	D
Fonds Desjardins Opportunités des marchés émergents	Fiducie Desjardins inc.	D
Fonds Desjardins Placements alternatifs	Fiducie Desjardins inc.	D
Fonds Desjardins Placements alternatifs spécialisés	Fiducie Desjardins inc.	D
Fonds Desjardins Portefeuille SociétéTerre Croissance plus	Fiducie Desjardins inc.	D
Fonds Desjardins Portefeuille SociétéTerre Équilibré	Fiducie Desjardins inc.	D
Fonds Desjardins Portefeuille SociétéTerre Sécuritaire boursier	Fiducie Desjardins inc.	D
Fonds Desjardins Québec	Fiducie Desjardins inc.	D
Fonds Desjardins revenu à taux variable	Fiducie Desjardins inc.	D
Fonds Desjardins secteurs prometteurs	Fiducie Desjardins inc.	D
Fonds Desjardins sélection canadienne	Fiducie Desjardins inc.	D
Fonds Desjardins sélection Cartier	Fiducie Desjardins inc.	D
Fonds Desjardins sélection équilibrée	Fiducie Desjardins inc.	D
Fonds Desjardins SociétéTerre Actions américaines	Fiducie Desjardins inc.	D
Fonds Desjardins SociétéTerre Actions canadiennes	Fiducie Desjardins inc.	D
Fonds Desjardins SociétéTerre Actions des marchés émergents	Fiducie Desjardins inc.	D
Fonds Desjardins SociétéTerre Actions internationales	Fiducie Desjardins inc.	D
Fonds Desjardins SociétéTerre Actions positives	Fiducie Desjardins inc.	D
Fonds Desjardins SociétéTerre Obligations canadiennes	Fiducie Desjardins inc.	D
Fonds Desjardins SociétéTerre Obligations environnementales	Fiducie Desjardins inc.	D
Fonds Desjardins SociétéTerre Technologies propres	Fiducie Desjardins inc.	D
Fonds diamant stratégie contre-courant	Trust Banque Nationale inc.	A,C
Fonds diversifié conservateur Banque Nationale	Placements Banque Nationale Inc.	D
Fonds diversifié Desjardins ambitieux	Fiducie Desjardins inc.	D
Fonds diversifié Desjardins audacieux	Fiducie Desjardins inc.	D
Fonds diversifié Desjardins modéré	Fiducie Desjardins inc.	D
Fonds diversifié Desjardins sécuritaire	Fiducie Desjardins inc.	D
Fonds diversifié intrépide Banque Nationale	Placements Banque Nationale Inc.	D
Fonds diversifié pondéré Banque Nationale	Placements Banque Nationale Inc.	D
Fonds diversifié prudent InvesNat	Placements Banque Nationale Inc.	D
Fonds diversifié Timvest	Trust Général du Canada	D
Fonds du marché monétaire Concorde	Groupe Financier Concorde Inc.	D
Fonds du marché monétaire de Trust Prêt et Revenu	Trust Prêt et Revenu	D
Fonds économie d'avenir RER Banque Nationale / National Bank Future Economy RSP Fund	Placements Banque Nationale Inc.	D

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Fonds en gestion commune croissance plus Trust Banque Nationale	Trust Banque Nationale inc.	D
Fonds en gestion commune croissance Trust Général du Canada	Trust Banque Nationale inc.	D
Fonds en gestion commune dynamique plus Trust Banque Nationale	Trust Banque Nationale inc.	D
Fonds en gestion commune équilibré plus Trust Banque Nationale	Trust Banque Nationale inc.	D
Fonds en gestion commune équilibré Trust Général du Canada	Trust Banque Nationale inc.	D
Fonds en gestion commune prudent plus Trust Banque Nationale	Trust Banque Nationale inc.	D
Fonds en gestion commune revenu plus Trust Banque Nationale	Trust Banque Nationale inc.	D
Fonds en gestion commune revenu Trust Général du Canada	Trust Banque Nationale inc.	D
Fonds équilibré	Trust La Laurentienne du Canada inc.	D
Fonds équilibré — Retraite des professionnels	Fonds équilibré — Retraite des professionnels	A,C
Fonds équilibré A.P.M.	Fiducie Desjardins inc.	A,C
Fonds équilibré Concorde	Groupe Financier Concorde Inc.	D
Fonds équilibré Conservateur FMOQ	Fonds équilibré Conservateur FMOQ	A,C
Fonds équilibré CP Kerr / KFA Balanced Pooled Fund	Kerr Financial Advisors Inc.	D
Fonds équilibré croissance des professionnels	Fonds des professionnels — Fonds d'Investissement Inc.	D
Fonds équilibré de l'Association des policiers provinciaux du Québec	Trust Banque Nationale inc.	A
Fonds équilibré groupe Val Royal	Fiducie Desjardins inc.	A,C
Fonds équilibré Soficorp	Trust Général du Canada	D
Fonds EUROPE des professionnels	Fonds des professionnels — Fonds d'Investissement Inc.	D
Fonds Évolution obligations	Fonds Évolution Inc.	D
Fonds Évolution répartition d'actif canadien	Évolution FM Inc.	D
Fonds FÉRIQUE Actions américaines	Trust Banque Nationale inc.	D
Fonds FÉRIQUE Actions asiatiques	Trust Banque Nationale inc.	D
Fonds FÉRIQUE Actions canadiennes	Trust Banque Nationale inc.	D
Fonds FÉRIQUE Actions canadiennes de dividendes	Gestion FÉRIQUE	D
Fonds FÉRIQUE Actions européennes	Trust Banque Nationale inc.	D
Fonds FÉRIQUE Actions mondiales de dividendes	Trust Banque Nationale inc.	D
Fonds FÉRIQUE Marchés Émergents	Gestion FÉRIQUE	D
Fonds FÉRIQUE Obligations canadiennes	Trust Banque Nationale inc.	D
Fonds FÉRIQUE Revenu Court terme	Trust Banque Nationale inc.	D
Fonds FÉRIQUE Revenu mondial diversifié	Gestion FÉRIQUE	D
Fonds Fiera Actions américaines / Fiera U.S. Equity Fund	Fiera Capital Corporation	D
Fonds Fiera Actions américaines éthique ESG / Fiera U.S. Equity Ethical ESG Fund	Fiera Capital Corporation	D
Fonds Fiera Actions américaines Non Imposable / Fiera U.S. Equity Tax Exempt Fund	Fiera Capital Corporation	D

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Fonds Fiera Actions canadiennes / Fiera Canadian Equity Fund	Fiera Capital Corporation	D
Fonds Fiera Actions canadiennes « Core » / Fiera Canadian Equity Core Fund	Fiera Capital Corporation	D
Fonds Fiera Actions canadiennes à revenu élevé	Fiera Capital Corporation	D
Fonds Fiera Actions canadiennes éthique ESG / Fiera Canadian Equity Ethical ESG Fund	Fiera Capital Corporation	D
Fonds Fiera Actions canadiennes Opportunités / Fiera Canadian Equity Opportunities Fund	Fiera Capital Corporation	D
Fonds Fiera Actions internationales / Fiera International Equity Fund	Fiera Capital Corporation	D
Fonds Fiera Actions internationales éthiques ESG / Fiera International Equity Ethical ESG Fund	Fiera Capital Corporation	D
Fonds Fiera Actions mondiales	Fiera Sceptre Inc.	D
Fonds Fiera ASFI — Gestion active univers éthique ESG / Fiera ASFI – Active Universe Ethical ESG Fund	Fiera Capital Corporation	D
Fonds Fiera Court Terme « Core » / Fiera Short Term Core Fund	Fiera Capital Corporation	D
Fonds Fiera Équilibre Diversifié / Fiera Diversified Balanced Fund	Fiera Capital Corporation	D
Fonds Fiera Financement Diversifié / Fiera Diversified Lending Fund	Fonds Fiera Financement Diversifié / Fiera Diversified Lending Fund	D
Fonds Fiera Gestion privée actions canadiennes / Fiera Private Wealth Canadian Equity Fund	Fiera Capital Corporation	D
Fonds Fiera Obligations — Gestion active / Fiera Active Fixed Income Fund	Fiera Capital Corporation	D
Fonds Fiera Obligations — Gestion tactique / Fiera Tactical Fixed Income Fund	Gestion Fiera Capital Inc.	D
Fonds flexifonds croissance	Fiducie Desjardins inc.	A,C
Fonds flexifonds équilibré	Fiducie Desjardins inc.	A,C
Fonds flexifonds prudent	Fiducie Desjardins inc.	A,C
Fonds Frontière Nord Banque Nationale / Fidelity / National Bank Fidelity / True North Fund	Placements Banque Nationale Inc.	D
Fonds FX MFG	Fonds Monexia Inc.	D
Fonds Gestion Privée FMOQ Multistratégie	Société de Gestion Privée des Fonds FMOQ Inc.	A,C
Fonds Gestion Privée FMOQ Revenu Diversifié	Fonds Gestion Privée FMOQ Revenu Diversifié	A,C
Fonds global d'actions des professionnels	Fonds des professionnels — Fonds d'Investissement Inc.	D
Fonds indiciel américain des professionnels	Fonds des professionnels — Fonds d'Investissement Inc.	D
Fonds indiciel américain RER Banque Nationale / National Bank American RSP Index Fund	Placements Banque Nationale Inc.	D
Fonds indiciel canadien InvesNat	Placements Banque Nationale Inc.	D
Fonds indiciel canadien plus Banque Nationale / National Bank Canadian Index Plus Fund	Placements Banque Nationale Inc.	D
Fonds indiciel international RER Banque Nationale	Placements Banque Nationale Inc.	D
Fonds international protégé Banque Nationale / National Bank Protected International Fund	Placements Banque Nationale Inc.	D
Fonds Maestral Croissance Québec Inc.	Fiducie Desjardins inc.	D
Fonds Maestral dividendes canadiens	Fiducie Desjardins inc.	D

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Fonds Maestral monétaire	Fiducie Desjardins inc.	D
Fonds Maestral obligations canadiennes	Fiducie Desjardins inc.	D
Fonds marché monétaire BNP (Canada)	BNP (Canada) Valeurs Mobilières Inc.	D
Fonds monétaire FMOQ	Fiducie Desjardins inc.	D
Fonds monétaire Timvest	Trust Général du Canada	D
Fonds Montrusco sélect — Actions de croissance Mid-Cap	Montrusco & Associés Inc.	D
Fonds Montrusco sélect — Obligations à rendement élevé	Montrusco & Associés Inc.	D
Fonds Montrusco sélect — Obligations indice +	Montrusco & Associés Inc.	D
Fonds Montrusco sélect — Rendement total transnational	Montrusco Associates Inc.	D
Fonds Montrusco sélect mondial équilibré +	Montrusco Associates Inc.	D
Fonds Multimédia MGP Canada	Trust Banque Nationale inc.	A
Fonds Municipal — profil Québec	BLC Trust	A,C
Fonds Mutuel Corporation de Prêt et Revenu du Canada Ltée	Trust Prêt et Revenu	D
Fonds obligataire CSS	Fiducie Desjardins inc.	D
Fonds obligations canadiennes FMOQ	Fiducie Desjardins inc.	D
Fonds obligations Timvest	Trust Général du Canada	D
Fonds Omega Actions Privilégiées	Société de Fiducie NATCAN	D
Fonds Omega Consensus actions américaines	Société de Fiducie NATCAN	D
Fonds Omega Consensus actions internationales	Société de Fiducie NATCAN	D
Fonds Omega dividendes élevés	Société de Fiducie NATCAN	D
Fonds omniresponsable FMOQ	Société de gérance des Fonds FMOQ inc.	A,C
Fonds Optimum croissance et revenu	Trust Banque Nationale inc.	D
Fonds Optimum international	Trust Banque Nationale inc.	A,C
Fonds Orientation Finance Valeur, De Petites Capitalisations Mondiales	Orientation Finance Inc.	D
Fonds Orientation Finance Valeur, Performance	Orientation Finance Inc.	D
Fonds petite capitalisation Banque Nationale / National Bank Small Capitalization Fund	Placements Banque Nationale Inc.	D
Fonds Privé GPD actions américaines (pour comptes non taxables)	Fiducie Desjardins inc.	D
Fonds Privé GPD actions américaines (pour comptes taxables)	Fiducie Desjardins inc.	D
Fonds Privé GPD actions canadiennes	Fiducie Desjardins inc.	D
Fonds Privé GPD actions canadiennes croissance	Fiducie Desjardins inc.	D
Fonds Privé GPD actions canadiennes de petite capitalisation	Fiducie Desjardins inc.	D
Fonds Privé GPD actions EAEO	Fiducie Desjardins inc.	D
Fonds Privé GPD croissance à distribution mensuelle	Fiducie Desjardins inc.	D
Fonds Privé GPD équilibre	Fiducie Desjardins inc.	D
Fonds Privé GPD obligations	Fiducie Desjardins inc.	D

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Fonds Privé GPD obligations corporatives	Fiducie Desjardins inc.	D
Fonds Privé GPD obligations gouvernementales	Fiducie Desjardins inc.	D
Fonds Privé GPD revenu à distribution mensuelle	Fiducie Desjardins inc.	D
Fonds Privé GPD stratégies alternatives	Fiducie Desjardins inc.	D
Fonds Privé GPD stratégies complémentaires	Fiducie Desjardins inc.	D
Fonds R d'actions canadiennes	Banque Laurentienne du Canada	D
Fonds R d'actions canadiennes de petite capitalisation	Banque Laurentienne du Canada	D
Fonds R d'entreprises dominantes canadiennes / R Canadian Leaders Fund	Trust La Laurentienne du Canada inc.	D
Fonds R d'obligations	Banque Laurentienne du Canada	D
Fonds R d'obligations nord-américaines à haut rendement	Banque Laurentienne du Canada	D
Fonds R de trésorerie corporative / R Corporate Treasury Fund	BLC-Edmond de Rothschild Asset Management Inc.	D
Fonds R dividendes	Banque Laurentienne du Canada	D
Fonds R équilibré	Banque Laurentienne du Canada	D
Fonds R équilibré à revenu mensuel	Banque Laurentienne du Canada	D
Fonds RCGT Équilibré no. 2 des associés	Fiducie Desjardins inc.	D
Fonds Remec	Fiducie Desjardins inc.	A,C
Fonds Répartition d'actifs canadiens Banque Nationale / Fidelity National Bank / Fidelity Canadian Asset Allocation Fund	Placements Banque Nationale Inc.	D
Fonds ressources naturelles Banque Nationale	Placements Banque Nationale Inc.	D
Fonds Revenu Mensuel FMOQ	Société de gérance des Fonds FMOQ inc.	D
Fonds Revenu Plus Razorbill Landry	Fiducies de fonds communs Landry	A,C
Fonds Saphir Canada+	Gestion Cristallin Inc.	D
Fonds technologies mondiales RER Banque Nationale / National Bank Global Technologies RSP Fund	Placements Banque Nationale Inc.	D
Fonds Triasima à revenu équilibré	Triasima Portfolio Management Inc.	D
Fonds Valeur Adaptatif Long/Short Landry	Canadian Adaptive Value Long Short	A,C
Fonds Westwood Actions Mondiales	Fonds Westwood Actions Mondiales	D
Fonds Westwood de Dividendes Mondial	Fonds Westwood de Dividendes Mondial	D
Fonds Zéro Coupon — Profil Québec	BLC Trust	A,C
Foremost Mortgage Trust	Foremost Financial Corporation	D
Foresters Asset Management Canadian Bond Pool	Foresters Asset Management Inc.	D
Forstrong Global Strategist Balanced Fund	Forstrong Global Strategist Balanced Fund	A,C
Forstrong Global Strategist Growth Fund	Forstrong Global Strategist Growth Fund	A,C
Forstrong Global Strategist Income Fund	Forstrong Global Strategist Income Fund	A,C
Fortified Resource Trust	Fortified Limited Partnership	A,C
Foundation Conservative Fund	Portfolio Strategies Securities Inc.	A,C
Foundation Equity Fund	Portfolio Strategies Securities Inc.	A,C
Foundation Tactical Balanced Fund	Portfolio Strategies Securities Inc.	A,C
Foundation Tactical Growth Fund	Portfolio Strategies Securities Inc.	A,C
Foundation Yield Fund	Portfolio Strategies Securities Inc.	A,C

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Franklin Bissett Canadian Government Bond Fund	Franklin Templeton Investment Corp.	D
Franklin Global Real Estate Fund	Franklin Templeton Investments Corporation	D
Franklin Templeton Canadian Core Equity Fund	Franklin Templeton Investments Corporation	D
Franklin Templeton Canadian Large Cap Fund	Franklin Templeton Investments Corporation	D
Franklin Templeton Global Aggregate Bond Fund	Franklin Templeton Investments Corporation	D
Franklin Templeton Global Blend Fund	Franklin Templeton Investments Corporation	D
Front Street Resource Fund	Front Street Capital 2004	D
Front Street Small Cap Canadian Fund	The Royal Trust Company	D
Frontiers Canadian Equity Pool	CIBC Asset Management Inc.	D
Frontiers Canadian Fixed Income Pool	CIBC Asset Management Inc.	D
Frontiers Canadian Short Term Income Fund	CIBC Asset Management Inc.	D
Frontiers Equity Income Pool	CIBC Asset Management Inc.	D
Frontiers Global Bond Pool	CIBC Asset Management Inc.	D
Frontiers U.S. Equity Currency Neutral Pool (Fonds d'actions américaines neutre en devises frontières)	Frontiers U.S. Equity Currency Neutral Pool (Fonds d'actions américaines neutre en devises frontières)	D
FT Balanced Growth Pool	Franklin Templeton Investment Corp.	D
FT Balanced Income Pool	Franklin Templeton Investment Corp.	D
FT Growth Pool	Franklin Templeton Investment Corp.	D
Fulcra Focused Yield Fund	Fulcra Focused Yield Fund	D
Galaxy Capital Canadian Balanced Fund	Trust Co. of the Bank of Montreal	D
Galaxy Capital Canadian Equity Fund	Trust Co. of the Bank of Montreal	D
Galaxy Capital Canadian Fixed Income Fund	Trust Co. of the Bank of Montreal	D
Galibier Canadian Equity Pool	Galibier Capital Management Ltd.	D
Galibier U.S. Equity Pool	Galibier Capital Management Ltd.	D
Galileo Absolute Return Fund	Galileo Equity Management Inc.	D
Galileo Canadian Active/Passive Fund / Fonds Canadien Actif/Passif Galileo	Galileo Funds Inc.	D
Galileo Global Active/Passive Fund / Fonds mondial Actif/Passif Galileo	Galileo Funds Inc.	D
Galileo High Income Plus Fund / Fonds de revenu élevé Plus Galileo	Galileo Funds Inc.	D
Galileo Money Market Fund / Fonds marché monétaire Galileo	Galileo Funds Inc.	D
Galileo Small/Mid Cap Fund / Fonds de sociétés à petite/moyenne capitalisation Galileo	Galileo Funds Inc.	D
Gamache Mortgage Fund	Gamache Mortgage Corp.	A
Gateway Low Volatility U.S. Equity Fund	Gateway Low Volatility U.S. Equity Fund	D
G-Balanced Fund	The Canada Trust Company	D
GBC Canadian Bond Fund	Ivory & Sime Pembroke Inc.	D
GBC Canadian Growth Fund	Ivory & Sime Pembroke Inc.	D
GBC Money Market Fund	Ivory & Sime Pembroke Inc.	D
GC One Equity Portfolio	GC One Equity Portfolio	D
GC ONE Fixed Income Portfolio	GC ONE Fixed Income Portfolio	D

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GEM Balanced Pool	GEM Balanced Pool	D
GEM Canadian Equity Pool	GEM Canadian Equity Pool	D
GEM Fixed Income Pool	GEM Fixed Income Pool	D
GEM Global Equity Pool	GEM Global Equity Pool	D
Gemini Financial Services Opportunities Fund	Gemini Asset Management Inc.	D
Generation Global Value Private Trust	Generation Global Value Private Trust	D
Genus Balanced Fund	Genus Capital Management Inc.	D
Genus Canadian Alpha Equity Fund	Genus Capital Management Inc.	D
Genus Canadian Asset-Backed Component	Genus Canadian Asset-Backed Component	A,C
Genus Canadian T-Bill Fund	Genus Capital Management Inc.	A,C
Genus CanGlobe Equity Fund	Genus Capital Management Inc.	A,C
Genus Commercial Mortgage Fund	Genus Capital Management Inc.	A,C
Genus Dividend Equity Fund	Genus Capital Management Inc.	D
Genus EAFE Alpha Component	Genus Capital Management Inc.	A,C
Genus Emerging Markets Equity Fund	Genus Capital Management Inc.	A,C
Genus Fossil Free CanGlobe Equity Fund	Genus Capital Management Inc.	A,C
Genus Fossil Free Corporate Bond Fund	Genus Capital Management Inc.	D
Genus Fossil Free Dividend Equity Fund	Genus Capital Management Inc.	D
Genus Fossil Free Impact Equity Fund	Genus Capital Management Inc.	A,C
Genus Global Alpha Fund	Genus Capital Management Inc.	A,C
Genus Government Bond Fund	Genus Capital Management Inc.	D
Genus International Equity Component	Genus International Equity Component	A,C
Genus Short-Term Corporate Bond Fund	Genus Capital Management Inc.	D
Genus Strategic Bond Fund	Genus Capital Management Inc.	D
Genus U.S. Alpha Component	Genus Capital Management Inc.	A,C
Genus U.S. Asset-Backed Component	Genus U.S. Asset-Backed Component	A,C
Georgian Bond Fund	Georgian Capital Partners Inc.	D
Georgian Northern 24 Fund	Georgian Capital Partners Inc.	D
Georgian Partners Bond Fund	Georgian Capital Partners Inc.	D
Georgian Partners Northern 24 Fund	Georgian Capital Partners Inc.	D
GFD - Foyston Care & Maintenance Income Fund	Foyston, Gordon & Payne Inc.	D
GGOF Aggressive Growth Solution	Guardian Group of Funds Ltd.	D
GGOF Balanced Solution	Guardian Group of Funds Ltd.	D
GGOF Canadian Bond Fund	Guardian Group of Funds Ltd.	D
GGOF Canadian Diversified Monthly Income Fund	Guardian Group of Funds Ltd.	D
GGOF Canadian Growth Fund Ltd.	Guardian Group of Funds Ltd.	D
GGOF Canadian High Yield Bond Fund	Guardian Group of Funds Ltd.	D
GGOF Canadian Large Cap Value Fund	Guardian Group of Funds Ltd.	D
GGOF Canadian Money Market Fund	Guardian Group of Funds Ltd.	D
GGOF Conservative Solution	Guardian Group of Funds Ltd.	D

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GGOF Enterprise Fund	Guardian Group of Funds Ltd.	D
GGOF Floating Rate Income Fund	Guardian Group of Funds Ltd.	D
GGOF Global Absolute Return Fund	Guardian Group of Funds Ltd.	D
GGOF Global Diversified Fund	Guardian Group of Funds Ltd.	D
GGOF Global Dividend Growth Fund	Guardian Group of Funds Ltd.	D
GGOF Growth Solution	Guardian Group of Funds Ltd.	D
GGOF Income Solution	Guardian Group of Funds Ltd.	D
GGOF Monthly Dividend Fund Ltd.	Guardian Group of Funds Ltd.	D
GGOF Monthly High Income Fund	Guardian Group of Funds Ltd.	D
GGOF Monthly High Income Fund II	Guardian Group of Funds Ltd.	D
GGOF Resource Fund	Guardian Group of Funds Ltd.	D
GGOF RSP American Value Fund	Guardian Group of Funds Ltd.	D
GGOF RSP Global Growth Fund	Guardian Group of Funds Ltd.	D
GGOF RSP U.S. Money Market Fund	Guardian Group of Funds Ltd.	D
GGOF Small Cap Growth and Income Fund	Guardian Group of Funds Ltd.	D
GGOF U.S. Money Market Fund	Guardian Group of Funds Ltd.	D
GI Global Enhanced Government Bond Private Pool	CI Investments Inc.	D
GI Global High Yield Credit Private Pool	CI Investments Inc.	D
Global Advantaged Telecom & Utilities Income Fund	Harvest Portfolios Group Inc.	D
Global Coin Capital Mortgage Fund	Global Coin Capital Mortgage Fund	D
Global Dividend Equity Fund (Setanta)	Global Dividend Equity Fund (Setanta)	D
Global Dividend Growth Fund	Guardian Group of Funds Ltd.	D
Global Equity Allocation Pool	CI Investments Inc.	D
Global High Dividend Advantage Fund	CI Investments Inc.	D
Global Iman Fund	Global Iman Fund	A,C
Global Infrastructure Equity Fund (London Capital)	Global Infrastructure Equity Fund (London Capital)	D
Global Low Volatility Fund (ILIM)	Global Low Volatility Fund (ILIM)	D
Global REIT Leaders Income ETF	Global Reit Leaders Income ETF	D
Global Strategy Bond Fund	Global Strategy Financial Inc.	D
Global Strategy Canadian Opportunities Fund	Global Strategy Financial Inc.	D
Global Strategy Canadian Small Cap Fund	Global Strategy Financial Inc.	D
Global Strategy Diversified Europe Fund	Global Strategy Financial Inc.	D
Global Strategy Diversified Gold Plus US \$ Fund	Global Strategy Financial Inc.	D
Global Strategy Diversified Japan Plus Fund	Global Strategy Financial Inc.	D
Global Strategy Diversified World Equity Fund	Global Strategy Financial Inc.	D
Global Strategy Gold Plus Fund	Global Strategy Financial Inc.	D
Global Strategy RRSP Fund	Worldwide Capital Management Ltd.	D
Global Strategy T-Bill Savings Fund	Worldwide Capital Management Ltd.	D
Global Strategy World Balanced RSP Fund	Global Strategy Financial Inc.	D
Global Strategy World Companies RSP Fund	Global Strategy Financial Inc.	D

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Global Tactical Asset Allocation Fund	Outcome Wealth Management Inc.	D
Global Value Fund	Viking Capital Corporation	D
Global Wealth Builders – Canadian Wealth Builder Fund	Global Wealth Builders Ltd.	D
Global Wealth Builders – High Income Wealth Builder Fund	Global Wealth Builders Ltd.	D
Globevest Capital Balanced Fund	The Canada Trust Company	D
Goldtrust Registered Investment	Montreal Trust	D
Goodman & Co. Core Equity Strategy	Goodman & Co. Core Equity Strategy	D
Goodman & Company Canadian Value Strategy	Goodman & Company Canadian Value Strategy	D
Goodman & Company Global Value Strategy	Goodman & Company Global Value Strategy	D
Goodman & Company Growth Strategy	Goodman & Company Growth Strategy	D
Goodman Asset Allocation Fund	Dynamic Mutual Funds Ltd.	D
Goodman Balanced Fund	Dynamic Mutual Funds Ltd.	D
Goodman Bluespring Fund	Goodman Bluespring Fund	D
Goodman Canadian Equity Fund	Dynamic Mutual Funds Ltd.	D
Goodman Canadian Real Estate Fund	Dynamic Mutual Funds Ltd.	D
Goodman Private Core Equity Pool	Goodman & Company, Investment Counsel Ltd.	D
Goodman Private Diversified Bond Pool	Goodman & Company, Investment Counsel Ltd.	D
Goodman Private Wealth Management Pools	Goodman & Company, Investment Counsel Ltd.	D
Goodman Small Cap Fund	Dynamic Mutual Funds Ltd.	D
Goodwood Capital Fund	Goodwood Inc.	D
Goodwood Milford Fund Trust	Goodwood Inc.	D
Green Line Monthly Income Fund	TD Asset Management Inc.	D
Green Line Pooled Funds – Balanced Growth Fund	TD Asset Management Inc.	D
Green Line Pooled Funds – Balanced Income Fund	TD Asset Management Inc.	D
Green Line Pooled Funds – Blue Chip Equity Fund	TD Asset Management Inc.	D
Green Line Pooled Funds – Canadian Bond Fund	TD Asset Management Inc.	D
Green Line Pooled Funds – Canadian Money Market Fund	TD Asset Management Inc.	D
Greenchip Global Equity Fund	Greenchip Global Equity Fund	D
GreensKeeper Value Fund	GreensKeeper Value Fund	D
Greystone Canadian Bond Fund	Greystone Canadian Bond Fund	A,C
Greystone Canadian Equity Fund	Greystone Capital Management Inc.	D
Greystone Canadian Equity Income & Growth Fund	Brandes Investment Partners & Co.	A,C
Greystone Canadian Equity Income and Growth Fund	Greystone Capital Management Inc.	D
Greystone Canadian Equity Small Cap Fund	Greystone Managed Investments Inc.	D
Greystone Canadian Fixed Income Fund	Greystone Capital Management Inc.	D
Greystone Canadian Growth Fund	Greystone Capital Management Inc.	D
Greystone Eight Year Target Duration Fund	Greystone Eight Year Target Duration Fund	D
Greystone Fifteen Year Target Duration Fund	Greystone Fifteen Year Target Duration Fund	D
Greystone Global Equity Fund	Greystone Global Equity Fund	A,C
Greystone Long Bond Fund	Greystone Managed Investments Inc.	D

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Greystone Money Market Fund	Greystone Capital Management Inc.	D
Greystone Socially Responsible Canadian Equity Fund	Greystone Managed Investments Inc.	D
Greystone Socially Responsible Fixed Income Fund	Greystone Managed Investments Inc.	D
Greystone Three Year Target Duration Fund	Greystone Three Year Target Duration Fund	D
Greystone Twenty Plus Year Target Duration Fund	Greystone Twenty Plus Year Target Duration Fund	D
Greystone U.S. Equity Fund	Greystone Managed Investments Inc.	D
Greystone U.S. Income and Growth Fund	Greystone Managed Investments Inc.	D
Growth & Income Diversified Private Trust	Integra Capital Financial Corp.	D
Growth 100 Fund	SEI Investments Canada Company	D
Growth 70/30 Fund	SEI Investments Canada Company	D
Growth 80/20 Fund	SEI Investments Canada Company	D
Growth and Income Fund (GWLIM)	Growth and Income Fund (GWLIM)	D
Gryphon Investment Counsel Inc. Retirement Savings Fund (2017)	Gryphon Investment Counsel Inc. Retirement Savings Fund (2017)	A
GS Canadian Balanced Fund	Investors Group Trust Co. Ltd.	D
GS Canadian Equity Fund	Investors Group Trust Co. Ltd.	D
GS International Bond Fund	Investors Group Trust Co. Ltd.	D
GS&A RRSP Fund	Gluskin Sheff + Associates Inc.	A,C
GTM Fund	Fruchet Asset Management Inc.	D
Guardian Balanced Fund	Guardian Capital LP	D
Guardian Balanced Income Fund	Guardian Balanced Income Fund	D
Guardian Canadian Bond Fund	Guardian Capital LP	D
Guardian Canadian Equity Fund	Guardian Group of Funds Ltd.	D
Guardian Canadian Equity Select Fund	Guardian Capital LP	D
Guardian Canadian Focused Equity Fund	Guardian Canadian Focused Equity Fund	D
Guardian Canadian Growth Equity Fund	Guardian Capital LP	D
Guardian Canadian Short-Term Investment Fund	Guardian Capital LP	D
Guardian Canadian Small/Mid Cap Equity Fund	Guardian Capital LP	D
Guardian Equity Income Fund	Guardian Capital LP	D
Guardian Fixed Income Select Fund	Guardian Private Wealth Bond Fund	D
Guardian Foreign Income Fund	Guardian Group of Funds Ltd.	D
Guardian Fundamental Global Equity Fund	Guardian Fundamental Global Equity Fund	D
Guardian Global Dividend Growth Fund	Guardian Capital LP	D
Guardian Global Equity Fund	Guardian Global Equity Fund	D
Guardian Growth & Income Fund	Guardian Growth & Income Fund	D
Guardian High Yield Bond Fund	Guardian Capital LP	D
Guardian International Equity Fund	Guardian Capital LP	D
Guardian International Equity Select Fund	Guardian Capital LP	D
Guardian Investment Grade Corporate Bond Fund	Guardian Investment Grade Corporate Bond Fund	D
Guardian Managed Growth Portfolio	Guardian Managed Growth Portfolio	D
Guardian Managed Income & Growth Portfolio	Guardian Managed Income & Growth Portfolio	D

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Guardian Managed Income Portfolio	Guardian Managed Income Portfolio	D
Guardian Managed Yield Portfolio	Guardian Managed Yield Portfolio	D
Guardian Private Wealth Equity Fund	Guardian Capital LP	D
Guardian Risk Managed Conservative Portfolio	Guardian Risk Managed Conservative Portfolio	D
Guardian Short Duration Bond Fund	Guardian Capital LP	D
Guardian SteadyFlow Equity Fund	Guardian SteadyFlow Equity Fund	D
Guardian SteadyPace Equity Fund	Guardian SteadyPace Equity Fund	D
Guardian Strategic Income Fund	Guardian Strategic Income Fund	D
Guardian U.S. Equity All Cap Growth Fund	Guardian U.S. Equity All Cap Growth Fund	D
Guardian U.S. Equity Fund	Guardian Capital LP	D
Guardian U.S. Equity Select Fund	Guardian Capital LP	D
GWLIM Canadian Growth Fund	Mackenzie Financial Corporation	D
GWLIM Canadian Mid Cap Fund	Mackenzie Financial Corporation	D
GWLIM Corporate Bond Fund	Mackenzie Financial Corporation	D
GWLIM Emerging Industries Fund	Mackenzie Financial Corporation	D
GWLIM Equity / Bond Fund	Mackenzie Financial Corporation	D
GWLIM Ethics Fund	Mackenzie Financial Corporation	D
Gyro Bond Fund	Canadian Air Line Pilots Association	D
H&R Real Estate Investment Trust	H&R Real Estate Investment Trust	D
Harbour Capital Canadian Balanced Fund	Harbour Capital Management Inc.	D
Harbour Explorer Fund	CI Investments Inc.	D
Harbour Fund	CI Investments Inc.	D
Harbour Global Analyst Fund	CI Investments Inc.	D
Harbour Global Equity Fund	CI Investments Inc.	D
Harbour Global Growth & Income Fund	CI Investments Inc.	D
Harbour Growth & Income Fund	CI Investments Inc.	D
Harmony Balanced & Income Portfolio	AGF Funds Inc.	D
Harmony Balanced Growth Portfolio	AGF Funds Inc.	D
Harmony Balanced Portfolio	AGF Funds Inc.	D
Harmony Canadian Equity Pool	AGF Funds Inc.	D
Harmony Canadian Fixed Income Pool	AGF Funds Inc.	D
Harmony Conservative Portfolio	AGF Funds Inc.	D
Harmony Diversified Income Pool	AGF Funds Inc.	D
Harmony Global Fixed Income Fund	AGF Funds Inc.	D
Harmony Growth Plus Portfolio	AGF Funds Inc.	D
Harmony Growth Portfolio	AGF Funds Inc.	D
Harmony Maximum Growth Portfolio	AGF Funds Inc.	D
Harmony Money Market Pool	AGF Funds Inc.	A,C
Harmony Non-Trading Pool	AGF Funds Inc.	D
Harmony Overseas Equity Pool	AGF Funds Inc.	D

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Harmony RSP Aggressive Growth Portfolio	AGF Funds Inc.	D
Harmony RSP North America Small Cap Pool	AGF Funds Inc.	D
Harmony RSP Overseas Equity Pool	AGF Funds Inc.	A,C
Harmony RSP U.S. Equity Pool	AGF Funds Inc.	D
Harmony Tax Advantage Group Limited	AGF Funds Inc.	D
Harmony U.S. Equity Pool	AGF Funds Inc.	D
Hartford Advisors Fund	Hartford Investments Canada Corp.	A,C
Hartford Balanced Growth Portfolio	Hartford Investments Canada Corp.	D
Hartford Balanced Portfolio	Hartford Investments Canada Corp.	D
Hartford Bond Fund	Hartford Investments Canada Corp.	A,C
Hartford Canadian Stock Fund	Hartford Investments Canada Corp.	A,C
Hartford Conservative Portfolio	Hartford Investments Canada Corp.	D
Hartford Global Balanced Fund	Hartford Investments Canada Corp.	A,C
Hartford Global High Income Fund	Hartford Investments Canada Corp.	A,C
Hartford Growth Portfolio	Hartford Investments Canada Corp.	D
Hartford International Equity Fund	Hartford Investments Canada Corp.	D
Hartford Money Market Fund	Hartford Investments Canada Corp.	A,C
Harvest Banks & Buildings Income ETF	Harvest Banks & Buildings Income ETF	D
Harvest Banks & Buildings Income Fund	Harvest Banks & Buildings Income Fund	D
Harvest Canadian Income & Growth Fund	Harvest Canadian Income & Growth Fund	D
Harvest Equal Weight Global Utilities Income ETF	Harvest Equal Weight Global Utilities Income ETF	D
Harvest European Leaders Income ETF	Harvest European Leaders Income ETF	D
Harvest Global Gold Giants Index ETF	Harvest Global Gold Giants Index ETF	D
Harvest Global Resource Leaders ETF	Harvest Global Resource Leaders ETF	D
Harvest Sustainable Income Fund	Harvest Sustainable Income Fund	D
Harvest US Bank Leaders Income ETF	Harvest US Bank Leaders Income ETF	D
HCP Financials Market Neutral Fund	H&R Real Estate Investment Trust	D
HCP Global Financials Market Neutral Fund	HCP Global Financials Market Neutral Fund	D
Healthcare Leaders Income Fund	Healthcare Leaders Income Fund	D
Hemisphere Canadian Value Fund	Hemisphere Capital Management Inc.	D
Hemisphere Select Shares US Fund	Hemisphere Capital Management Inc.	D
Hercules Global Short-Term Fund	Hercules International Management L.L.C.	D
Hercules Money Market Fund	Hercules International Management L.L.C.	D
Heritage Investment Properties (H.I.P.) Mortgage Fund	Heritage Investment Properties Mortgage Fund	A,C
Heward Global Leaders Fund	Heward Global Leaders Fund	A,C
High Yield & Mortgage Plus Trust	CI Investments Inc.	D
High Yield Bond Pooled Fund	The Royal Trust Company	D
High Yield Bond Private Client Pooled Fund	The Royal Trust Company	D
Highstreet Balanced Fund	Highstreet Asset Management Inc.	D
Highstreet Canadian Bond Fund	Highstreet Asset Management Inc.	D

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Highstreet Canadian Equity Fund	Highstreet Asset Management Inc.	D
Highstreet Canadian Growth Fund	Highstreet Asset Management Inc.	A,C
Highstreet Canadian Short Term Bond Fund	Highstreet Asset Management Inc.	A,C
Highstreet Canadian Small Cap Fund	Highstreet Asset Management Inc.	A,C
Highstreet Conservative Balanced Fund	Highstreet Asset Management Inc.	A,C
Highstreet Focused Canadian Equity Fund	Highstreet Focused Canadian Equity Fund	A,C
Highstreet International Equity Fund A	Highstreet International Equity Fund A	A,C
Highstreet International Equity Fund A	Highstreet Asset Management Inc.	D
Highstreet Money Market Fund	Highstreet Asset Management Inc.	A,C
Highstreet US Equity Fund	Highstreet Asset Management Inc.	A,C
Highstreet US Small Cap Fund	Highstreet Asset Management Inc.	A,C
Hillsdale Canadian Aggressive Hedged Equity Fund	Hillsdale Investment Management Inc.	D
Hillsdale Canadian Market Neutral Equity Fund	Hillsdale Investment Management Inc.	D
Hillsdale Canadian Micro Cap Equity Fund	Hillsdale Investment Management Inc.	D
Hillsdale Canadian Performance Equity Fund	Hillsdale Investment Management Inc.	D
Hillsdale Global Performance Equity Fund	Hillsdale Investment Management Inc.	D
Hillsdale Suite	Hillsdale Investment Management Inc.	D
Hillsdale US Aggressive Hedged Equity Fund	Hillsdale Investment Management Inc.	D
Hillsdale US Performance Equity Fund	Hillsdale Investment Management Inc.	D
Hiram Walker Balanced Fund	The Royal Trust Company	A
Hiram Walker Savings Fund	The Royal Trust Company	A
Hirsch Balanced Fund	Hirsch Asset Management Corp.	D
Hirsch Canadian Growth Fund	Hirsch Asset Management Corp.	D
Hirsch Fixed Income Fund	Hirsch Asset Management Corp.	D
Hirsch Natural Resource Fund	Hirsch Asset Management Corp.	D
Hollinger Inc.	Hollinger Inc.	D
Home Equity Income Trust	Home Equity Income Trust	D
Hoovest Core Fund	Hoovest Core Fund	D
Hoovest Private Securities Fund	Qwest Investment Fund Management Ltd.	D
Hoovest Smart Indexing Fund	Hoovest Smart Indexing Fund	D
HorizonOne IncomePlus Fund	HorizonOne IPF Management Inc.	D
Horizons Betapro Gold Bear Plus Fund	BetaPro Management Inc.	D
Horizons Global Contrarian Fund	JovFunds Management Inc.	D
HSBC Canada Asset Trust	HSBC Trust Company (Canada)	D
HSBC Canadian Balanced Fund	HSBC Investment Funds (Canada) Inc.	D
HSBC Canadian Bond Fund	HSBC Investment Funds (Canada) Inc.	D
HSBC Canadian Bond Pooled Fund	HSBC Global Asset Management (Canada) Limited	D
HSBC Canadian Dividend Income Pooled Fund	HSBC Investments (Canada) Ltd.	D
HSBC Canadian Equity Pooled Fund	HSBC Global Asset Management (Canada) Limited	D
HSBC Canadian Money Market Fund	HSBC Investment Funds (Canada) Inc.	D

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HSBC Canadian Money Market Pooled Fund	HSBC Global Asset Management (Canada) Limited	D
HSBC Canadian Small Cap Equity Pooled Fund	HSBC Investments (Canada) Ltd.	A,C
HSBC Dividend Income Fund	HSBC Investment Funds (Canada) Inc.	D
HSBC Equity Fund	HSBC Investment Funds (Canada) Inc.	D
HSBC LifeMap Aggressive Growth Portfolio	HSBC Investment Funds (Canada) Inc.	D
HSBC LifeMap Balanced Portfolio	HSBC Investment Funds (Canada) Inc.	D
HSBC LifeMap Conservative Portfolio	HSBC Investment Funds (Canada) Inc.	D
HSBC LifeMap Growth Portfolio	HSBC Investment Funds (Canada) Inc.	D
HSBC LifeMap MM Aggressive Growth Portfolio	HSBC Investment Funds (Canada) Inc.	D
HSBC LifeMap MM Balanced Portfolio	HSBC Investment Funds (Canada) Inc.	D
HSBC LifeMap MM Growth Portfolio	HSBC Investment Funds (Canada) Inc.	D
HSBC LifeMap Moderate Conservative Portfolio	HSBC Investment Funds (Canada) Inc.	D
HSBC MM Canadian Bond Pooled Fund	HSBC Global Asset Management (Canada) Limited	D
HSBC MM Canadian Small Cap Equity Pooled Fund	HSBC Global Asset Management (Canada) Limited	D
HSBC MM Canadian Value Equity Pooled Fund	HSBC Global Asset Management (Canada) Limited	D
HSBC Monthly Income Fund	HSBC Investment Funds (Canada) Inc.	D
HSBC Mortgage Fund	HSBC Investment Funds (Canada) Inc.	D
HSBC Mortgage Pooled Fund	HSBC Global Asset Management (Canada) Limited	D
HSBC Small Cap Growth Fund	HSBC Investment Funds (Canada) Inc.	D
HSBC U.S. Dollar Money Market Fund	HSBC Investment Funds (Canada) Inc.	D
HSBC Wealth Compass Aggressive Growth Fund	HSBC Wealth Compass Aggressive Growth Fund	A,C
HSBC Wealth Compass Balanced Fund	HSBC Wealth Compass Balanced Fund	A,C
HSBC Wealth Compass Conservative Fund	HSBC Wealth Compass Conservative Fund	A,C
HSBC Wealth Compass Growth Fund	HSBC Wealth Compass Growth Fund	A,C
HSBC Wealth Compass Moderate Conservative Fund	HSBC Wealth Compass Moderate Conservative Fund	A,C
HSBC World Bond RSP Fund	HSBC Investment Funds (Canada) Inc.	D
HTEC	Hydrogen Technology & Energy Corporation – HTEC	A
Hudson Total Mandate Portfolio Fund	Wiloughby Asset Management Inc.	D
HughesLittle Balanced Fund	HughesLittle Investment Management Ltd.	D
Humber Global Opportunity Fund	Humber Global Opportunity Fund	D
Hume Canadian Bond Fund	Hume Fund Management Inc.	D
Hume Canadian Equity Fund	Hume Fund Management Inc.	D
HW Corporate Bond Fund	Hamblin Watson Investment Counsel	D
I3 Canadian Equity Fund	I3 Advisors Inc.	D
IA Canadian Conservative Equity Fund	Industrial Alliance Mutual Funds Inc.	D
IA Clarington Inhance Balanced SRI Portfolio	IA Clarington Investments Inc.	D
IA Clarington Inhance Conservative SRI Portfolio	IA Clarington Investments Inc.	D
IA Clarington Inhance Growth SRI Portfolio	IA Clarington Investments Inc.	D
IA Clarington Inhance Monthly Income SRI Fund	IA Clarington Investments Inc.	D
IA Crystal Enhanced Index America Fund	Industrial Alliance Mutual Funds Inc.	D

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IA Crystal Enhanced Index World Fund	Industrial Alliance Mutual Funds Inc.	D
IAT Air Cargo Facilities Income Fund	IAT Air Cargo Facilities Income Fund	D
IFM Monitored World Equity	IFM Monitored World Equity	D
IG AGF Canadian Diversified Growth Fund	Investors Group Trust Co. Ltd.	D
IG Bissett Canadian Equity Fund	Investors Group Trust Co. Ltd.	D
IG FI Canadian Allocation Fund	Investors Group Trust Co. Ltd.	D
IG FI Canadian Equity Fund	Investors Group Trust Co. Ltd.	D
IG JPMorgan Emerging Markets Fund	IG JPMorgan Emerging Markets Fund	D
IG Managed Risk Portfolio-Income Focus	IG Managed Risk Portfolio-Income Focus	D
IG Maxxum Dividend Fund	Investors Group Trust Co. Ltd.	D
IG Maxxum Income Fund	Investors Group Trust Co. Ltd.	D
IG Scudder Canadian All Cap Fund	Investors Group Trust Co. Ltd.	D
IGW Real Estate Investment Trust	League REIT Investco Inc.	D
imaxx Canadian Bond Fund	Foresters Financial Investment Management Company of Canada Inc.	A,C
imaxx Canadian Dividend Plus Fund	Foresters Financial Investment Management Company of Canada Inc.	A,C
imaxx Canadian Equity Growth Fund	Foresters Financial Investment Management Company of Canada Inc.	A,C
imaxx Canadian Fixed Pay Fund	Foresters Financial Investment Management Company of Canada Inc.	A,C
Imaxx Global Fixed Pay Fund	Foresters Asset Management Inc.	A,C
imaxx Short Term Bond Fund	Foresters Financial Investment Management Company of Canada Inc.	A,C
Impax Energy Services Income Trust	Impax Energy Services Income Trust	D
Imperial Canadian Bond Pool	Canadian Imperial Bank of Commerce	D
Imperial Canadian Diversified Income Pool	Canadian Imperial Bank of Commerce	D
Imperial Canadian Dividend Income Pool	Canadian Imperial Bank of Commerce	D
Imperial Canadian Equity Pool	Canadian Imperial Bank of Commerce	D
Imperial Equity High Income Pool	Canadian Imperial Bank of Commerce	D
Imperial Global Equity Income Pool	Canadian Imperial Bank of Commerce	D
Imperial International Bond Pool	Canadian Imperial Bank of Commerce	D
Imperial Money Market Pool	Canadian Imperial Bank of Commerce	D
Imperial Overseas Equity Pool	Canadian Imperial Bank of Commerce	D
Imperial Registered Canadian Equity Pool	Canadian Imperial Bank of Commerce	D
Imperial Registered International Equity Index Pool	Canadian Imperial Bank of Commerce	D
Imperial Short Term Bond Pool	Canadian Imperial Bank of Commerce	D
IMV Balanced Income Fund	IMV Strategies Corp.	A,C
Inco Security Reserve Fund – RSP A1 Balanced Fund	The Royal Trust Company	A,B
Inco Security Reserve Fund – RSP A2 Fixed Income	The Royal Trust Company	A,B
Income 100 Fund	SEI Investments Canada Company	D
Income 20/80 Fund	SEI Investments Canada Company	D
Income 30/70 Fund	SEI Investments Canada Company	D

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Income 40/60 Fund	SEI Investments Canada Company	D
Income Trust Real Estate Fund	Income Trust Company	D
Index Plus (Cdn) Fund	Pencorp. Systems Ltd.	D
Index Plus Fund	Guardian Timing Services Inc.	D
INDEXPLUS Income Fund	Middlefield INDEXPLUS 2 Management Limited	D
Indigoblue Global Balanced Fund	Indigoblue Global Balanced Fund	A,C
Industrial Balanced Fund	Mackenzie Financial Corporation	D
Industrial Bond Fund	Mackenzie Financial Corporation	D
Industrial Cash Management Fund	Mackenzie Financial Corporation	D
Industrial Dividend Growth Fund	Mackenzie Financial Corporation	D
Industrial Equity Fund	Mackenzie Financial Corporation	D
Industrial Future Fund	Mackenzie Financial Corporation	D
Industrial Growth Fund	Mackenzie Financial Corporation	D
Industrial Horizon Fund	Mackenzie Financial Corporation	D
Industrial Income Fund	Mackenzie Financial Corporation	D
Industrial Pension Fund	Mackenzie Financial Corporation	D
Industrial Short Term Fund	Mackenzie Financial Corporation	D
Industrial Yield Advantage Fund	Mackenzie Financial Corporation	D
ING Canadian Balanced Fund	ING Investment Management, Inc.	D
ING Canadian Dividend Income Fund	ING Investment Management, Inc.	D
Inhance Bond Fund	Inhance Investment Management Inc.	D
Inhance Canadian Equity Fund	Inhance Investment Management Inc.	D
Inhance Money Market Fund	Inhance Investment Management Inc.	D
Inhance Monthly Income Fund	Real Assets Investment Mgmt. Inc.	D
Insight Canadian Dividend Growth Pool	CI Investments Inc.	D
Insight Canadian Fixed Income Pool	CI Investments Inc.	D
Insight Canadian Growth Pool	CI Investments Inc.	D
Insight Canadian High Yield Income Pool	CI Investments Inc.	D
Insight Canadian Small Cap Pool	CI Investments Inc.	D
Insight Canadian Value Pool	CI Investments Inc.	D
Insight Global Equity RSP Pool	CI Investments Inc.	D
Insight Money Market Pool	CI Investments Inc.	D
Institutional Managed Canadian Equity Pool	United Financial Corporation	D
Institutional Managed Income Pool	United Financial Corporation	D
Institutional Managed International Equity Pool	United Financial Corporation	D
Institutional Managed US Equity Pool	United Financial Corporation	D
Insurance Income Fund	The Royal Trust Company	D
Integra Balanced Fund	Integra Capital Ltd.	D
Integra Bond Fund	Integra Capital Ltd.	D
Integra Canadian Value Growth Fund	Integra Capital Ltd.	D

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Integra Equity Fund	Integra Capital Ltd.	D
International Core Equity Fund (Putnam)	International Core Equity Fund (Putnam)	D
International Equity Alpha Pool	CI Investments Inc.	D
International Equity Growth Pool	International Equity Growth Pool	D
International Equity Value Currency Hedged Pool	CI Investments Inc.	D
International Equity Value Pool	International Equity Value Pool	D
International Synthetic Fund	SEI Investments Canada Company	D
Invesco Advantage Bond Fund	AIM Funds Management Inc.	D
Invesco Allocation Fund	AIM Funds Management Inc.	D
Invesco Canada Money Market Fund	AIM Funds Management Inc.	D
Invesco Canadian Balanced Fund	AIM Funds Management Inc.	D
Invesco Canadian Bond Fund	AIM Funds Management Inc.	D
Invesco Canadian Premier Growth Fund	AIM Funds Management Inc.	D
Invesco Intactive Balanced Growth Portfolio	AIM Funds Management Inc.	D
Invesco Intactive Balanced Income Portfolio	AIM Funds Management Inc.	D
Invesco Intactive Diversified Income Portfolio	AIM Funds Management Inc.	D
Invesco Intactive Growth Portfolio	AIM Funds Management Inc.	D
Invesco Intactive Maximum Growth Portfolio	AIM Funds Management Inc.	D
Invesco Select Canadian Equity Fund	AIM Funds Management Inc.	D
Invesco Short-Term Bond Fund	AIM Funds Management Inc.	D
Investment Counsel Inc. – Margin of Safety Canadian Fund	Investment Counsel Inc.	D
Investors Bond Fund	Investors Group Trust Co. Ltd.	D
Investors Canadian Equity Fund	Investors Group Trust Co. Ltd.	D
Investors Canadian High Yield Money Market Fund	Investors Group Trust Co. Ltd.	D
Investors Dividend Fund	Investors Group Trust Co. Ltd.	D
Investors European Growth RSP Fund	Investors Group Trust Co. Ltd.	D
Investors Fixed Income Fund	Investors Group Trust Co. Ltd.	D
Investors Global RSP Fund	Investors Group Trust Co. Ltd.	D
Investors Global Science & Technology RSP Fund	Investors Group Trust Co. Ltd.	D
Investors Income Plus Portfolio	Investors Group Trust Co. Ltd.	D
Investors Income Portfolio	Investors Group Trust Co. Ltd.	D
Investors International Small Cap Fund	Investors International Small Cap Fund	D
Investors Japanese Growth RSP Fund	Investors Group Trust Co. Ltd.	D
Investors Money Market Fund	Investors Group Trust Co. Ltd.	D
Investors Mortgage Fund	Investors Group Trust Co. Ltd.	D
Investors Mutual of Canada	Investors Group Trust Co. Ltd.	D
Investors Quebec Enterprise Fund Portfolio	Investors Group Trust Co. Ltd.	D
Investors Real Property Fund	Investors Group Trust Co. Ltd.	D
Investors Retirement Growth Portfolio	Investors Group Trust Co. Ltd.	D
Investors Retirement High Growth Portfolio	Investors Group Trust Co. Ltd.	D

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Investors Retirement Mutual Fund	Investors Group Trust Co. Ltd.	D
Investors Retirement Plus Portfolio	Investors Group Trust Co. Ltd.	D
Investors Risk Parity Private Pool	I.G. Investment Management Ltd.	D
Investors Summa Fund	Investors Group Trust Co. Ltd.	D
Investors U.S. Growth RSP Fund	Investors Group Trust Co. Ltd.	D
IPC Balanced Essentials Portfolio	IPC Balanced Essentials Portfolio	D
IPC Growth Essentials Portfolio	IPC Growth Essentials Portfolio	D
IPC Income Essentials Portfolio	IPC Income Essentials Portfolio	D
iPerform American Focus Fund	iPerformance Fund Corp.	D
iPerform Canadian Opportunities Fund	iPerformance Fund Corp.	D
iPerform Gabelli Global Fund	iPerformance Fund Corp.	D
iPerform Market Neutral Fund	iPerformance Fund Corp.	D
iPerform Select Leaders Fund	iPerformance Fund Corp.	D
iPerform Silicon Valley Fund	iPerformance Fund Corp.	D
iPerform Strategic Partners Hedge Fund	iPerformance Fund Corp.	D
iProfile Canadian Equity Pool	Investors Group Trust Co. Ltd.	D
iProfile Fixed Income Pool	Investors Group Trust Co. Ltd.	D
iProfile Global Equity RSP Pool	Investors Group Trust Co. Ltd.	D
iProfile Money Market Pool	Investors Group Trust Co. Ltd.	D
iShares Conservative Strategic Fixed Income ETF	iShares Conservative Strategic Fixed Income ETF	D
iShares FactorSelect MSCI EAFE Index ETF	iShares FactorSelect MSCI EAFE Index ETF	D
iShares FactorSelect MSCI USA Index ETF	iShares FactorSelect MSCI USA Index ETF	D
iUnits Canada Bond Broad Market Index Fund	Barclays Global Investors Canada Limited	D
iUnits Government of Canada 5-Year Bond Fund	Barclays Global Investors Canada Limited	D
iUnits MSCI International Equity Index RSP Fund	Barclays Global Investors Canada Limited	D
iUnits S&P 500 RSP Fund	Barclays Global Investors Canada Limited	D
iUnits S&P/TSX 60 Capped Index Fund	Barclays Global Investors Canada Limited	D
iUnits S&P/TSX 60 Index Fund	Barclays Global Investors Canada Limited	D
iUnits S&P/TSX Capped Energy Index Fund	Barclays Global Investors Canada Limited	D
iUnits S&P/TSX Capped Financials Index Fund	Barclays Global Investors Canada Limited	D
iUnits S&P/TSX Capped Gold Index Fund	Barclays Global Investors Canada Limited	D
iUnits S&P/TSX Capped Information Technology Index Fund	Barclays Global Investors Canada Limited	D
iUnits S&P/TSX Capped REIT Index Fund	Barclays Global Investors Canada Limited	D
iUnits S&P/TSX MidCap Index Fund	Barclays Global Investors Canada Limited	D
Ivy Canadian Fund	Mackenzie Financial Corporation	D
Ivy Capital Protection Fund	Mackenzie Financial Corporation	D
Ivy Enterprise Fund	Mackenzie Financial Corporation	D
Ivy Growth and Income Fund	Mackenzie Financial Corporation	D
Ivy Mortgage Fund	Mackenzie Financial Corporation	D
Ivy RSP Foreign Equity Fund	Mackenzie Financial Corporation	D

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J. Zechner Associates Canadian Equity Growth Fund	J. Zechner Associates Inc.	D
J. Zechner Associates Canadian Union Partnership Fund	J. Zechner Associates Inc.	D
J. Zechner Associates Fixed Income Fund	J. Zechner Associates Inc.	D
J. Zechner Associates Global Hedge Growth Fund	J. Zechner Associates Global Hedge Growth Fund	D
J. Zechner Associates High Income Fund	J. Zechner Associates High Income Fund	D
J. Zechner Associates Pooled Balanced Fund	J. Zechner Associates Inc.	D
J. Zechner Associates Preferred Share Pooled Fund	J. Zechner Associates Inc.	D
J. Zechner Associates Special Equity Fund	J. Zechner Associates Inc.	A,C
J.C. Clark Commonwealth Loyalist Trust	J.C. Clark Ltd.	D
J.C. Clark Commonwealth Patriot Trust	J.C. Clark Ltd.	D
J.C. Clark Focused Opportunities Fund	J.C. Clark Ltd.	D
J.C. Clark Preservation Trust	J.C. Clark Ltd.	D
J.C. Clark US Value Fund	J.C. Clark Ltd.	D
J.C. Clark Yield Trust	J.C. Clark Yield Trust	D
Janus RSP American Equity Fund	Investors Group Trust Co. Ltd.	D
Janus RSP Global Equity Fund	Investors Group Trust Co. Ltd.	D
Jarislowsky Special Equity Fund	Jarislowsky, Fraser Limited	D
Jarislowsky, Fraser Balanced Fund	Jarislowsky, Fraser Limited	D
Jarislowsky, Fraser Bond Fund	Jarislowsky, Fraser Limited	D
Jarislowsky, Fraser Canadian Equity Fund	Jarislowsky, Fraser Limited	D
Jarislowsky, Fraser Corporate Bond Fund	Jarislowsky, Fraser Limited	D
Jarislowsky, Fraser Dividend Growth Fund	Jarislowsky, Fraser Dividend Growth Fund	D
Jarislowsky, Fraser Emerging Markets Equity Fund	Jarislowsky, Fraser Emerging Markets Equity Fund	D
Jarislowsky, Fraser Fixed Income Core Plus Fund	Jarislowsky, Fraser Limited	D
Jarislowsky, Fraser Fossil Fuel Free Bond Fund	Jarislowsky, Fraser Fossil Fuel Free Bond Fund	D
Jarislowsky, Fraser Fossil Fuel Free Canadian Equity Fund	Jarislowsky, Fraser Fossil Fuel Free Canadian Equity Fund	D
Jarislowsky, Fraser Fossil Fuel Free Global Equity Fund	Jarislowsky, Fraser Fossil Fuel Free Global Equity Fund	D
Jarislowsky, Fraser Global Balanced Fund	Jarislowsky, Fraser Global Equity Fund	D
Jarislowsky, Fraser Global Credit Opportunity Fund	Jarislowsky, Fraser Global Credit Opportunity Fund	D
Jarislowsky, Fraser Global Equity (all countries) Fund	Jarislowsky, Fraser Global Equity Fund	D
Jarislowsky, Fraser Global Equity Fund	Jarislowsky, Fraser Global Equity Fund	D
Jarislowsky, Fraser International Equity (all countries ex US) Fund	Jarislowsky, Fraser International Pooled Fund	D
Jarislowsky, Fraser International Pooled Fund	Jarislowsky, Fraser International Pooled Fund	D
Jarislowsky, Fraser Long Term Bond Fund	Jarislowsky, Fraser Long Term Bond Fund	D
Jarislowsky, Fraser Money Market Fund	Jarislowsky, Fraser Money Market Fund	D
Jarislowsky, Fraser Short/Mid Bond Fund	Jarislowsky, Fraser Short/Mid Bond Fund	D
Jarislowsky, Fraser Small/Mid Cap Equity Fund	Jarislowsky, Fraser Limited	D
Jarislowsky, Fraser Special Bond Fund	Jarislowsky, Fraser Special Bond Fund	D
Jarislowsky, Fraser US Equity Fund	Jarislowsky, Fraser US Equity Fund	D
Jarislowsky, Fraser US Money Market Fund	Jarislowsky, Fraser US Money Market Fund	D

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Jojan Investment Fund	Jojan Investment Fund	A
Jones Collombin Balanced Fund	Jones Collombin Investment Counsel Inc.	A,C
Jones Collombin North American Equity Fund	Jones Collombin North American Equity Fund	D
Josmic Preferred Dividend Fund	Josmic Investments Inc.	A
JOV Bond Fund	JovFunds Management Inc.	D
JOV Fiera Balanced Tactical Portfolio	JovFunds Management Inc.	D
JOV Fiera Conservative Tactical Portfolio	JovFunds Management Inc.	D
JOV Fiera Growth Tactical Portfolio	JovFunds Management Inc.	D
JOV Leon Frazer Dividend Fund	JovFunds Management Inc.	D
JOV Leon Frazer Preferred Equity Fund	Jov Leon Frazer Preferred Equity Fund	A,C
JOV North American Momentum Fund	JovFunds Management Inc.	D
JOV Prosperity Canadian Equity Fund	JovFunds Management Inc.	D
JOV Prosperity Canadian Fixed Income Fund	JovFunds Management Inc.	D
JOV Prosperity International Equity Fund	JovFunds Management Inc.	D
JOV Prosperity US Equity Fund	JovFunds Management Inc.	D
JOV Winslow Global Green Fund	JovFunds Management Inc.	D
K2 Income Trust	SIT Income Services Inc.	A
K-Bro Linen Income Fund	K-Bro Linen Systems Inc.	D
KBSH Canadian Bond Fund	KBSH Capital Management Inc.	D
KBSH Canadian Corporate Bond Fund	KBSH Capital Management Inc.	D
KBSH Canadian Equity Small Capitalization Fund	KBSH Capital Management Inc.	D
KBSH Canadian Growth Equity Fund	KBSH Capital Management Inc.	D
KBSH Canadian Long Term Bond Fund	KBSH Capital Management Inc.	D
KBSH Canadian Short Term Bond Fund	KBSH Capital Management Inc.	D
KBSH Core Canadian Growth Equity Fund	KBSH Capital Management Inc.	D
KBSH EAFE Equity Fund	KBSH EAFE Equity Fund	D
KBSH Money Market Fund	KBSH Capital Management Inc.	D
KBSH Special Equity Fund	KBSH Capital Management Inc.	D
KCP Income Fund	KCP Income Fund	D
KCS Fund Strategies Inc.	KCS Fund Strategies Inc.	A,C
Keele Income Fund I	Keele Services Inc.	A
Keele Income Fund II	Keele Services Inc.	A
Keele Income Fund III	Keele Services Inc.	A
Keele Income Fund IV	Keele Services Inc.	A
Keele Income Fund V	Keele Services Inc.	A
Keele Income Fund VI	Keele Services Inc.	A
Keele Income Fund VII	Keele Services Inc.	A
Keltic Investment Trust	Keltic Savings Corp.	A
Keystone AGF Bond Fund	Mackenzie Financial Corporation	D
Keystone AGF Equity Fund	Mackenzie Financial Corporation	D

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Keystone Balanced Growth Portfolio Fund	Mackenzie Financial Corporation	D
Keystone Balanced Portfolio Fund	Mackenzie Financial Corporation	D
Keystone Beutel Goodman Bond Fund	Mackenzie Financial Corporation	D
Keystone BPI High Income Fund	Mackenzie Financial Corporation	D
Keystone Conservative Portfolio Fund	Mackenzie Financial Corporation	D
Keystone Growth Portfolio Fund	Mackenzie Financial Corporation	D
Keystone Saxon Smaller Companies Fund	Mackenzie Financial Corporation	D
Keystone Sceptre Equity Fund	Mackenzie Financial Corporation	D
Keystone Spectrum United Equity Fund	Mackenzie Financial Corporation	D
KFA Multi-Manager Canadian Equity Fund	Kerr Financial Advisors Inc.	D
KFA Multi-Manager Fixed Income Fund	Kerr Financial Advisors Inc.	D
KFA Multi-Manager Global Equity	Kerr Financial Advisors Inc.	D
Kingwest Avenue Portfolio	Kingwest and Company	D
Kingwest Canadian Equity Portfolio	Kingwest and Company	D
Kingwest High Income Portfolio	Kingwest and Company	D
Kingwest U.S. Equity Portfolio	Kingwest and Company	D
Kinsted Real Asset Pool	Kinsted Wealth Inc.	D
Kinsted Strategic Growth Pool	Kinsted Wealth Inc.	D
Kinsted Strategic Income Pool	Kinsted Wealth Inc.	D
Kipling Canadian Enhanced Dividend Fund	Kipling Fund	D
Kipling Global Enhanced Dividend Fund	Kipling Fund	D
Kipling Monthly Income Fund	Kipling Fund	D
Kipling Monthly Income Pool	Kipling Fund	D
Kipling North American Alpha Fund	Kipling Fund	D
Kipling Strategic Income Fund	Kipling Strategic Income Fund	D
Kipling US Enhanced Equity Fund	Kipling Fund	D
Kipling US Equity Fund	Kipling Fund	D
KJH Credit Fund	K.J. Harrison & Partners Inc.	D
KJH Financial Franchises Fund	K.J. Harrison & Partners Inc.	D
KJH Fixed Income Fund	K.J. Harrison & Partners Inc.	D
KJH High Yield Fund	K.J. Harrison & Partners Inc.	D
KJH Income Opportunities Fund	K.J. Harrison & Partners Inc.	D
KJH Long/Short Fund	K.J. Harrison & Partners Inc.	D
KJH Opportunities Fund	K.J. Harrison & Partners Inc.	D
KJH Strategic Energy Fund	K.J. Harrison & Partners Inc.	D
KJH Strategic Investors Fund	K.J. Harrison & Partners Inc.	D
KJH Sweet Sixteen Fund	K.J. Harrison & Partners Inc.	D
KJH Technology Partners Fund	K.J. Harrison & Partners Inc.	D
Kodiak Investment Fund	Kodiak Investment Fund	A
Kootenay Energy RSP Fund	Kootenay Capital Management Corp.	A

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KPDL Global Equity Private Portfolio	National Bank Financial Inc.	D
Ladelton Fund No. 1	Ladner Downs	A
Laketon Balanced RRSP Fund	Laketon Investment Management Ltd.	A,C
Laketon Diversified Fund	Laketon Investment Management Ltd.	D
Laketon International Bond Fund	Laketon Investment Management Ltd.	D
Laketon Money Market Fund	Laketon Investment Management Ltd.	D
Lancaster Equity Fund	National Trust	A,C
Landmark Canadian Fund	CI Investments Inc.	D
Landmark Global RSP Fund	CI Investments Inc.	D
Launcher Investment Fund	0717000 B.C. Ltd.	A,C
Laurus Enterprise Small Cap Fund	Laurus Investment Counsel Inc.	D
Laurus Global Small Cap Fund	Laurus Global Small Cap Fund	D
Laurus US Small Cap Fund	Laurus Investment Counsel Inc.	D
Laurvest Money Market Fund / Fonds du marché monétaire Laurvest	Laurvest Inc.	D
Lawdell Retirement Fund	National Trust	D
Lawrence Income & Growth Fund	Lawrence Asset Management Inc.	D
Lawrence Park Alternative Investment Grade Credit Fund	CI Investments Inc.	D
Lawrence Park Credit Strategies Fund	Lawrence Park Credit Strategies Fund	D
Lawrence Park Enhanced Preferred Fund	Lawrence Park Asset Management Ltd.	D
Lawrence Park Strategic Income Fund	Lawrence Park Strategic Income Fund	D
Lazard Emerging Markets Multi-Strategy Fund	Lazard Emerging Markets Multi-Strategy Fund	A,C
Lazard Global Balanced Income Fund	Lazard Global Balanced Income Fund	A,C
Lazard Global Equity Income Fund	Lazard Global Equity Income Fund	A,C
Legacy Hotels Real Estate Investment Trust	Legacy Hotels Real Estate	D
Legg Mason Accufund	Legg Mason Canada Inc.	D
Legg Mason Batterymarch U.S. Equity Fund	Legg Mason Canada Inc.	A,B
Legg Mason Brandywine Fundamental Value U.S. Equity Fund	Legg Mason Canada Inc.	D
Legg Mason Brandywine International Equity Fund	Legg Mason Canada Inc.	A,B
Legg Mason Canada Treasury Plus Pool	Legg Mason Canada Inc.	A,B
Legg Mason Canadian Core Equity Fund	Legg Mason Canada Inc.	D
Legg Mason Canadian Income Fund	Legg Mason Canada Inc.	D
Legg Mason Canadian Sector Equity Fund	Legg Mason Canada Inc.	D
Legg Mason Global Bond Fund	Legg Mason Canada Inc.	D
Legg Mason North American Equity Fund	Legg Mason Canada Inc.	D
Legg Mason Private Client Canadian Bond Portfolio	Legg Mason Canada Inc.	D
Legg Mason Private Client Canadian Equity Portfolio	Legg Mason Canada Inc.	D
Legg Mason U.S. Value Fund	Legg Mason Canada Inc.	A,B
Legg Mason Western Asset Canadian Core Bond Fund	Legg Mason Canada Inc.	D
Legg Mason Western Asset Canadian Small Cap Fund	Legg Mason Canada Inc.	D

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Leith Wheeler Balanced Fund	Leith Wheeler Investment Counsel Ltd.	D
Leith Wheeler Canadian Dividend Fund	Leith Wheeler Investment Counsel Ltd.	D
Leith Wheeler Canadian Equity Fund	Leith Wheeler Investment Counsel Ltd.	D
Leith Wheeler Carbon Constrained Equity Fund	Leith Wheeler Investment Counsel Ltd.	D
Leith Wheeler Constrained Fixed Income Fund	Leith Wheeler Investment Counsel Ltd.	D
Leith Wheeler Core Active Bond Fund	Leith Wheeler Investment Counsel Ltd.	A,C
Leith Wheeler Corporate Fixed Income Fund	Leith Wheeler Corporate Fixed Income Fund	A,C
Leith Wheeler Corporate Fixed Income Pooled	Leith Wheeler Investment Counsel Ltd.	D
Leith Wheeler Diversified Pooled Fund	Leith Wheeler Investment Counsel Ltd.	D
Leith Wheeler Emerging Markets Equity Fund	Leith Wheeler Investment Counsel Ltd.	D
Leith Wheeler Fixed Income Fund	Leith Wheeler Investment Counsel Ltd.	D
Leith Wheeler High Yield Bond Fund	Leith Wheeler Investment Counsel Ltd.	D
Leith Wheeler Income Advantage Fund	Leith Wheeler Investment Counsel Ltd.	D
Leith Wheeler Income Trust Fund	Leith Wheeler Investment Counsel Ltd.	D
Leith Wheeler International Equity Plus Fund	Leith Wheeler Investment Counsel Ltd.	D
Leith Wheeler Money Market Fund	Leith Wheeler Investment Counsel Ltd.	D
Leith Wheeler Preferred Share Fund	Leith Wheeler Investment Counsel Ltd.	D
Leith Wheeler Short Term Income Fund	Leith Wheeler Investment Counsel Ltd.	D
Leith Wheeler Special Canadian Equity Fund	Leith Wheeler Investment Counsel Ltd.	D
Leith Wheeler U.S. Dividend Fund	Leith Wheeler Investment Counsel Ltd.	D
Leith Wheeler U.S. Small/Mid-Cap Equity Fund	Leith Wheeler Investment Counsel Ltd.	D
Leith Wheeler U.S. Small/Mid-Cap Equity Pooled Fund	Leith Wheeler Investment Counsel Ltd.	D
Leith Wheeler Unrestricted Diversified Pooled Fund	Leith Wheeler Investment Counsel Ltd.	D
Leith Wheeler US\$ Money Market Fund	Leith Wheeler Investment Counsel Ltd.	D
Letko Brosseau Fonds d'actions	Letko, Brosseau & Associés Inc.	A
Letko Brosseau Fonds d'actions canadiennes	Letko, Brosseau & Associés Inc.	D
Letko Brosseau Fonds d'actions de marchés émergents	Fiducie Desjardins inc.	D
Letko Brosseau Fonds d'actions internationales	Letko, Brosseau & Associés Inc.	A
Letko Brosseau Fonds d'obligations	Letko, Brosseau & Associés Inc.	A
Letko Brosseau Fonds équilibré	Letko, Brosseau & Associés Inc.	A
Letko Brosseau Fonds équilibré ESG	Fiducie Desjardins inc.	D
Letko Brosseau Fonds RER d'actions	Fiducie Desjardins inc.	D
Letko Brosseau Fonds RER d'actions internationales	Fiducie Desjardins inc.	D
Letko Brosseau Fonds RER d'obligations	Fiducie Desjardins inc.	D
Letko Brosseau Fonds RER d'obligations – Long terme	Fiducie Desjardins inc.	D
Letko Brosseau Fonds RER équilibré	Fiducie Desjardins inc.	D
Level 3 Total Return Opportunities Fund	Level 3 Investment Management Inc.	D
LFC Income Trust	LFC Income Trust	D
Libertas Real Asset Opportunities Fund	Libertas Real Asset Opportunities Fund	D
LifeCycle 2045 Portfolio	Lifestyle 2045 Portfolio	D

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LifeCycle Income Portfolio	LifeCycle Income Portfolio	D
LifePoints Balanced Growth Portfolio	Frank Russell Canada Limited	D
LifePoints Balanced Income Portfolio	Frank Russell Canada Limited	D
LifePoints Long Term Growth Portfolio	Frank Russell Canada Limited	D
Lightwater Long Short Fund	Ark Funds Management Ltd.	A,C
Limpid Three Strategies Fund	Limpid Three Strategies Fund	A,C
Lincluden Balanced Fund	Lincluden Management Ltd.	D
Lincluden Bond Pooled Fund	Lincluden Pooled Funds c/o Lincluden Management Limited	D
Lincluden Canadian & Global Equity Pooled Fund	Lincluden Pooled Funds c/o Lincluden Management Limited	D
Lincluden Canadian Equity Pooled Fund	Lincluden Pooled Funds c/o Lincluden Management Limited	D
Lincluden Canadian Small Cap Equity Pooled Fund	Lincluden Investment Management Limited	D
Lincluden Global Opportunity Equity Pooled Fund	Lincluden Investment Management Limited	D
Lincluden Global Stable Equity Pooled Fund	Lincluden Investment Management Limited	D
Lincluden Income Plus Pooled Fund	Lincluden Pooled Funds c/o Lincluden Management Limited	D
Lincluden International Equity Pooled Fund	Lincluden Pooled Funds c/o Lincluden Management Limited	D
Lincluden North American Equity Pooled Fund	Lincluden Pooled Funds c/o Lincluden Management Limited	D
Lincluden Private Client Bond Pooled Fund	Lincluden Pooled Funds c/o Lincluden Management Limited	D
Lincluden Private Trust	Integra Capital Financial Corp.	D
Lincluden Short Term Investment Fund	Integra Capital Ltd.	D
Lincluden Stable Income Equity Pooled Fund	Lincluden Investment Management Limited	D
Lincluden U.S. Equity Pooled Fund	Lincluden Pooled Funds c/o Lincluden Management Limited	D
Linde Equity Fund	Linde Equity Fund	A,C
Lion Natural Resources Fund	Lion Funds Management Inc.	D
Lions Diversified Strategies Fund	Lions Investment Management Ltd.	A,C
Lions Diversified Strategies OM Fund	Lions Investment Management Ltd.	A,C
Liquor Stores Income Fund	Liquor Stores Income Fund	D
LLIM Canadian Bond Fund (LFC)	Trust Company of London Life	D
LLIM Canadian Diversified Equity Fund	Mackenzie Financial Corporation	D
LLIM Canadian Growth Sectors Fund	Mackenzie Financial Corporation	D
LLIM Income Plus Fund	Mackenzie Financial Corporation	D
London Capital Canadian Dividend Fund	London Capital Canadian Dividend Fund	D
London Capital Diversified Income Fund	London Capital Diversified Income Fund	D
London Capital Global Real Estate Fund	London Capital Global Real Estate Fund	D
London Capital U.S. Value Fund	London Capital U.S. Value Fund	D
Long Duration Bond Fund	SEI Investments Canada Company	D
Longview Equity Fund	Longview Asset Management	A,C

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Loomis Sayles Strategic Monthly Income Fund	Loomis Sayles Strategic Monthly Income Fund	D
Lotus Bond Fund	HSBC Investment Funds (Canada) Inc.	D
Lotus Canadian Equity Fund	HSBC Investment Funds (Canada) Inc.	D
Lotus International Bond Fund	National Trust	D
Louisbourg Preferred Share Fund	Louisbourg Investments Inc.	A,C
LSQ FUND	Spartan Fund Management Inc.	D
LXV Prosperity Fund	LXV Management Inc.	A
Lycos Value Fund	Lycos Asset Management Inc.	A,C
Lycos Value Fund II	Lycos Asset Management Inc.	D
Lysander Canadian Bond Fund	Lysander Funds Limited	D
Lysander Equity Fund	Lysander Funds Limited	D
Lysander Fixed Income and Floating Rate Fund	Lysander Funds Limited	D
Lysander Income Fund	Lysander Funds Limited	D
Lysander TDV Fund	Lysander Funds Limited	D
Lysander-Roundtable Low Volatility Equity Fund	Lysander Funds Limited	D
Lysander-Seamark Balanced Fund	Lysander Funds Limited	D
Lysander-Seamark Total Equity Fund	Lysander Funds Limited	D
Lysander-Slater Preferred Share Dividend Fund	Lysander Funds Limited	D
Lysander-Triasima All Country Equity Fund	Lysander Funds Limited	D
Lysander-Triasima Balanced Income Fund	Lysander Funds Limited	D
Mackenzie All-Sector Canadian Balanced Fund	Mackenzie All-Sector Canadian Balanced Fund	D
Mackenzie All-Sector Canadian Equity Fund	Mackenzie All-Sector Canadian Equity Fund	D
Mackenzie Asian Bond Fund	Mackenzie Absolute Return Asia Fund	D
Mackenzie Destination+ 2015 Fund	Mackenzie Destination+ 2015 Fund	D
Mackenzie Destination+ 2017 Fund	Mackenzie Destination+ 2017 Fund	D
Mackenzie Destination+ 2020 Fund	Mackenzie Destination+ 2020 Fund	D
Mackenzie Destination+ 2025 Fund	Mackenzie Destination+ 2025 Fund	D
Mackenzie Financial Capital Corporation	Mackenzie Financial Capital Corporation	D
Mackenzie Floating Rate Income Fund	Mackenzie Floating Rate Income Fund	D
Mackenzie Founders Fund	Mackenzie Financial Corporation	D
Mackenzie Founders Income & Growth Fund	Mackenzie Founders Income & Growth Fund	D
Mackenzie Global Equity Income Fund	Mackenzie Global Equity Income Fund	D
Mackenzie Global Large Cap Quality Growth Pooled Fund	Mackenzie Global Large Cap Quality Growth Pooled Fund	D
Mackenzie Global Low Volatility Fund	Mackenzie Global Low Volatility Fund	D
Mackenzie Global Tactical Bond Fund	Mackenzie Global Tactical Bond Fund	D
Mackenzie Global Tactical Fund	Mackenzie Global Tactical Fund	D
Mackenzie Global Tactical Investment Grade Bond Fund	Mackenzie Global Tactical Investment Grade Bond Fund	D
Mackenzie High Diversification European Equity Fund	Mackenzie High Diversification European Equity Fund	D
Mackenzie High Diversification Global Equity Fund	Mackenzie High Diversification Global Equity Fund	D
Mackenzie High Diversification International Equity Fund	Mackenzie High Diversification International Equity Fund	D

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Mackenzie High Diversification US Equity Fund	Mackenzie High Diversification US Equity Fund	D
Mackenzie High Income Fund	Mackenzie Financial Corporation	D
Mackenzie Investment Grade Floating Rate Fund	Mackenzie Investment Grade Floating Rate Fund	D
Mackenzie Monthly Income Balanced Portfolio	Mackenzie Monthly Income Balanced Portfolio	D
Mackenzie Monthly Income Conservative Portfolio	Mackenzie Monthly Income Conservative Portfolio	D
Mackenzie Private Canadian Focused Equity Pool	Mackenzie Private Canadian Focused Equity Pool	D
Mackenzie Private Canadian Money Market Pool	Mackenzie Private Canadian Money Market Pool	D
Mackenzie Private Global Conservative Income Balanced Pool	Mackenzie Private Global Conservative Income Balanced Pool	D
Mackenzie Private Global Equity Pool	Mackenzie Private Global Equity Pool	D
Mackenzie Private Global Fixed Income Pool	Mackenzie Private Global Fixed Income Pool	D
Mackenzie Private Global Income Balanced Pool	Mackenzie Private Global Income Balanced Pool	D
Mackenzie Private Income Balanced Pool	Mackenzie Private Income Balanced Pool	D
Mackenzie Private US Equity Pool	Mackenzie Private US Equity Pool	D
Mackenzie Real Return Bond Fund	Mackenzie Financial Corporation	D
Mackenzie Sentinel Diversified Income Fund	Mackenzie Financial Corporation	D
Mackenzie Sentinel Income Trust	Mackenzie Financial Corporation	D
Mackenzie Sentinel Registered North American Corporate Bond Fund	Mackenzie Sentinel Registered North American Corporate Bond Fund	D
Mackenzie Sentinel Short-Term Government Bond Fund	Mackenzie Sentinel Short-Term Government Bond Fund	D
Mackenzie Sovereign Bond Fund	Mackenzie Sovereign Bond Fund	D
Mackenzie Strategic Bond Fund	Mackenzie Strategic Bond Fund	D
Mackenzie Unconstrained Fixed Income Fund	Mackenzie Unconstrained Fixed Income Fund	D
Mackenzie Universal Canadian Tactical Fund	Mackenzie Financial Corporation	D
Mackenzie Universal Global Infrastructure Fund	Mackenzie Universal Global Infrastructure Fund	D
Mackenzie Universal Global Property Income Fund	Mackenzie Universal Global Property Income Fund	D
Mackenzie Universal RSP Select Managers Japan Fund	Mackenzie Financial Corporation	D
Mackenzie US Dividend Fund	Mackenzie US Dividend Fund	D
Mackenzie US Dividend Registered Fund	Mackenzie US Dividend Registered Fund	D
Mackenzie US Low Volatility Fund	Mackenzie US Low Volatility Fund	D
Mackenzie USD Global Strategic Income Fund	Mackenzie USD Global Strategic Income Fund	D
Mackenzie Emerging Markets Opportunities Fund	Symmetry Global Equity Fund	D
Magna Core Equity Fund	Magna Core Equity Fund	A,C
Magna Fund	Magna Mutual Funds Inc.	A,C
Magna Income Fund	Magna Mutual Funds Inc.	A,C
Magna Life Settlement Fund	Magna Life Settlement Fund	A,C
Magna Real Estate Investment Trust	Magna Real Estate Investments Trust	A,C
Mahogany Growth Fund	Mahogany Asset Management Inc.	A
MainRoad Investment Trust	MainRoad Investment Trust	D
Majorica Bond Fund	The Royal Trust Company	D
Majorica Short Term Income Fund	The Royal Trust Company	D

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Man Canada Alternative Strategies Fund	Man Investments Canada Corp.	D
Man Canada Investment Strategies Fund	Man Investments Canada Corp.	D
Managed Wealth Fund	Managed Investments Limited	D
Manitoba Government Employees' Union Retirement Savings Plan Trust Fund	Manitoba Government Employees Union	A,C
Manitou Canadian Equity Fund	Manitou Investment Management Limited	A,C
Manitou Equity Fund	Manitou Investment Management Limited	A,C
Manitou Focus 5+ Fund	Manitou Focus 5+ Fund	D
Manitou Global Equity Fund	Manitou Investment Management Limited	D
Manitou Income Fund	Manitou Investment Management Limited	A,C
Manitou International Equity Fund	Manitou EAFE Fund	D
Manulife Balanced Portfolio	Manulife Balanced Portfolio	D
Manulife Bond Fund	AIC Limited	D
Manulife Canadian Balanced Fund	Manulife Canadian Balanced Fund	D
Manulife Canadian Growth Stock Fund	Manulife Canadian Growth Stock Fund	D
Manulife Canadian Investment Fund	Manulife Canadian Investment Fund	D
Manulife Canadian Opportunities Balanced Fund	Manulife Canadian Opportunities Balanced Fund	D
Manulife Canadian Opportunities Fund	Manulife Canadian Opportunities Fund	D
Manulife Canadian Unconstrained Bond Fund	Elliott & Page Limited	D
Manulife Conservative Portfolio	Manulife Conservative Portfolio	D
Manulife Corporate Bond Fund	Elliott & Page Limited	D
Manulife Covered Call US Equity Fund	AIC Limited	D
Manulife Diversified Alpha Portfolio	Manulife Diversified Alpha Portfolio	D
Manulife Diversified Income Portfolio	Elliott & Page Limited	D
Manulife Diversified Strategies Fund	Manulife Diversified Strategies Fund	D
Manulife Dividend Income Fund	Manulife Dividend Income Fund	D
Manulife Dividend Income Plus Fund	AIC Limited	D
Manulife Dollar-Cost Averaging Fund	Elliott & Page Limited	D
Manulife Emerging Markets Debt Fund	Manulife Emerging Markets Debt Fund	D
Manulife Financial Capital Trust	The Manufacturers Life Insurance Company	D
Manulife Floating Rate Income Fund	Manulife Floating Rate Income Fund	D
Manulife Fundamental Dividend Fund	Standard Life Dividend Income Fund	D
Manulife Fundamental Equity Fund	Elliott & Page Limited	D
Manulife Fundamental Income Fund	Standard Life Mutual Funds Ltd.	D
Manulife Global All Cap Focused Fund	AIC Limited	D
Manulife Global Focused Balanced Fund	Manulife Global Focused Balanced Fund	D
Manulife Global Infrastructure Fund	Brookfield Redding Global Infrastructure Fund	D
Manulife Global Real Estate Fund	AIC Limited	D
Manulife Global Small Cap Balanced Fund	Manulife Global Small Cap Balanced Fund	D
Manulife Global Strategic Balanced Yield Fund	Manulife Global Strategic Balanced Yield Fund	D
Manulife Global Thematic Opportunities Fund	Manulife Global Thematic Opportunities Fund	D

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Manulife Growth Opportunities Fund	Elliott & Page Limited	D
Manulife Growth Portfolio	Manulife Growth Portfolio	D
Manulife Income Fund 2022	Manulife Income Fund 2022	D
Manulife Income Fund 2027	Manulife Income Fund 2027	D
Manulife Income Fund 2032	Manulife Income Fund 2032	D
Manulife Income Fund 2037	Manulife Income Fund 2037	D
Manulife Income Fund 2042	Manulife Income Fund 2042	D
Manulife Income Fund 2047	Manulife Income Fund 2047	D
Manulife Income Fund 2052	Manulife Income Fund 2052	D
Manulife Income Fund 2057	Manulife Income Fund 2057	D
Manulife Income Fund 2062	Manulife Income Fund 2062	D
Manulife Income Fund 2067	Manulife Income Fund 2067	D
Manulife International Equity Private Trust	Manulife International Equity Private Trust	D
Manulife International Value Equity Fund	Manulife International Value Equity Fund	D
Manulife Leaders Balanced Growth Portfolio	Value Leaders Balanced Growth Portfolio Funds	D
Manulife Leaders Balanced Income Portfolio	Manulife Leaders Balanced Income Portfolio	D
Manulife Leaders Opportunities Portfolio	Value Leaders Growth Portfolio Funds	D
Manulife Mawer Canadian Bond Fund	Elliott & Page Limited	D
Manulife Mawer Diversified Investment Fund	Elliott & Page Limited	D
Manulife Mawer Global Small Cap Fund	Elliott & Page Limited	D
Manulife Mawer U.S. Equity Fund	Elliott & Page Limited	D
Manulife Moderate Portfolio	Manulife Moderate Portfolio	D
Manulife Money Fund	Elliott & Page Limited	D
Manulife Monthly High Income Fund	Elliott & Page Limited	D
Manulife Multifactor Canadian Large Cap Index ETF	Manulife Multifactor Canadian Large Cap Index ETF	D
Manulife Multifactor Canadian SMID Cap Index ETF	Manulife Multifactor Canadian SMID Cap Index ETF	D
Manulife Multifactor Developed International Index ETF	Manulife Multifactor Developed International Index ETF	D
Manulife Multifactor Emerging Markets Index ETF	Manulife Multifactor Emerging Markets Index ETF	D
Manulife Multifactor U.S. Large Cap Index ETF	Manulife Multifactor U.S. Large Cap Index ETF	D
Manulife Multifactor U.S. Mid Cap Index ETF	Manulife Multifactor U.S. Mid Cap Index ETF	D
Manulife Multifactor U.S. Small Cap Index ETF	Manulife Multifactor U.S. Small Cap Index ETF	D
Manulife Private Canadian Equity Portfolio	Manulife Private Canadian Equity Portfolio	D
Manulife Quantitative Fixed Income Fund 2022	Manulife Quantitative Fixed Income Fund 2022	D
Manulife Quantitative Fixed Income Fund 2027	Manulife Quantitative Fixed Income Fund 2027	D
Manulife Quantitative Fixed Income Fund 2032	Manulife Quantitative Fixed Income Fund 2032	D
Manulife Quantitative Fixed Income Fund 2037	Manulife Quantitative Fixed Income Fund 2037	D
Manulife Quantitative Fixed Income Fund 2042	Manulife Quantitative Fixed Income Fund 2042	D
Manulife Short Term Bond Fund	Manulife Short Term Bond Fund	D
Manulife Simplicity Balanced Portfolio	Elliott & Page Limited	D
Manulife Simplicity Conservative Portfolio	Elliott & Page Limited	D
Manulife Simplicity Global Balanced Portfolio	Elliott & Page Limited	D

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Manulife Simplicity Growth Portfolio	AIC Limited	D
Manulife Simplicity Growth Portfolio	Elliott & Page Limited	D
Manulife Simplicity Moderate Portfolio	Elliott & Page Limited	D
Manulife Small Cap Value Fund	Elliott & Page Limited	D
Manulife Strategic Balanced Yield Fund	Manulife Strategic Balanced Yield Fund	D
Manulife U.S. All Cap Equity Fund	Manulife U.S. All Cap Equity Fund	D
Manulife U.S. Large Cap Equity Fund	Manulife U.S. Large Cap Equity Fund	D
Manulife U.S. Opportunities Fund	AIC Limited	D
Manulife US Dividend Income Fund	Manulife Trust Services Limited	D
Manulife World Investment Fund	Manulife World Investment Fund	D
Marathon Equity Fund	First Marathon Securities Limited	D
Marathon Plus Aggressive Growth Fund	Marathon Mutual Funds, Inc.	D
Marathon Resource Fund	FM & DK Management Limited	D
Marlow Group Global Fixed Income Fund	Marlow Group Private Portfolio Management Inc.	D
Marlow Group RRSP Global Fixed Income Fund	National Trust	D
Marquest American Dividend Growth Fund	Marquest American Dividend Growth Fund	D
Marquest Canadian Fixed Income Fund	Marquest Canadian Fixed Income Fund	D
Marquest Covered Call Canadian Banks Plus Fund	Marquest Covered Call Canadian Banks Plus Fund	D
Marquest Focus Fund	Marquest Asset Management Inc.	D
Marquest Income and Growth Fund	Marquest Income Fund	D
Marquest Large Cap Fund	Marquest Asset Management Inc.	D
Marquest Resource Opportunities Fund	Marquest Asset Management Inc.	D
Marquest Small/Mid Cap Fund	Marquest Asset Management Inc.	D
Marquis Balanced Growth Portfolio	Goodman & Company, Investment Counsel Ltd.	D
Marquis Balanced Income Portfolio	Goodman & Company, Investment Counsel Ltd.	B,C
Marquis Balanced Portfolio	Goodman & Company, Investment Counsel Ltd.	D
Marquis Equity Portfolio	Goodman & Company, Investment Counsel Ltd.	D
Marquis Growth Portfolio	Goodman & Company, Investment Counsel Ltd.	D
Marquis Institutional Balanced Growth Portfolio	Goodman & Company, Investment Counsel Ltd.	D
Marquis Institutional Balanced Portfolio	Goodman & Company, Investment Counsel Ltd.	D
Marquis Institutional Bond Portfolio	Goodman & Company, Investment Counsel Ltd.	D
Marquis Institutional Canadian Equity Portfolio	Goodman & Company, Investment Counsel Ltd.	D
Marquis Institutional Equity Portfolio	Goodman & Company, Investment Counsel Ltd.	D
Marquis Institutional Global Equity Portfolio	Goodman & Company, Investment Counsel Ltd.	D
Marquis Institutional Growth Portfolio	Goodman & Company, Investment Counsel Ltd.	D
Marret Alternative Absolute Return Bond Fund	CI Investments Inc.	D
Marret Enhanced Tactical Fixed Income Fund	Marret Enhanced Tactical Fixed Income Fund	D
Marret Equity Hedge Fund	Marret Equity Hedge Fund	D
Marret High Grade Hedge Fund	Marret High Grade Hedge Fund	D
Marret High Yield Bond Fund	CI Investments Inc.	D
Marret High Yield Fund	Marret High Yield Fund	D

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Marret Investment Grade Hedged Strategies Fund	Marret Investment Grade Hedged Strategies Fund	D
Marret Short Duration High Yield Fund	CI Investments Inc.	D
Marret Strategic Yield Fund	CI Investments Inc.	D
Matrix Asia Pacific Fund / Fonds Asie-Pacifique Matrix	Matrix Funds Management	D
Matrix Canadian Bond Fund / Fonds d'obligations canadiennes Matrix	Matrix Funds Management	D
Matrix Canadian Equity Fund	Matrix Funds Management	D
Matrix Conservative Dividend & Income Fund	Matrix Funds Management	D
Matrix Corporate Class Funds Ltd. / Fonds de Catégorie de Sociétés Matrix Ltée	Matrix Corporate Class Funds Ltd.	D
Matrix Explorer Fund / Fonds d'exploration Matrix	Matrix Funds Management	D
Matrix International Balanced Fund	Matrix International Balanced Fund	D
Matrix International Equity Fund / Fonds d'actions internationales Matrix	Matrix Funds Management	D
Matrix International Income Balanced Fund	Matrix International Income Balanced Fund	D
Matrix Money Market Fund / Fonds marché monétaire Matrix	Matrix Funds Management	D
Matrix Monthly Pay Fund / Fonds à versement mensuel Matrix	Matrix Funds Management	D
Matrix North American Equity Fund	Matrix Funds Management	D
Matrix Sierra Equity Fund / Fonds Sierra d'actions Matrix	Matrix Funds Management	D
Matrix Small Companies Fund / Fonds de petites sociétés Matrix	Matrix Funds Management	D
Mavrix Bond Fund / Fonds obligataire Mavrix	Mavrix Fund Management Inc.	D
Mavrix Canada Fund / Fonds Canada Mavrix	Mavrix Fund Management Inc.	D
Mavrix Strategic Small Cap Fund	Mavrix Fund Management Inc.	D
Mawer Balanced Fund	The Royal Trust Company	D
Mawer Canadian Bond Fund	Mawer Canadian Bond Fund	D
Mawer Canadian Equity Fund	Mawer & Associates Investment Counsel	D
Mawer Global Equity Fund	Mawer Global Equity Fund	D
Mawer Global Small Cap Fund	Mawer Global Small Cap Fund	D
Mawer International Equity Fund	Mawer World Investment Fund	D
Mawer Money Market Fund	The Royal Trust Company	D
Mawer New Canada Fund	Mawer New Canada Fund	D
Mawer Tax Effective Balanced Fund	Mawer Canadian Diversified Investment Fund	D
Mawer U.S. Equity Fund	Mawer U.S. Equity Fund	D
Maxxum Canadian Balanced Fund	London Fund Management Ltd.	D
Maxxum Canadian Equity Growth Fund	London Fund Management Ltd.	D
Maxxum Dividend Fund	London Fund Management Ltd.	D
Maxxum Income Fund	London Fund Management Ltd.	D
Maxxum Natural Resource Fund	London Fund Management Ltd.	D
Maxxum Precious Metals Fund	London Fund Management Ltd.	D
MB Fixed Income Plus Fund	McLean Budden Limited	D
MB Select Canadian Equity Fund	McLean Budden Limited	D

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McDonald Enhanced Bond Fund	McDonald Investment Management Inc.	D
McDonald Enhanced Global Fund	McDonald Investment Management Inc.	D
McElvaine Fund Ltd.	McElvaine Fund Ltd.	D
McElvaine Investment Trust	Peter Cundill & Associates Ltd.	D
McLean & Partners Canadian Dividend Growth Pool	McLean & Partners Canadian Dividend Growth Pool	A,C
McLean & Partners Diversified Fixed Income Pool	McLean & Partners Diversified Fixed Income Pool	A,C
McLean & Partners Private Global Balanced Pool	McLean & Partners Private Global Balanced Pool	D
McLean & Partners Private Global Dividend Growth Pool	McLean & Partners Private Global Dividend Growth Pool	D
McLean & Partners Private International Equity Pool	McLean & Partners Private International Equity Pool	D
McLean & Partners U.S. Dividend Growth Pool	CIBC Mellon Trust Company	D
McLean Budden Balanced Growth Fund / Fonds de croissance équilibrée McLean Budden	McLean Budden Limited	D
McLean Budden Balanced Value Fund	McLean Budden Limited	D
McLean Budden Canadian Equity Fund	McLean Budden Limited	D
McLean Budden Canadian Equity Growth Fund / Fonds de croissance d'actions canadiennes McLean Budden	McLean Budden Limited	D
McLean Budden Canadian Equity Value Fund	McLean Budden Limited	D
McLean Budden Fixed Income Fund	McLean Budden Limited	D
McLean Budden High Income Equity Fund	McLean Budden Limited	D
McLean Managed Mortgage Fund	McLean Budden Limited	A,C
MD Precision Balanced Growth Index Portfolio	MD Precision Balanced Growth Index Portfolio	A,C
MD Precision Conservative Index Portfolio	MD Precision Conservative Growth Index Portfolio	A,C
MD Precision Maximun Growth Index Portfolio	MD Precision Maximun Growth Index Portfolio	A,C
MD Precision Moderate Balanced Index Portfolio	MD Precision Moderate Balanced Index Portfolio	A,C
Médecins spécialistes du Québec Fonds Mutuels	Trust Général du Canada	A
Mennonite Foundation of Canada Retirement Fund	Investors Group Trust Co. Ltd.	A,C
Menu Foods Income Fund	Menu Foods Income Fund	D
Mercury Mortgage Fund	David R. Davies	A
Meridian Fixed Income Fund	Meridian Fixed Income Fund	A,C
Meritas Balanced Portfolio	Meritas Financial Inc.	D
Meritas Canadian Bond Fund	Meritas Financial Inc.	D
Meritas Growth & Income Portfolio	Meritas Growth & Income Portfolio	D
Meritas Growth Portfolio	Meritas Growth Portfolio	D
Meritas Income & Growth Portfolio	Meritas Income & Growth Portfolio	D
Meritas Income Portfolio	Meritas Income Portfolio	D
Meritas International Equity Fund	Meritas Financial Inc.	D
Meritas Jantzi Social Index Fund	Meritas Financial Inc.	D
Meritas Maximum Growth Portfolio	Meritas Maximum Growth Portfolio	D
Meritas Money Market Fund	Meritas Financial Inc.	D
Meritas Monthly Dividend and Income Fund	Meritas Financial Inc.	D
Meritas U.S. Equity Fund	Meritas Financial Inc.	D
Merrill Lynch Canadian Equity Fund	Investors Group Trust Co. Ltd.	D
Merrill Lynch Canadian Smaller Companies Equity Fund	Atlas Asset Management Inc.	D

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Merrill Lynch World Bond Fund	Investors Group Trust Co. Ltd.	D
Metfin Real Estate Growth Fund	Metfin Real Estate Growth Fund	D
Metric Dynamic Asset Allocation Fund	Metric Asset Management Limited Partnership	D
MFC B Unit Trust	Mackenzie Financial Corporation	D
MFC C Unit Trust	Mackenzie Financial Corporation	D
MFG Diversified Strategy Fund	MFG Diversified Strategy Fund	A,C
MFI Balanced Fund	Matco Financial Inc.	D
MFS Balanced Fund	McLean Budden Limited	D
MFS Canadian Equity Fund	McLean Budden Limited	D
MFS Canadian Long Term Fixed Income Fund	McLean Budden Limited	D
MFS Canadian Money Market Fund	McLean Budden Limited	D
MFS Canadian Research Equity Fund	McLean Budden Limited	D
MFS Canadian Short Term Fixed Income Fund	McLean Budden Limited	D
MFS Global Research Fund	McLean Budden Limited	D
MFS Low Volatility Canadian Equity Fund	McLean Budden Limited	D
MFS Responsible Canadian Fixed Income Fund	McLean Budden Limited	D
Middlefield Canadian Realty Fund	Middlefield Fund Management Limited	D
Middlefield Enhanced Yield Fund	Middlefield Fund Management Limited	D
Middlefield Global Healthcare Dividend Fund	Middlefield Global Healthcare Dividend Fund	A
Middlefield Global Infrastructure Fund	Middlefield Global Infrastructure Fund	A
Middlefield Money Market Fund	Middlefield Fund Management Limited	D
Middlefield Mutual Fund Limited	Middlefield Fund Management Limited	D
Millennium Diversified Fund	Morrison Williams Invst. Mgmt. Ltd.	D
Millennium Growth Fund Registered Investments	Millennium Growth Fund Limited	D
Millennium Investment Club Mortgage Trust	Millennium Investment Club Mortgage Trust	A,C
MINT Income Fund	MINT Management Limited	D
Mint Income Trust III	Mint Income Services Inc.	A
Mint Income Trust IV	Mint Income Management Ltd.	A
Mint Income Trust V	Mint Income Services Inc.	A
Mint Income Trust VI	Mint Income Management Ltd.	A
Mirador Omnimark Unit Trust	Mirador Omnimark Unit Trust	A,C
Mirador Style Suite Unit Trust	Mirador Style Suite Unit Trust	A,C
Mirador Triopay Unit Trust	Mirador Triopay Unit Trust	A,C
Mistaya Investment Fund	Mistaya Investment Fund	A,C
MIT Income Trust	Treize Developments Ltd.	A
MM Fund	Spartan Fund Management Inc.	D
Moderate Folio Fund	Mackenzie Financial Corporation	D
Monarch Canadian Fund	CI Investments Inc.	D
Monarch Canadian Resource Fund	CI Investments Inc.	D
Monarch Dividend Fund	CI Investments Inc.	D
Money Market Fund	International Trust Company	D

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Money Market Fund	SEI Investments Canada Company	D
Money Market Pooled Fund	The Royal Trust Company	D
Money Market Private Client Pooled Fund	The Royal Trust Company	D
Montreal Trust Combined Fund – Term Deposit Section	Montreal Trust	B
Montreal Trust Mortgage Fund	Montreal Trust	A
Montreal Trust RSP – Equity Section	Montreal Trust	A
Montreal Trust Select Fund – Equity Section	Montreal Trust	D
Montreal Trust Select Fund – Income Section	Montreal Trust	D
Montreal Trust Select Fund – Special Situations Section	Montreal Trust	D
Monrusco Bolton Bond Total Return Fund / Fonds obligataire à rendement total Monrusco Bolton	Placement Monrusco Bolton Inc.	D
Monrusco Bolton Income Trust Fund / Fonds de fiducies de revenu Monrusco Bolton	Monrusco Bolton Investments Inc.	D
Monrusco Select Foreign Currency Income Fund	Monrusco Associates Inc.	D
Monrusco Select Total Return Fund	Monrusco Associates Inc.	D
Moose Mortgage Fund Registered Investment	Moose Mortgage Corporation	A
Morgan Meighen Balanced Pooled Fund	Morgan Meighen & Associates Limited	D
Morgan Meighen Global Pooled Fund	Morgan Meighen & Associates Limited	D
Morgan Meighen Growth Pooled Fund	Morgan Meighen & Associates Limited	D
Morgan Meighen Income Pooled Fund	MMA Investment Managers Limited	D
Morguard Real Estate Investment Trust	Morguard Real Estate Invest. Trust	D
Morningstar Aggressive Portfolio	Morningstar Aggressive Portfolio	A,C
Morningstar Balanced Portfolio	Morningstar Balanced Portfolio	A,C
Morningstar Conservative Portfolio	Morningstar Conservative Portfolio	A,C
Morningstar Growth Portfolio	Morningstar Growth Portfolio	A,C
Morningstar Moderate Portfolio	Morningstar Moderate Portfolio	A,C
Morningstar Strategic Canadian Equity Fund	Brandes Investment Partners & Co.	A,C
Mountain Power Inc.	Mountain Power Inc.	A
MSP Maxxum Trust	Mackenzie Financial Corporation	D
MTC Mortgage Investors Trust No. 2	Mutual Trust	D
MTS Mortgage Fund Trust	MTS Mortgage Fund Trust	A,C
Mulvihill Canadian Balanced Pooled Fund	Mulvihill Capital Management Inc.	D
Mulvihill Canadian Balanced Private Fund	Mulvihill Capital Management Inc.	A,C
Mulvihill Canadian Bond Pooled Fund	Mulvihill Capital Management Inc.	D
Mulvihill Canadian Bond Private Fund	Mulvihill Capital Management Inc.	A,C
Mulvihill Canadian Equity Fund	Mulvihill Fund Services Inc.	D
Mulvihill Canadian Equity Pooled Fund	Mulvihill Capital Management Inc.	D
Mulvihill Canadian Equity Private Fund	Mulvihill Capital Management Inc.	A,C
Mulvihill Canadian Money Market Fund	Mulvihill Fund Services Inc.	D
Mulvihill Canadian Money Market Pooled Fund	Mulvihill Capital Management Inc.	D
Mulvihill Canadian Money Market Private Fund	Mulvihill Capital Management Inc.	A,C
Mulvihill Canadian Special Equity Pooled Fund	Mulvihill Capital Management Inc.	D

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Mulvihill Canadian Special Equity Private Fund	Mulvihill Capital Management Inc.	A,C
Mulvihill Premium Yield Fund	Strathbridge Asset Management Inc.	D
Munro Alternative Global Growth Fund	CI Investments Inc.	D
MWG Canadian Equity Growth Fund	MWG Canadian Equity Growth Fund	D
MWG Dividend Growth Fund	MWG Dividend Growth Fund	D
MWG Fixed Income Fund	MWG Fixed Income Fund	D
MWG Global Equity Growth Fund	MWG Global Equity Growth Fund	D
MYW One Fund	National Trust	D
Navigator Managed Futures Fund	Navigator Investment Management Ltd.	D
NBC Caps – Series 1	NBC Capital Trust	D
NBC Caps II – Series 1	NBC Asset Trust	D
NBI Canadian Bond Index Fund	National Bank Investments Inc. / Banque Nationale Investissements Inc.	D
NBI Canadian Equity Index Fund	National Bank Investments Inc. / Banque Nationale Investissements Inc.	D
NBI International Equity Index Fund	National Bank Investments Inc. / Banque Nationale Investissements Inc.	D
NBI U.S. Equity Index Fund	National Bank Investments Inc. / Banque Nationale Investissements Inc.	D
NCE Canadian Energy Growth Fund	NCE Financial Corporation	D
NCE Precious Metals Growth Fund	NCE Financial Corporation	D
NCE Real Estate Securities Fund	NCE Financial Corporation	D
NEI Conservative Yield Portfolio	Northwest & Ethical Investments L.P.	A,C
NEI Environmental Leaders Fund	Northwest & Ethical Investments L.P.	A,C
NEI Generational Leaders Fund	Northwest & Ethical Investments Inc.	D
NEI Global Strategic Yield Fund	Northwest & Ethical Investments L.P.	A,C
NEI Global Total Return Bond Fund	Northwest & Ethical Investments L.P.	A,C
NEI Global Value Fund	Northwest & Ethical Investments L.P.	A,C
Neighbourhood Holdings Income Trust I	Neighbourhood Holdings Income Trust 1	D
Network Options Opportunity Fund	Network Capital Inc.	D
New Altamira Value Fund	Altamira Management Limited	D
New Canada Fund	The Royal Trust Company	D
New City / Safety Mortgage Fund	New City / Safety Mortgage Fund	A,C
Newcastle Derivatives Fund	Newcastle Capital Management Inc.	D
Newcastle Derivatives Fund III	Newcastle Capital Management Inc.	D
Newcastle Derivatives Small Cap Fund	Newcastle Capital Management Inc.	D
Newport Canadian Equity Fund	Newport Partners Inc.	D
Newport Fixed Income Fund	Newport Partners Inc.	D
Newport Yield Fund	Newport Partners Inc.	D
NexGen American Growth Registered Fund	NexGen Limited	D
NexGen Canadian Balanced Growth Registered Fund	NexGen Limited	D
NexGen Canadian Bond Registered Fund	NexGen Limited	D

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NexGen Canadian Cash Registered Fund	NexGen Limited	D
NexGen Canadian Diversified Income Registered Fund	NexGen Limited	D
NexGen Canadian Dividend & Income Registered Fund	NexGen Limited	D
NexGen Canadian Growth & Income Registered Fund	NexGen Limited	D
NexGen Canadian Growth Registered Fund	NexGen Limited	D
NexGen Canadian Large Cap Registration Fund	NexGen Limited	D
NexGen Canadian Preferred Share Registered Fund	NexGen Canadian Preferred Share Registered Fund	D
NexGen Corporate Bond Registered Fund	NexGen Corporate Bond Registered Fund	D
NexGen Global Dividend Registered Fund	NexGen Limited	D
NexGen Global Equity Registered Fund	NexGen Global Equity Registered Fund	D
NexGen Global Resources Registered Fund	NexGen Limited	D
NexGen Global Value Registered Fund	NexGen Global Value Registered Fund	D
NexGen Intrinsic Growth Registered Fund	NexGen Intrinsic Growth Registered Fund	D
NexGen Investment Corporation	NexGen Limited	D
NexGen North American Growth Registered Fund	NexGen Limited	D
NexGen North American Large Cap Registered Fund	NexGen Limited	D
NexGen North American Small / Mid Cap Registered Fund	NexGen Limited	D
NexGen North American Value Registered Fund	NexGen Limited	D
NexGen Turtle Canadian Balanced Registered Fund	NexGen Limited	D
NexGen Turtle Canadian Equity Registered Fund	NexGen Limited	D
NexGen U.S. Growth Registered Fund	NexGen U.S. Growth Registered Fund	D
NexGen US Dividend Plus Registered Fund	NexGen US Dividend Plus Registered Fund	D
Nexus North American Balanced Fund	Nexus Investment Management Inc.	D
Nexus North American Equity Fund	Nexus Investment Management Inc.	A,C
Nexus North American Income Fund	Nexus Investment Management Inc.	A,C
NFC Tactical Asset Allocation Pool	Nelson Portfolio Management Corp.	D
Nicola Financial Strategic Income Fund	NF Capital Management	D
Nimble Fund	Nimble Fund	D
Ninepoint Alternative Income Fund	Sprott Alternative Income Fund	A,C
Ninepoint Bridging Income RSP Fund	Sprott Bridging Income RSP Fund	A,C
Ninepoint Bull/Bear RSP Fund	Sprott Asset Management Inc.	D
Ninepoint Concentrated Canadian Equity Fund	Ninepoint Concentrated Canadian Equity Fund	A,C
Ninepoint Core Bond Fund	Ninepoint Core Bond Fund	A,C
Ninepoint Credit Income Opportunities Fund	Sprott Credit Income Opportunities Fund	A,C
Ninepoint Diversified Bond Class	Sprott Corporate Class Inc.	A,C
Ninepoint Diversified Bond Fund	Sprott Diversified Yield Fund	A,C
Ninepoint Energy Fund	Sprott Asset Management Inc.	D
Ninepoint Energy Opportunities Trust	Ninepoint Energy Opportunities Trust	A,C
Ninepoint Enhanced Balanced Class	Sprott Corporate Class Inc.	A,C
Ninepoint Enhanced Balanced Fund	Sprott Enhanced Balanced Fund	A,C

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Ninepoint Enhanced Equity Class	Sprott Corporate Class Inc.	A,C
Ninepoint Enhanced Long Short Equity RSP Fund	Sprott Enhanced Long Short Equity RSP Fund	A,C
Ninepoint Enhanced U.S. Equity Class	Sprott Corporate Class Inc.	A,C
Ninepoint Focused Global Dividend Class	Sprott Corporate Class Inc.	A,C
Ninepoint Focused US Dividend Class	Sprott Corporate Class Inc.	A,C
Ninepoint Global Infrastructure Fund	Sprott Global Infrastructure Fund	A,C
Ninepoint Global Real Estate Fund	Sprott Global Real Estate Fund	A,C
Ninepoint Gold and Precious Minerals Fund	Sprott Asset Management Inc.	D
Ninepoint Gold Bullion Fund	Sprott Gold Bullion Fund	A,C
Ninepoint International Small Cap Fund	Ninepoint International Small Cap Fund	A,C
Ninepoint Real Asset Class	Sprott Corporate Class Inc.	A,C
Ninepoint Resource Class	Sprott Corporate Class Inc.	A,C
Ninepoint Short-Term Bond Class	Sprott Corporate Class Inc.	A,C
Ninepoint Short-Term Bond Fund	Sprott Short-Term Bond Fund	A,C
Ninepoint Silver Bullion Fund	Sprott Silver Bullion Fund	A,C
Ninepoint Silver Equities Class	Sprott Corporate Class Inc.	A,C
Ninepoint TEC Private Credit Fund	Ninepoint TEC Private Credit Fund	A,C
Ninepoint Trade Finance Fund	Ninepoint Trade Finance Fund	A,C
Ninepoint UIT Alternative Health Fund	Ninepoint UIT Alternative Health Fund	A,C
NNL Active Canadian Equities Fund	Nortel Networks Limited	D
Noranda Income Fund	Noranda Inc.	D
Norrep Fund	Norrep Inc.	A
Norrep Opportunities Corp.	Norrep Opportunities Corp.	D
North American High Yield Bond Fund (Putnam)	North American High Yield Bond Fund (Putnam)	D
North American Mortgage Investment Corporation	North American Mortgage Investment Corporation	A,C
North Growth Canadian Money Market Fund	The Royal Trust Company	D
North Growth U.S. Equity Advisor Fund	North Growth Management Ltd.	A,C
North Point SMG Fund	North Point Capital (IF) Corp.	A
Northern Alliance Trust	Northern Alliance Trust	D
Northern Citadel Mortgage Investment Trust	Northern Citadel Mortgage Investment Trust	D
Northern Rivers Conservative Growth Fund	Northern Rivers Capital Management Inc.	D
Northern Rivers Evolution Fund	Northern Rivers Capital Management Inc.	D
Northern Rivers Innovation RSP Fund	Northern Rivers Capital Management Inc.	D
Northland Power Income Fund	Montreal Trust	D
Northwater Derivatives Fund III B	Northwater Capital Management Inc.	D
Northwater Derivatives Fund, US Equity B	Northwater Capital Management Inc.	D
Northwater Derivatives International Equity Fund II B	Northwater Capital Management Inc.	D
Northwater Derivatives Mid Cap Fund B	Northwater Capital Management Inc.	D
Northwest Balanced Fund	Northwest Mutual Funds Inc.	D
Northwest Canadian Equity Fund	Northwest Mutual Funds Inc.	D

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Northwest Corporate Class Inc.	Fiducie Desjardins inc.	D
Northwest Global Growth and Income Fund	Fiducie Desjardins inc.	D
Northwest Macro Canadian Asset Allocation Fund	Northwest Macro Canadian Asset Allocation Fund	A,C
Northwest Quadrant Global Equity Portfolio	Fiducie Desjardins inc.	D
Northwest Quadrant Global Growth Portfolio	Fiducie Desjardins inc.	D
Northwest Quadrant Growth Portfolio	Fiducie Desjardins inc.	D
Northwest RSP Foreign Equity Fund	Northwest Mutual Funds Inc.	D
Northwest RSP International Fund	Northwest Mutual Funds Inc.	D
Northwest Select Global Balanced Portfolio	Fiducie Desjardins inc.	D
Northwest Specialty Equity Fund	Northwest Mutual Funds Inc.	D
Northwest Specialty High Yield Bond Fund	Northwest Mutual Funds Inc.	D
Northwest Specialty Innovations Fund	Northwest Mutual Funds Inc.	D
Northwest Tactical Yield Fund	Northwest & Ethical Investments L.P.	A,C
Noumena Multi-Strategy Fund	Noumena Multi-Strategy Fund	A,C
NSPC Canadian Equity Fund	NSPC Canadian Equity Fund	D
NSPC Dividend & Income Fund	NSPC Dividend & Income Fund	D
NSPC International Equity Fund	NSPC International Equity Fund	D
NWM Balanced Mortgage Fund	Nicola Wealth Management Ltd.	D
NWM Core Portfolio Fund	Nicola Wealth Management Ltd.	D
NWM Global Bond Fund	Nicola Wealth Management Ltd.	D
NWM Global Equity Fund	Nicola Wealth Management Ltd.	D
NWM High Yield Bond Fund	Nicola Wealth Management Ltd.	D
NWM Preferred Share Fund	Nicola Wealth Management Ltd.	D
NWM Primary Mortgage Fund	Nicola Wealth Management Ltd.	D
NWM Real Estate Fund	Nicola Wealth Management Ltd.	D
NWM U.S. Equity Income Fund	NWM U.S. Equity Income Fund	D
O & Y Real Estate Investment Trust	O & Y Real Estate Investment Trust	D
O'Donnell Emerging Companies Fund	O'Donnell Asset Management Corp.	D
O'Leary Canadian Balanced Yield Fund	O'Leary Funds Management LP	D
O'Leary Canadian Bond Yield Fund	O'Leary Funds Management LP	A,C
O'Leary Canadian Diversified Income Fund	O'Leary Funds Management LP	A,C
O'Leary Canadian Equity Yield Fund	O'Leary Funds Management LP	D
O'Leary Canadian High Income Fund	O'Leary Funds Management LP	A,C
O'Leary Conservative Income Fund	O'Leary Funds Management LP	A,C
O'Leary Emerging Markets Income Fund	O'Leary Funds Management LP	A,C
O'Leary Floating Rate Income Fund	O'Leary Funds Management LP	A,C
O'Leary Global Bond Yield Advantage Fund	O'Leary Funds Management LP	A,C
O'Leary Global Bond Yield Fund	O'Leary Funds Management LP	A,C
O'Leary Global Equity Yield Fund	O'Leary Funds Management LP	D
O'Leary Global Growth & Income Fund	O'Leary Funds Management LP	A,C

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O'Leary Global Infrastructure Income Fund	O'Leary Funds Management LP	A,C
O'Leary Money Market Yield Fund	O'Leary Funds Management LP	D
O'Leary Tactical Income Fund	O'Leary Funds Management LP	A,C
O'Leary U.S. Strategic Yield Advantage Fund	O'Leary Funds Management LP	A,C
O'Leary U.S. Strategic Yield Fund	O'Leary Funds Management LP	A,C
Oakmark International Natixis Registered Fund	Oakmark International Natixis Registered Fund	D
Oakmark Natixis Registered Fund	Oakmark Natixis Registered Fund	D
Oakstreet Income Fund	Crystal Wealth Mgmt. System Ltd.	D
OceanRock Balanced Portfolio	OceanRock Balanced Portfolio	D
OceanRock Canadian Equity Fund	QTrade Fund Management Inc.	D
OceanRock Growth & Income Portfolio	QTrade Fund Management Inc.	D
OceanRock Growth Portfolio	OceanRock Growth Portfolio	D
OceanRock HFI Growth Pool	Hendrickson Financial Inc.	D
OceanRock HFI Tactical Asset Pool	Hendrickson Financial Inc.	D
OceanRock Income & Growth Portfolio	OceanRock Income & Growth Portfolio	D
OceanRock Income Portfolio	OceanRock Income Portfolio	D
OceanRock International Equity Fund	QTrade Fund Management Inc.	D
OceanRock Maximum Growth Portfolio	OceanRock Maximum Growth Portfolio	D
OceanRock U.S. Equity Fund	QTrade Fund Management Inc.	D
Ocelot Industries Ltd. Balanced Growth Trust Fund	National Trust	D
OCP Master Trust	OCP Master Trust	D
OK Tire Real Estate Trust	OK Tire Real Estate Trust	D
Olympus United Univest RRSP Fund / Fonds REER Olympus United Univest	Norshield Asset Management (Canada) Ltd.	D
ONE North American Core Plus Bond ETF	WisdomTree Asset Management Canada Inc.	D
Onefund Diversified Plus	Crystal Wealth Mgmt. System Ltd.	D
Ontario Teachers' Group Investment Fund – Balanced Section	Ontario Teachers' Group Investment Fund	D
Ontario Teachers' Group Investment Fund – Diversified Section	Ontario Teachers' Group Investment Fund	D
Ontario Teachers' Group Investment Fund – Fixed Value Section	Ontario Teachers' Group Investment Fund	D
Ontario Teachers' Group Investment Fund – Growth Section	Ontario Teachers' Group Investment Fund	D
Ontario Teachers' Group Investment Fund – Mortgage Income Section	Ontario Teachers' Group Investment Fund	D
onTREND Fund	Spartan Fund Management Inc.	D
OPGT Canadian Income & Dividend Fund	The Public Guardian and Trustee for Ontario	D
OPGT Diversified Fund	The Public Guardian and Trustee for Ontario	D
Optima Strategy Canadian Equity Diversified Pool	Assante Asset Management Ltd.	D
Optima Strategy Canadian Equity Growth Pool	Assante Asset Management Ltd.	D
Optima Strategy Canadian Equity Small Cap Pool	Assante Asset Management Ltd.	D
Optima Strategy Fund	Loring Ward Investment Counsel Ltd.	D

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Optima Strategy RSP Global Fixed Income Fund	Loring Ward Investment Counsel Ltd.	D
Optima Strategy RSP International Equity Fund	Loring Ward Investment Counsel Ltd.	D
Optima Strategy RSP US Equity Fund	Loring Ward Investment Counsel Ltd.	D
Opus 2 Canadian Fixed Income Fund	Opus 2 Financial Inc.	D
Opus 2 Canadian Growth Equity Fund	Opus 2 Financial Inc.	D
Opus 2 Canadian Value Equity Fund	Opus 2 Financial Inc.	D
Opus 2 Global Equity (RSP) Fund	Opus 2 Financial Inc.	D
Outcome Enhanced Dividend Fund	Outcome Wealth Management Inc.	D
Owners Fund	Owners Fund	A,C
Owners Opportunities Fund	Owners Opportunities Fund	A,C
Owners RRSP Fund	I.H. Rotenberg Investment Counsel Inc.	D
Pacific Balanced Fund	Pacific Capital Management Ltd.	D
Pacific Bond Fund	Pacific Capital Management Ltd.	D
Pacific Canadian Equity Fund	Pacific Capital Management Ltd.	D
Pacific Dividend Income Fund	Pacific Capital Management Ltd.	D
Pacific RRSP/RRIF Equity Fund	Pacific Capital Management Ltd.	D
Pacific Short-Term Fund	Pacific Capital Management Ltd.	D
Pacific Total Return Fund	Pacific Capital Management Ltd.	D
Palisade Absolute Fund	Palisade Capital Management Ltd.	A,C
Palos Equity Income Fund / Fonds de Revenu Actions Palos	Palos Equity Income Fund / Fonds de Revenu Actions Palos	A
Panorama Private Client Fund	Panorama Private Client Fund	A,C
Partners' Core Plus Fund	Pathfinder Asset Management Limited	A
Partners' Fund	Pathfinder Asset Management Limited	D
Partners' Real Return Plus Fund	Partners' Real Return Plus Fund	D
Passport Enhanced Global Bond Fund	McDonald Investment Management Inc.	D
Pathfinder Income Fund	Middlefield Fund Management Limited	D
PCJ Canadian Equity Fund	PCJ Investment Counsel Limited	D
PCJ Canadian Small Capitalization Fund	PCJ Investment Counsel Limited	D
Peavey Industries Mutual Fund Trust	Peavey Industries Mutual Fund Trust	D
Peer Diversified AMP Opportunities Fund	Lionridge Capital Management Inc.	D
Pender Balanced Fund	PenderFund Capital Management Ltd.	D
Pender Canadian All Cap Equity Fund	PenderFund Capital Management Ltd.	D
Pender Canadian Equity Fund	PenderFund Capital Management Ltd.	D
Pender Corporate Bond Fund	PenderFund Capital Management Ltd.	D
Pender North American Small Cap Fund	PenderFund Capital Management Ltd.	D
Pender Select Ideas Fund	PenderFund Capital Management Ltd.	D
Pender Small Cap Equity Fund	PenderFund Capital Management Ltd.	D
Pender Small Cap Opportunities Fund	PenderFund Capital Management Ltd.	D
Pender US All Cap Equity Fund	Pender US All Cap Equity Fund	D
Pender Value Fund	Pender Value Fund	D

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Pendfund Income Fund I	Pend Fund Income Inc.	A,C
Pendragon Fund	Pendragon Fund	D
Penmor Mortgage Fund II	Penmor Mortgage Fund II	D
Pensionline Canadian Equity Fund	Bank of Montreal Investment Management Ltd.	D
Pensionline Fixed Income Fund	Bank of Montreal Investment Management Ltd.	D
Pensionline Money Market Fund	Bank of Montreal Investment Management Ltd.	D
Peregrine Investment Management Trust	Peregrine Investment Management Trust	D
Perennial Equity	Perennial Asset Management Corporation	D
Perennial Fixed Income Portfolio	Perennial Asset Management Corporation	D
Performance Opportunities Fund	Performance Group Ltd.	D
Perigee Axis Cash Fund	Perigee Investment Counsel Inc.	D
Perigee Private Client Balanced Portfolio	Perigee Investment Counsel Inc.	D
Perigee Private Client Income Portfolio	Perigee Investment Counsel Inc.	D
Perigee Reserve Plus Fund	Perigee Investment Counsel Inc.	D
Pescara Canadian Focus	Pescara Partners Inc.	A,C
Pescara International Focus	Pescara Partners Inc.	A,C
Philanthropic Social Impact Fund	Philanthropic Social Impact Fund	A,C
Philips Electronics Quasi Mutual Fund Trust	Philips Electronics Ltd.	B
Phillips, Hager & North \$US Money Market Fund	RBC Global Asset Management Inc.	D
Phillips, Hager & North Balanced Fund	RBC Global Asset Management Inc.	D
Phillips, Hager & North Balanced Pension Trust	RBC Global Asset Management Inc.	D
Phillips, Hager & North Bond Fund	RBC Global Asset Management Inc.	D
Phillips, Hager & North Canadian Equity Fund	RBC Global Asset Management Inc.	D
Phillips, Hager & North Canadian Equity Pension Trust	RBC Global Asset Management Inc.	D
Phillips, Hager & North Canadian Equity Plus Pension Trust	RBC Global Asset Management Inc.	D
Phillips, Hager & North Canadian Equity Underlying Fund	RBC Global Asset Management Inc.	D
Phillips, Hager & North Canadian Equity Underlying Fund II	RBC Global Asset Management Inc.	D
Phillips, Hager & North Canadian Growth Fund	RBC Global Asset Management Inc.	D
Phillips, Hager & North Canadian Income Fund	RBC Global Asset Management Inc.	D
Phillips, Hager & North Canadian Money Market Fund	RBC Global Asset Management Inc.	D
Phillips, Hager & North Community Values Balanced Fund	RBC Global Asset Management Inc.	D
Phillips, Hager & North Community Values Bond Fund	RBC Global Asset Management Inc.	D
Phillips, Hager & North Community Values Canadian Equity Fund	RBC Global Asset Management Inc.	D
Phillips, Hager & North Conservative Equity Income Fund	RBC Global Asset Management Inc.	D
Phillips, Hager & North Conservative Pension Trust	RBC Global Asset Management Inc.	D
Phillips, Hager & North Currency-Hedged Overseas Equity Fund	RBC Global Asset Management Inc.	D
Phillips, Hager & North Currency-Hedged U.S. Equity Fund	RBC Global Asset Management Inc.	D
Phillips, Hager & North Dividend Income Fund	RBC Global Asset Management Inc.	D

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Phillips, Hager & North Global Equity RSP Fund	Phillips, Hager & North Investment Management Ltd.	D
Phillips, Hager & North Growth Pension Trust	RBC Global Asset Management Inc.	D
Phillips, Hager & North High Yield Bond Fund	RBC Global Asset Management Inc.	D
Phillips, Hager & North Inflation-Linked Bond Fund	RBC Global Asset Management Inc.	D
Phillips, Hager & North Institutional Gold & Precious Metals Fund	RBC Global Asset Management Inc.	D
Phillips, Hager & North Investment Grade Corporate Bond Fund	Phillips, Hager & North Investment Management Ltd.	D
Phillips, Hager & North LifeTime 2015 Fund	RBC Global Asset Management Inc.	D
Phillips, Hager & North LifeTime 2020 Fund	RBC Global Asset Management Inc.	D
Phillips, Hager & North LifeTime 2025 Fund	RBC Global Asset Management Inc.	D
Phillips, Hager & North LifeTime 2030 Fund	RBC Global Asset Management Inc.	D
Phillips, Hager & North LifeTime 2035 Fund	RBC Global Asset Management Inc.	D
Phillips, Hager & North LifeTime 2040 Fund	RBC Global Asset Management Inc.	D
Phillips, Hager & North LifeTime 2045 Fund	RBC Global Asset Management Inc.	D
Phillips, Hager & North LifeTime 2050 Fund	RBC Global Asset Management Inc.	D
Phillips, Hager & North LifeTime 2055 Fund	RBC Global Asset Management Inc.	D
Phillips, Hager & North Long Bond Pension Trust	RBC Global Asset Management Inc.	D
Phillips, Hager & North Long Inflation-Linked Bond Fund	RBC Global Asset Management Inc.	D
Phillips, Hager & North Mortgage Pension Trust	RBC Global Asset Management Inc.	D
Phillips, Hager & North Short Inflation-Linked Bond Fund	RBC Global Asset Management Inc.	D
Phillips, Hager & North Short Term Bond & Mortgage Fund	RBC Global Asset Management Inc.	D
Phillips, Hager & North Small Float Fund	RBC Global Asset Management Inc.	D
Phillips, Hager & North Total Return Bond Fund	RBC Global Asset Management Inc.	D
Phillips, Hager & North U.S. Multi-Style All-Cap Equity Fund	RBC Global Asset Management Inc.	D
Phillips, Hager & North Vintage Fund	RBC Global Asset Management Inc.	D
Phoenix Mortgage Trust	Holden Day Wilson	A,C
Phoenix Prudent and Opportunistic Balanced Fund	Phoenix Prudent and Opportunistic Balanced Fund	A,C
Phoenix Prudent and Opportunistic Equity Fund	Phoenix Prudent and Opportunistic Equity Fund	A,C
PHT Employee Group Retirement Plan Investment Fund	Peace Hills Trust Company	A
Picton Mahoney Diversified Strategies Fund	Picton Mahoney Asset Management	D
Picton Mahoney Fortified Active Extension Alternative Fund	Picton Mahoney Fortified Active Extension Alternative Fund	A
Picton Mahoney Fortified Equity Fund	Picton Mahoney Fortified Equity Fund	D
Picton Mahoney Fortified Income Alternative Fund	Picton Mahoney Fortified Income Alternative Fund	D
Picton Mahoney Fortified Income Fund	Picton Mahoney Fortified Income Fund	D
Picton Mahoney Fortified Market Neutral Alternative Fund	Picton Mahoney Fortified Market Neutral Alternative Fund	D
Picton Mahoney Fortified Multi-Asset Fund	Picton Mahoney Fortified Multi-Asset Fund	D
Picton Mahoney Fortified Multi-Strategy Alternative Fund	Picton Mahoney Fortified Multi-Strategy Alternative Fund	D
Picton Mahoney Global Long Short Equity	Picton Mahoney Asset Management	D

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Picton Mahoney Global Market Neutral Equity	Picton Mahoney Asset Management	D
Picton Mahoney Income Opportunities Fund	Picton Mahoney Asset Management	D
Picton Mahoney Long Short Emerging Markets Fund	Picton Mahoney Asset Management	D
Picton Mahoney Long Short Equity Fund	Picton Mahoney Asset Management	D
Picton Mahoney Long Short Global Resource Fund	Picton Mahoney Asset Management	D
Picton Mahoney Market Neutral Equity Fund	Picton Mahoney Asset Management	D
Picton Mahoney Premium Fund	Picton Mahoney Asset Management	D
Pier 21 EAFE Equity Pool (Setanta)	Pier 21 EAFE Equity Pool (Setanta)	D
Pier 21 Global Emerging Markets Pool	Pier 21 Global Emerging Markets Pool	D
Pier 21 Global Equity Pool (Setanta)	Pier 21 Global Equity Pool (Setanta)	D
Pier 21 Global Value Pool	Pier 21 Asset Management	D
Pier 21 International Equity Pool	Pier 21 Asset Management	D
Pier 21 International Growth & Value Pool	Pier 21 Asset Management	D
Pier 21 WorldWide Equity Pool	Pier 21 Asset Management	D
Pinnacle Balanced Growth Portfolio	Scotia Capital Inc.	D
Pinnacle Balanced Income Portfolio	Scotia Capital Inc.	D
Pinnacle Canadian Growth Equity Fund	ScotiaMcLeod Inc.	D
Pinnacle Canadian Mid Cap Value Equity Fund	Scotia Capital Inc.	D
Pinnacle Canadian Small Cap Growth Equity Fund	ScotiaMcLeod Inc.	D
Pinnacle Canadian Value Equity Fund	ScotiaMcLeod Inc.	D
Pinnacle Conservative Balanced Growth Portfolio	Scotia Capital Inc.	D
Pinnacle Conservative Growth Portfolio	Scotia Capital Inc.	D
Pinnacle Global Tactical Asset Allocation Fund	ScotiaMcLeod Inc.	D
Pinnacle Growth Portfolio	Scotia Capital Inc.	D
Pinnacle High Yield Income Fund	ScotiaMcLeod Inc.	D
Pinnacle Income Fund	ScotiaMcLeod Inc.	D
Pinnacle Short Term Income Fund	ScotiaMcLeod Inc.	D
Pinnacle Strategic Balanced Fund	ScotiaMcLeod Inc.	D
Point Grey Mortgage Fund	Point Grey Mortgage Fund	D
Pooled 130/30 Fund	Pooled 130/30 Fund	D
Pooled Global Equity Fund	Acuity Funds Ltd.	D
Portage La Prairie Mutual Insurance Agents' RSP Funds	The Royal Trust Company	A
Portefeuille Actions BNI	National Bank Investments Inc. / Banque Nationale Investissements Inc.	D
Portefeuille Chorus II Croissance	Fiducie Desjardins inc.	D
Portefeuille Chorus II Croissance Élevée	Fiducie Desjardins inc.	D
Portefeuille Chorus II Croissance Maximale	Fiducie Desjardins inc.	D
Portefeuille Chorus II Équilibre Croissance	Fiducie Desjardins inc.	D
Portefeuille Chorus II Équilibre Revenu	Fiducie Desjardins inc.	D
Portefeuille Chorus II Sécuritaire Boursier	Fiducie Desjardins inc.	D

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Portefeuille Conservateur BNI	National Bank Investments Inc. / Banque Nationale Investissements Inc.	D
Portefeuille Croissance BNI	National Bank Investments Inc. / Banque Nationale Investissements Inc.	D
Portefeuille Diapason Conservateur	Fiducie Desjardins inc.	D
Portefeuille Diapason Croissance	Fiducie Desjardins inc.	D
Portefeuille Diapason Croissance 100 % actions	Fiducie Desjardins inc.	D
Portefeuille Diapason Croissance élevée	Fiducie Desjardins inc.	D
Portefeuille Diapason Croissance maximale	Fiducie Desjardins inc.	D
Portefeuille Diapason Équilibré croissance	Fiducie Desjardins inc.	D
Portefeuille Diapason Équilibré revenu	Fiducie Desjardins inc.	D
Portefeuille Diapason Retraite B (Conservateur)	Fiducie Desjardins inc.	D
Portefeuille Diapason Retraite C (Revenu)	Fiducie Desjardins inc.	D
Portefeuille Diapason Retraite D (Équilibré revenu)	Fiducie Desjardins inc.	D
Portefeuille Diapason Retraite E (Équilibré croissance)	Fiducie Desjardins inc.	D
Portefeuille Diapason Retraite F (Croissance)	Fiducie Desjardins inc.	D
Portefeuille Diapason Retraite G (Croissance élevée)	Fiducie Desjardins inc.	D
Portefeuille Équilibré BNI	National Bank Investments Inc. / Banque Nationale Investissements Inc.	D
Portefeuille FÉRIQUE Audacieux	Gestion FÉRIQUE	D
Portefeuille FÉRIQUE Conservateur	Gestion FÉRIQUE	D
Portefeuille FÉRIQUE Croissance	Gestion FÉRIQUE	D
Portefeuille FÉRIQUE Équilibré	Trust Banque Nationale inc.	D
Portefeuille FÉRIQUE Pondéré	Gestion FÉRIQUE	D
Portefeuille FNB Avisé 100% actions	Fiducie Desjardins inc.	D
Portefeuille FNB Avisé Conservateur	Fiducie Desjardins inc.	D
Portefeuille FNB Avisé Croissance	Fiducie Desjardins inc.	D
Portefeuille FNB Avisé Croissance maximale	Fiducie Desjardins inc.	D
Portefeuille FNB Avisé de Revenu Fixe	Fiducie Desjardins inc.	D
Portefeuille FNB Avisé Équilibré	Fiducie Desjardins inc.	D
Portefeuille Lévesque Beaubien Geoffrion Inc. – Obligations en devises internationales	Lévesque Beaubien Geoffrion Inc.	A,C
Portefeuille Méritage FNB tactique Actions	National Bank Investments Inc. / Banque Nationale Investissements Inc.	D
Portefeuille Méritage FNB tactique Croissance	National Bank Investments Inc. / Banque Nationale Investissements Inc.	D
Portefeuille Méritage FNB tactique Équilibré	National Bank Investments Inc. / Banque Nationale Investissements Inc.	D
Portefeuille Méritage FNB tactique Modéré	National Bank Investments Inc. / Banque Nationale Investissements Inc.	D
Portefeuille Méritage FNB tactique Revenu fixe	National Bank Investments Inc. / Banque Nationale Investissements Inc.	D
Portefeuille Méritage mondial Conservateur	National Bank Investments Inc. / Banque Nationale Investissements Inc.	D

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Portefeuille Méritage mondial Croissance	National Bank Investments Inc. / Banque Nationale Investissements Inc.	D
Portefeuille Méritage mondial Croissance dynamique	National Bank Investments Inc. / Banque Nationale Investissements Inc.	D
Portefeuille Méritage mondial Modéré	National Bank Investments Inc. / Banque Nationale Investissements Inc.	D
Portefeuille Modéré BNI	National Bank Investments Inc. / Banque Nationale Investissements Inc.	D
Portefeuille privé américain croissance & revenu BNI	National Bank Investments Inc. / Banque Nationale Investissements Inc.	D
Portefeuille privé classes d'actifs multiples BNI	National Bank Investments Inc. / Banque Nationale Investissements Inc.	D
Portefeuille privé d'actifs réels BNI	National Bank Investments Inc. / Banque Nationale Investissements Inc.	D
Portefeuille privé d'appréciation du capital non traditionnel BNI	National Bank Investments Inc. / Banque Nationale Investissements Inc.	D
Portefeuille privé d'obligations canadiennes diversifié BNI	National Bank Investments Inc. / Banque Nationale Investissements Inc.	D
Portefeuille privé d'obligations municipales plus BNI	National Bank Investments Inc. / Banque Nationale Investissements Inc.	D
Portefeuille privé de dividendes nord-américains BNI	National Bank Investments Inc. / Banque Nationale Investissements Inc.	D
Portefeuille privé FDP Actions canadiennes dynamique	Financière des professionnels – Fonds d'investissement inc.	A,C
Portefeuille privé FDP Actions globales dividende	Financière des professionnels – Fonds d'investissement inc.	A,C
Portefeuille privé FDP Actions globales petite capitalisation	Financière des professionnels – Fonds d'investissement inc.	A,C
Portefeuille privé FDP Marché neutre	Financière des professionnels – Fonds d'investissement inc.	A,C
Portefeuille privé FDP Obligations canadiennes corporatives	Financière des professionnels – Fonds d'investissement inc.	A,C
Portefeuille privé FDP Répartition tactique d'actifs	Financière des professionnels – Fonds d'investissement inc.	A,C
Portefeuille privé FDP Stratégies alternatives	Financière des professionnels – Fonds d'investissement inc.	A,C
Portefeuille privé revenu d'actions BNI	National Bank Investments Inc. / Banque Nationale Investissements Inc.	D
Portefeuille privé revenu fixe non traditionnel BNI	National Bank Investments Inc. / Banque Nationale Investissements Inc.	D
Portefeuille privé tactique Actions BNI	National Bank Investments Inc. / Banque Nationale Investissements Inc.	D
Portefeuille privé tactique revenu fixe BNI	National Bank Investments Inc. / Banque Nationale Investissements Inc.	D
Portefeuille Prudent BNI	National Bank Investments Inc. / Banque Nationale Investissements Inc.	D
Portefeuille R distinction audacieux / R Bold Distinction Portfolio	BLC-Edmond de Rothschild Asset Management Inc.	D
Portefeuille R distinction dynamique / R Dynamic Distinction Portfolio	BLC-Edmond de Rothschild Asset Management Inc.	D
Portefeuille R distinction équilibré / R Balanced Distinction Portfolio	BLC-Edmond de Rothschild Asset Management Inc.	D

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Portefeuille R distinction modéré / R Conservative Distinction Portfolio	BLC-Edmond de Rothschild Asset Management Inc.	D
Portefeuille R distinction prudent / R Prudent Distinction Portfolio	BLC-Edmond de Rothschild Asset Management Inc.	D
Portefeuille SociéTerre 100% actions	Fiducie Desjardins inc.	D
Portfolio Series Balanced Growth Fund	Portfolio Series Balanced Growth Fund	D
Portfolio Series Conservative Balanced Fund	Portfolio Series Conservative Balanced Fund	D
Portfolio Series Growth Fund	Portfolio Series Growth Fund	D
Portfolio Series Maximum Growth Fund	Portfolio Series Maximum Growth Fund	D
Portland 15 of 15 Fund	Portland 15 of 15 Fund	D
Portland Advantage Fund	Portland Advantage Fund	D
Portland Advantage Plus – Everest Fund	Portland Advantage Plus – Everest Fund	D
Portland Advantage Plus – Kilimanjaro Fund	Portland Advantage Plus – Kilimanjaro Fund	D
Portland Advantage Plus – Logan Fund	Portland Advantage Plus – Logan Fund	D
Portland Advantage Plus – McKinley Fund	Portland Advantage Plus – McKinley Fund	D
Portland Canadian Balanced Fund	Portland Canadian Balanced Fund	D
Portland Canadian Focused Fund	Portland Canadian Focused Fund	D
Portland Focused Plus Fund	Portland Focused Plus Fund	D
Portland Global Aristocrats Plus Fund	Portland Global Aristocrats Plus Fund	D
Portland Global Banks Fund	Portland Global Banks Fund	D
Portland Global Dividend Fund	Portland Global Dividend Fund	D
Portland Global Income Fund	Portland Global Income Fund	D
Portland Global Sustainable Evergreen Fund	Portland Global Sustainable Evergreen Fund	D
Portland Private Income Fund	Portland Private Income Fund	D
Portland Special Opportunities Fund	Portland Special Opportunities Fund	D
Portland Value Fund	Portland Value Fund	D
Power Canadian Fund	Dundee Investment Management & Research Ltd.	D
Precious Metals and Mining Trust	Sentry Investments Inc.	D
Premiere Stable Growth Fund	BluMont Capital Corporation	D
Premium Canadian Income Fund	Mulvihill Capital Management Inc.	D
Price Street Core Fund	Spartan Fund Management Inc.	D
PrimarisRetail Real Estate Investment Trust	Primaris Real Estate Investment Trust	D
Prime Income Trust	Prime Income Trust	A,C
Prime Plus Mortgage Trust III	Prime Plus Mortgage Trust III	D
Prime Plus Mortgage Trust V	Prime Plus Mortgage Trust V	D
Prime Plus Mortgage Trust VI	Prime Plus Mortgage Trust VI	D
Prime Texan Real Estate Trust	Prime Texan Real Estate Trust	A,C
Primerica Balanced Yield Fund	PFSL Investments Canada Limited	A,C
Primerica Canadian Balanced Growth Fund	PFSL Investments Canada Limited	A,C
Primerica Canadian Money Market Fund	PFSL Investments Canada Limited	D
Primerica Global Balanced Growth Fund	PFSL Investments Canada Limited	A,C

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Primerica Global Equity Fund	PFSL Investments Canada Limited	A,C
Primerica Income Fund	PFSL Investments Canada Limited	A,C
Primevestfund	Primevest Capital Corp.	A,C
Primus Capital Advisors Global Bond Fund	Primus Capital Advisors Company	D
Primus Capital Advisors Transition Fund	Primus Capital Advisors Company	D
Primus Capital Advisors TSE 100 Index Fund	Primus Capital Advisors Company	D
Private Client Bond Portfolio	Connor, Clark & Lunn Private Capital Ltd.	D
Private Client Canadian Equity Income & Growth Portfolio II	Connor, Clark & Lunn Private Capital Ltd.	D
Private Client Canadian Equity Portfolio	Connor, Clark & Lunn Private Capital Ltd.	D
Private Client Canadian Value Portfolio	Connor, Clark & Lunn Private Capital Ltd.	D
Private Client International Equity Portfolio II	Connor, Clark & Lunn Private Capital Ltd.	D
Private Client Money Market Portfolio	Connor, Clark & Lunn Private Capital Ltd.	D
Private Client Short Term Bond Portfolio	Connor, Clark & Lunn Private Capital Ltd.	D
Private Client Small Cap Portfolio	Connor, Clark & Lunn Private Capital Ltd.	D
Private Client Socially Responsible Canadian Equity Portfolio	Connor, Clark & Lunn Investment Management Ltd.	D
Private Client U.S. Equity Income and Growth Portfolio II	Connor, Clark & Lunn Private Capital Ltd.	D
Private Client U.S. Equity Portfolio II	Connor, Clark & Lunn Private Capital Ltd.	D
PRO FTSE NA Dividend Index Fund	Pro-Financial Asset Management Inc.	D
Promac Capital Trust	Promac Capital Trust	A
Prospector Resource Fund	Prospector Resource Fund	D
Prosperity Fund	Aligned Capital Partners Inc.	D
Provident Energy Trust	Provident Energy Ltd.	D
PRT Forest Regeneration Income Fund	PRT Management Inc.	D
Prudential Growth Fund Canada Limited	National Trust	D
Pursuit Canadian Equity Fund	National Trust	D
Pursuit Income Fund	National Trust	D
Putnam Canadian Balanced Fund	Putnam Investments Inc.	D
Putnam Canadian Bond Fund	Putnam Investments Inc.	D
Putnam Canadian Equity Fund	Putnam Investments Inc.	D
Putnam Canadian Money Market Fund	Putnam Investments Inc.	D
QAM Alternative Tracking Pool	QAM Tracking Pools Master Trust	D
QAM Canadian Equity Tracking Pool	QAM Tracking Pools Master Trust	D
QAM Canadian Fixed Income Tracking Pool	QAM Tracking Pools Master Trust	D
QAM Dividend & Income Tracking Pool	QAM Tracking Pools Master Trust	D
QAM Enhanced Fixed Income Tracking Pool	QAM Tracking Pools Master Trust	D
QAM International Equity Tracking Pool	QAM Tracking Pools Master Trust	D
QAM Real Estate Tracking Pool	QAM Tracking Pools Master Trust	D
QAM Short Term Income Tracking Pool	QAM Tracking Pools Master Trust	D
QAM Small Cap Equity Tracking Pool	QAM Tracking Pools Master Trust	D

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QAM U.S. Equity Tracking Pool II	QAM Tracking Pools Master Trust	D
QAM US Equity Tracking Pool	QAM Tracking Pools Master Trust	D
QSA Canadian Equity Fund	Acker Finley Trust Management Inc.	D
QSA TM Select Canadian Equity Fund	Acker Finley Trust Management Inc.	D
Quadrus Corporation Class Inc.	Quadrus Corporation Class Inc.	D
Quadrus Trimark Balanced Fund	Mackenzie Financial Corporation	D
Quantum Growth Investment Fund	Quantum Growth Investment Fund	A
Quibik Income Fund	Quadrex Asset Management Inc.	D
Quibik Opportunities Fund	Quadrex Asset Management Inc.	D
Quintessence Wealth Enhanced Long/Short Total Return Fund	Quintessence Wealth Enhanced Long/Short Total Return Fund	D
Quorum Secured Equity Trust	Quorum P.I.P.E. Management Inc.	D
Quotavest Inc.	Quotavest Inc.	A,C
QV Canadian Balanced Fund	QV Investors Inc.	A,C
QV Canadian Bond Fund	QV Investors Inc.	D
QV Canadian Income Fund	QV Investors Inc.	D
QV Global Equity Fund	QV Investors Inc.	D
R & L Glass Long Term Investment Fund	R & L Glass Long Term Investment Fund	A
R American RSP Fund / Fonds R américain (RÉR)	Trust La Laurentienne du Canada inc.	D
R Asian RSP Fund / Fonds R Asie (RÉR)	Trust La Laurentienne du Canada inc.	D
R Life & Health RSP Fund / Fonds R vie & santé (RÉR)	Trust La Laurentienne du Canada inc.	D
R Money Market Fund / Fonds R marché monétaire	Trust La Laurentienne du Canada inc.	D
R World Leaders RSP Fund / Fonds R d'entreprises dominantes (RÉR)	Trust La Laurentienne du Canada inc.	D
R.C.M.P. section équilibrée	Trust Général du Canada	A
R.J. Macdonald Mortgage Fund	R.J. Macdonald Mortgage Fund	A
Rabin Budden Capital Fund	The Royal Trust Company	D
Rabin Budden Income Fund	The Royal Trust Company	D
RaeLipskie Partners Equity Fund	RaeLipskie Partners Equity Fund	D
Raintree Growth Fund	Raintree Growth Fund	D
Raintree Preservation Fund	Raintree Preservation Fund	D
Rayne Capital Opportunities Trust	Rayne Capital Management Inc.	A,C
RBC \$U.S. High Yield Bond Fund	RBC Global Asset Management Inc.	D
RBC \$U.S. Money Market Fund	RBC Global Asset Management Inc.	D
RBC Advisor Canadian Bond Fund	RBC Global Asset Management Inc.	D
RBC Balanced Fund	RBC Global Asset Management Inc.	D
RBC Bond Fund	RBC Global Asset Management Inc.	D
RBC Canadian Dividend Fund	RBC Global Asset Management Inc.	D
RBC Canadian Equity Fund	RBC Global Asset Management Inc.	D
RBC Canadian Government Bond Index Fund	RBC Global Asset Management Inc.	D
RBC Canadian Index Fund	RBC Global Asset Management Inc.	D

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RBC Canadian Money Market Fund	RBC Global Asset Management Inc.	A,B
RBC Canadian Preferred Share Fund	RBC Global Asset Management Inc.	D
RBC Canadian Short-Term Income Fund	RBC Global Asset Management Inc.	D
RBC Canadian T-Bill Fund	RBC Global Asset Management Inc.	D
RBC Capital Trust	The Royal Trust Company	D
RBC Capital Trust II (RBC TruCS – Series 2013)	Royal Bank of Canada	D
RBC DS All Equity Global Portfolio	RBC Global Asset Management Inc.	D
RBC DS Balanced Global Portfolio	RBC Global Asset Management Inc.	D
RBC DS Canadian Focus Fund	RBC Global Asset Management Inc.	D
RBC DS Growth Global Portfolio	RBC Global Asset Management Inc.	D
RBC Emerging Markets Bond Fund (CAD Hedged)	RBC Global Asset Management Inc.	D
RBC Emerging Markets Multi-Strategy Equity Fund	RBC Global Asset Management Inc.	D
RBC Emerging Markets Small-Cap Equity Fund	RBC Global Asset Management Inc.	D
RBC European Dividend Fund	RBC Global Asset Management Inc.	D
RBC European Mid-Cap Equity Fund	RBC Global Asset Management Inc.	D
RBC Fossil Fuel Free Global Equity Fund	RBC Global Asset Management Inc.	D
RBC Global Balanced Fund	RBC Global Asset Management Inc.	D
RBC Global Bond Fund	RBC Global Asset Management Inc.	D
RBC Global Dividend Growth Currency Neutral Fund	RBC Global Asset Management Inc.	D
RBC Global Energy Fund	RBC Global Asset Management Inc.	D
RBC Global Precious Metals Fund	RBC Global Asset Management Inc.	D
RBC Institutional Cash Fund	RBC Global Asset Management Inc.	D
RBC Institutional Government-Plus Cash Fund	RBC Global Asset Management Inc.	D
RBC Institutional Long Cash Fund	RBC Global Asset Management Inc.	D
RBC Institutional US\$ Cash Fund	RBC Global Asset Management Inc.	D
RBC International Index Currency Neutral Fund	RBC Global Asset Management Inc.	D
RBC Investments Focus List Trust, 2001 Portfolio	First Defined Portfolio Management Co.	D
RBC Managed Payout Solution – Enhanced Plus	RBC Global Asset Management Inc.	D
RBC Monthly Income Fund	RBC Global Asset Management Inc.	D
RBC Monthly Income High Yield Bond Fund	RBC Global Asset Management Inc.	D
RBC North American Growth Fund	RBC Global Asset Management Inc.	D
RBC North American Value Fund	RBC Global Asset Management Inc.	D
RBC O’Shaughnessy Canadian Equity Fund	RBC Global Asset Management Inc.	D
RBC Premium Money Market Fund	RBC Global Asset Management Inc.	D
RBC Private Canadian Bond Pool	RBC Global Asset Management Inc.	D
RBC Private Canadian Corporate Bond Pool	RBC Global Asset Management Inc.	D
RBC Private Canadian Dividend Pool	RBC Global Asset Management Inc.	D
RBC Private Canadian Equity Pool	RBC Global Asset Management Inc.	D
RBC Private Canadian Growth and Income Equity Pool	RBC Global Asset Management Inc.	A,C
RBC Private Canadian Mid Cap Equity Pool	RBC Global Asset Management Inc.	D
RBC Private Core Canadian Equity Pool	RBC Global Asset Management Inc.	D

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RBC Private Global Bond Pool	RBC Global Investment Management Inc.	D
RBC Private Income Pool	RBC Global Asset Management Inc.	D
RBC Private RSP International Index Pool	RBC Global Investment Management Inc.	D
RBC Private RSP U.S. Large Cap Index Pool	RBC Global Investment Management Inc.	D
RBC Private Short-Term Income Pool	RBC Global Asset Management Inc.	D
RBC Private U.S. Large Cap Equity Currency Neutral Pool	RBC Global Asset Management Inc.	D
RBC Private U.S. Value Equity Currency Neutral Pool	RBC Global Asset Management Inc.	D
RBC Quant EAFE Dividend Leaders (CAD Hedged) ETF	RBC Global Asset Management Inc.	D
RBC Quant Emerging Markets Dividend Leaders ETF	RBC Global Asset Management Inc.	D
RBC Quant Emerging Markets Equity Leaders ETF	RBC Global Asset Management Inc.	D
RBC Quant European Dividend Leaders (CAD) Hedged ETF	RBC Global Asset Management Inc.	D
RBC Quant European Dividend Leaders ETF	RBC Global Asset Management Inc.	D
RBC Quant Global Infrastructure Leaders ETF	RBC Global Asset Management Inc.	D
RBC Quant U.S. Dividend Leaders (CAD) Hedged ETF	RBC Global Asset Management Inc.	D
RBC QUBE 120/20 Canadian Equity Fund	RBC Global Asset Management Inc.	D
RBC QUBE All Country World Equity Fund	RBC Global Asset Management Inc.	D
RBC QUBE Canadian Equity Fund	RBC QUBE Canadian Equity Fund	D
RBC QUBE Global Equity Fund	RBC Global Asset Management Inc.	D
RBC QUBE Low Volatility All World Equity Fund	RBC Global Asset Management Inc.	D
RBC QUBE Low Volatility Canadian Equity Fund	RBC Global Asset Management Inc.	D
RBC QUBE Low Volatility Global Equity Fund	RBC Global Asset Management Inc.	D
RBC QUBE Low Volatility U.S. Equity Currency Neutral Fund	RBC Global Asset Management Inc.	D
RBC QUBE Low Volatility U.S. Equity Fund	RBC QUBE Low Volatility U.S. Equity Fund	D
RBC QUBE Market Neutral Canadian Equity Fund	RBC Global Asset Management Inc.	D
RBC QUBE Market Neutral U.S. Equity Fund	RBC Global Asset Management Inc.	D
RBC QUBE Market Neutral World Equity Fund	RBC Global Asset Management Inc.	D
RBC QUBE Market Neutral World Equity Fund (CAD Hedged)	RBC Global Asset Management Inc.	D
RBC QUBE U.S. Equity Fund	RBC Global Asset Management Inc.	D
RBC Retirement 2020 Portfolio	RBC Global Asset Management Inc.	D
RBC Retirement 2025 Portfolio	RBC Global Asset Management Inc.	D
RBC Retirement 2030 Portfolio	RBC Global Asset Management Inc.	D
RBC Retirement 2035 Portfolio	RBC Global Asset Management Inc.	D
RBC Retirement 2040 Portfolio	RBC Global Asset Management Inc.	D
RBC Retirement 2045 Portfolio	RBC Global Asset Management Inc.	D
RBC Retirement 2050 Portfolio	RBC Global Asset Management Inc.	D
RBC Retirement Income Solution	RBC Global Asset Management Inc.	D
RBC Select Choices Aggressive Growth Portfolio	RBC Global Asset Management Inc.	D
RBC Select Choices Balanced Portfolio	RBC Global Asset Management Inc.	D
RBC Select Choices Conservative Portfolio	RBC Global Asset Management Inc.	D

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RBC Select Choices Growth Portfolio	RBC Global Asset Management Inc.	D
RBC Small & Mid-Cap Resources Fund	RBC Global Asset Management Inc.	D
RBC Strategic Global Dividend Leaders ETF	RBC Global Asset Management Inc.	D
RBC Strategic Global Equity Leaders ETF	RBC Global Asset Management Inc.	D
RBC U.S. Dividend Currency Neutral Fund	RBC Global Asset Management Inc.	D
RBC U.S. Dividend Fund	RBC Global Asset Management Inc.	D
RBC U.S. Index Currency Neutral Fund	RBC Global Asset Management Inc.	D
RBC U.S. Mid-Cap Value Equity Fund	RBC U.S. Mid-Cap Value Equity Fund	D
RBC U.S. Small-Cap Value Equity Fund	RBC Global Asset Management Inc.	D
RDCM Mortgage Fund	RDCM Mortgage Fund	A,C
Real Canstock Fund	Real Advisors of Canada Ltd.	D
Real Pension Property Fund of Canada	Real Advisors of Canada Ltd.	D
Real Return Bond Fund	SEI Investments Canada Company	D
Real Return Bond Fund (Portico)	Real Return Bond Fund (Portico)	D
RealFund	First City Realfund	D
REALnorth Opportunities Fund	REALnorth Opportunities Fund	D
Red Sky Partners Fund	Red Sky Partners Fund	D
Redwood Diversified Equity Fund	Redwood Asset Management Inc.	D
Redwood Diversified Income Fund	Redwood Asset Management Inc.	D
Redwood Emerging Markets Dividend Income Fund	Redwood Asset Management Inc.	D
Redwood Energy Fund	Redwood Asset Management Inc.	A
Redwood Global Small Cap Fund	Redwood Asset Management Inc.	D
Redwood Global Total Return Bond Portfolio	Redwood Asset Management Inc.	D
Redwood Unconstrained Bond Fund	Redwood Asset Management Inc.	D
REIT & Real Estate Growth Trust '98	Moss Lawson & Co. Limited	D
Rekley Investment Fund	Rekley Investment Fund	D
Renaissance Canadian All-Cap Equity Fund (Fonds d'actions canadiennes toutes capitalisations Renaissance)	Renaissance Canadian All-Cap Equity Fund (Fonds d'actions canadiennes toutes capitalisations Renaissance)	D
Renaissance Canadian Balanced Fund (Fonds équilibré canadien Renaissance)	CIBC Asset Management Inc.	D
Renaissance Canadian Bond Fund (Fonds d'obligations canadiennes Renaissance)	CIBC Asset Management Inc.	D
Renaissance Canadian Core Value Fund	CIBC Asset Management Inc.	D
Renaissance Canadian Dividend Fund (Fonds de dividendes canadien Renaissance)	CIBC Asset Management Inc.	D
Renaissance Canadian Growth Fund	CIBC Asset Management Inc.	D
Renaissance Canadian Monthly Income Fund	CIBC Asset Management Inc.	A,C
Renaissance Canadian Small-Cap Fund (Fonds de petites capitalisations canadiennes Renaissance)	CIBC Asset Management Inc.	D
Renaissance Canadian T-Bill Fund (Fonds de bons du Trésor canadiens Renaissance)	CIBC Asset Management Inc.	D
Renaissance China Plus Fund	Renaissance China Plus Fund	D
Renaissance Corporate Bond Fund	Renaissance Corporate Bond Fund	D

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Renaissance Diversified Income Fund	CIBC Asset Management Inc.	D
Renaissance Emerging Markets Fund	CIBC Asset Management Inc.	D
Renaissance Flexible Yield Fund	Renaissance Flexible Yield Fund	D
Renaissance Floating Rate Income Fund / Fonds de revenu à taux variable Renaissance	CIBC Asset Management Inc.	D
Renaissance Global Bond Fund (Fonds d'obligations mondiales Renaissance)	CIBC Asset Management Inc.	D
Renaissance Global Equity Private Pool	Renaissance Global Equity Private Pool	D
Renaissance Global Focus Currency Neutral Fund (Fonds accent mondial neutre en devises Renaissance)	Renaissance Global Focus Currency Neutral Fund (Fonds accent mondial neutre en devises Renaissance)	D
Renaissance Global Focus Fund	CIBC Asset Management Inc.	D
Renaissance Global Growth Currency Neutral Fund (Fonds de croissance mondial neutre en devises Renaissance)	Renaissance Global Growth Currency Neutral Fund (Fonds de croissance mondial neutre en devises Renaissance)	D
Renaissance Global Growth Fund	CIBC Asset Management Inc.	D
Renaissance Global Health Care Fund	Renaissance Global Health Care Fund	D
Renaissance Global Infrastructure Currency Neutral Fund (Fonds d'infrastructure mondial neutre en devises Renaissance)	Renaissance Global Infrastructure Currency Neutral Fund (Fonds d'infrastructure mondial neutre en devises Renaissance)	D
Renaissance Global Infrastructure Fund	Renaissance Global Infrastructure Fund	D
Renaissance Global Markets Fund (Fonds des marchés mondiaux Renaissance)	CIBC Asset Management Inc.	D
Renaissance Global Real Estate Currency Neutral Fund (Fonds immobilier mondial neutre en devises Renaissance)	Renaissance Global Real Estate Currency Neutral Fund (Fonds immobilier mondial neutre en devises Renaissance)	D
Renaissance Global Real Estate Fund (Fonds immobilier mondial Renaissance)	Renaissance Global Real Estate Fund (Fonds immobilier mondial Renaissance)	D
Renaissance Global Resource Fund	CIBC Asset Management Inc.	D
Renaissance Global Science & Technology Fund	CIBC Asset Management Inc.	D
Renaissance Global Small-Cap Fund	CIBC Asset Management Inc.	D
Renaissance Global Value Fund	CIBC Asset Management Inc.	D
Renaissance High Income Fund	CIBC Asset Management Inc.	D
Renaissance High Yield Bond Fund (Fonds de revenu à court terme Renaissance)	CIBC Asset Management Inc.	D
Renaissance International Dividend Fund (Fonds de dividendes international Renaissance)	CIBC Asset Management Inc.	D
Renaissance International Equity Currency Neutral Fund (Fonds d'actions internationales neutre en devises Renaissance)	Renaissance International Equity Currency Neutral Fund (Fonds d'actions internationales neutre en devises Renaissance)	D
Renaissance International Equity Fund	CIBC Asset Management Inc.	D
Renaissance Money Market Fund (Fonds du marché monétaire Renaissance)	CIBC Asset Management Inc.	D
Renaissance Multi-Asset Global Balanced Income Private Pool	Renaissance Multi-Asset Global Balanced Income Private Pool	D
Renaissance Multi-Asset Global Balanced Private Pool	Renaissance Multi-Asset Global Balanced Private Pool	D
Renaissance Multi-Sector Fixed Income Private Pool	Renaissance Multi-Sector Fixed Income Private Pool	D
Renaissance Optimal Conservative Income Portfolio / Portefeuille optimal de revenu prudent Renaissance	CIBC Asset Management Inc.	D

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Renaissance Optimal Global Equity Currency Neutral Portfolio (Portefeuille optimal d'actions mondiales neutre en devises Renaissance)	Renaissance Optimal Global Equity Currency Neutral Portfolio (Portefeuille optimal d'actions mondiales neutre en devises Renaissance)	D
Renaissance Optimal Global Equity Portfolio	CIBC Asset Management Inc.	D
Renaissance Optimal Growth & Income Portfolio / Portefeuille optimal de croissance et de revenu Renaissance	CIBC Asset Management Inc.	D
Renaissance Optimal Income Portfolio	Renaissance Optimal Income Portfolio	D
Renaissance Optimal Inflation Opportunities Portfolio (Portefeuille optimal d'avantages sur l'inflation Renaissance)	Renaissance Optimal Inflation Opportunities Portfolio (Portefeuille optimal d'avantages sur l'inflation Renaissance)	D
Renaissance Real Assets Private Pool	Renaissance Real Asset Private Pool	D
Renaissance Real Return Bond Fund (Fonds d'obligations à rendement réel Renaissance)	CIBC Asset Management Inc.	D
Renaissance Short-Term Income Fund (Fonds de revenu à court terme Renaissance)	CIBC Asset Management Inc.	D
Renaissance U.S. Dollar Corporate Bond Fund / Fonds d'obligations de sociétés en dollars américains Renaissance	CIBC Asset Management Inc.	D
Renaissance U.S. Dollar Diversified Income Fund / Fonds de revenu diversifié en dollars américains Renaissance	CIBC Asset Management Inc.	D
Renaissance U.S. Equity Fund (Fonds d'actions américaines Renaissance)	CIBC Asset Management Inc.	D
Renaissance U.S. Equity Growth Currency Neutral Fund (Fonds d'actions américaines de croissance neutre en devises Renaissance)	Renaissance U.S. Equity Growth Currency Neutral Fund (Fonds d'actions américaines de croissance neutre en devises Renaissance)	D
Renaissance U.S. Equity Growth Fund	CIBC Asset Management Inc.	D
Renaissance U.S. Equity Income Fund / Fonds de revenu d'actions américaines Renaissance	CIBC Asset Management Inc.	D
Renaissance U.S. Equity Value Fund	Renaissance U.S. Equity Value Fund	D
Renaissance U.S. Money Market Fund (Fonds du marché monétaire américain Renaissance)	Renaissance U.S. Money Market Fund	D
Resolute Growth Fund	Resolute Funds Limited	D
Resolute Performance Fund	Resolute Funds Limited	D
RESOURCE FUND	Pathfinder Asset Management Limited	A
Retirement Residences REIT	Retirement Residences Real Estate Investment Trust	D
Rev Royalty Income and Growth Trust	Rev Royalty Income and Growth Trust	D
Richmond Equity Fund	Richmond Equity Management Ltd.	A,C
Richmond US Equity Fund	Richmond Equity Management Ltd.	A,C
Ridgewood Canadian Bond Fund	Ridgewood Capital Asset Management Inc.	D
RioCan Real Estate Investment Trust	RioCan Real Estate Investment Trust	D
Rise Properties Trust	Rise Properties Trust	D
Rival North America RRSP Growth Fund	Rival North American RRSP Growth Fund	A
Rivemont MicroCap Fund	Rivemont MicroCap Fund	A,C
RKM Investment Fund	Comat Mortgage Corporation	A
RM Global Tactical Asset Allocation Pool	RBC Global Investment Management Inc.	D
Rocklinc Partners Fund	Rocklinc Investment Partners Inc.	D

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Rogers Sugar Income Fund	Rogers Sugar Income Fund	D
ROI Global Supercycle Fund	ROI Global Supercycle Fund	D
ROMC Fund	McLean Asset Management Ltd.	A,C
ROMC Trust	ROMC Trust	D
Roundtable Absolute Alpha Fund	Roundtable Capital Partners Inc.	D
Roundtable Dividend & Income Fund	Roundtable Funds Trust	A,C
Roundtable Everkey Global Focus Fund	Roundtable Funds Trust	A,C
Roundtable Growth Fund	Roundtable Funds Trust	A,C
Roundtable Opportunities Fund	Roundtable Funds Trust	A,C
Roundtable U.S. Dividend & Income Fund	Roundtable Funds Trust	A,C
Royal Managed Canadian Bond Fund	The Royal Trust Company	D
Royal Managed Global Bond Fund	The Royal Trust Company	D
Royal Trust 'R' Fund	The Royal Trust Company	D
Royal Trust Government Bond Index Fund	The Royal Trust Company	D
Royal Trust Short-Term Investment Fund I	The Royal Trust Company	A
Royal Trust Short-Term Investment Fund II	The Royal Trust Company	D
Roycom Summit TDF Fund	Roycom Advisors Inc.	D
RT Funds Real Estate Income Fund	RT Funds Real Estate Income Fund	D
Russell Canadian Dividend Pool	Russell Canadian Dividend Pool	D
Russell Canadian Equity Fund	Frank Russell Canada Limited	D
Russell Canadian Fixed Income Fund	Frank Russell Canada Limited	D
Russell Enhanced Canadian Growth & Income Portfolio	Russell Enhanced Canadian Growth & Income Portfolio	D
Russell Focused Canadian Equity Pool	Russell Focused Canadian Equity Pool	D
Russell Global Equity Fund	Frank Russell Canada Limited	A,B
Russell Smaller Companies Pool	Russell Smaller Companies Pool	D
S.B.X. Fixed Income Fund	S.B.X. Capital Services Inc.	A
SAGE Income Fund	Sage High Yield Management Limited	D
Sarbit Real Return Bond Trust	Sarbit Asset Management Inc.	B,C
Satelles Investment Fund	Satelles Investment Fund	A
Saxon Diversified Value Trust	Skylon Advisors Inc.	D
Saxon Global Small Cap Fund	Saxon Global Small Cap Fund	A,C
Saxon Microcap Fund	Saxon Microcap Fund	A,C
Saxon U.S. Small Cap Fund	Saxon U.S. Small Cap Fund	A,C
Scale Opportunities Fund	Spartan Fund Management Inc.	D
Sceptre Income & Growth Trust	Sceptre Fund Management Inc.	D
Sceptre Income & High Growth Trust	Sceptre Fund Management Inc.	D
Sceptre Personal Investment Funds – Canadian Equity Section	Sceptre Investment Counsel Ltd.	D
Sceptre Personal Investment Funds – Fixed Income Section	Sceptre Investment Counsel Ltd.	D
Sceptre Personal Investment Funds – Money Market Section	Sceptre Investment Counsel Ltd.	D

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Sceptre Personal Investment Funds – Registered Balanced Section	Sceptre Investment Counsel Ltd.	D
Scheer Rowlett & Associates Canadian Equity Fund	Scheer Rowlett & Associates	D
Scheer Rowlett & Associates Money Market Fund	Scheer Rowlett & Associates	D
Scheer Rowlett & Associates Short Term Bond Fund	Scheer Rowlett & Associates	D
Schlumberger Cdn. Ltd. Master Profit Sharing Trust – Fixed Income Fund	Schlumberger Canada Limited	B
Schlumberger Cdn. Ltd. Master Profit Sharing Trust – General Fund	Schlumberger Canada Limited	B
SCITI Trust	SCITI Limited	D
SciVest Aggressive Market Neutral Equity Fund	SciVest Alternative Strategies Inc.	A,C
SciVest Market Neutral Equity Fund	SciVest Alternative Strategies Inc.	A,C
Scotia Aria Conservative Build Portfolio	1832 Asset Management L.P.	D
Scotia Aria Conservative Core Portfolio	1832 Asset Management L.P.	D
Scotia Aria Conservative Pay Portfolio	1832 Asset Management L.P.	D
Scotia Aria Equity Build Portfolio	1832 Asset Management L.P.	D
Scotia Aria Equity Defend Portfolio	1832 Asset Management L.P.	D
Scotia Aria Equity Pay Portfolio	1832 Asset Management L.P.	D
Scotia Aria Moderate Build Portfolio	1832 Asset Management L.P.	D
Scotia Aria Moderate Core Portfolio	1832 Asset Management L.P.	D
Scotia Aria Moderate Pay Portfolio	1832 Asset Management L.P.	D
Scotia Aria Progressive Build Portfolio	1832 Asset Management L.P.	D
Scotia Aria Progressive Core Portfolio	1832 Asset Management L.P.	D
Scotia Aria Progressive Pay Portfolio	1832 Asset Management L.P.	D
Scotia Bond Fund	Scotia Bond Fund	D
Scotia Canadian Balanced Fund	Scotia Securities Inc.	D
Scotia Canadian Blue Chip Fund	Scotia Securities Inc.	D
Scotia Canadian Bond Index Fund	Scotia Securities Inc.	D
Scotia Canadian Corporate Bond Fund	Scotia Securities Inc.	D
Scotia Canadian Dividend Fund	Scotia Securities Inc.	D
Scotia Canadian Dividend Income Fund	Scotia Canadian Dividend Income Fund	D
Scotia Canadian Growth Fund	Scotia Securities Inc.	B
Scotia Canadian Income Fund	Scotia Securities Inc.	D
Scotia Canadian Small Cap Fund	Scotia Securities Inc.	D
Scotia Canadian Stock Index Fund	Scotia Securities Inc.	D
Scotia CanAm Stock Index Fund	Scotia Securities Inc.	D
Scotia CanAm U.S. \$ Income Fund	Scotia Securities Inc.	D
Scotia CanAm U.S. \$ Money Market Fund	Scotia Securities Inc.	D
Scotia CanGlobal Income Fund	Scotia Securities Inc.	D
Scotia Cassels Canadian Equity Fund	Scotia Securities Inc.	D
Scotia Cassels North American Equity Fund	Scotia Securities Inc.	D
Scotia Conservative Income Fund	1832 Asset Management L.P.	D

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Scotia Diversified Monthly Income Fund	Scotia Securities Inc.	D
Scotia European Fund	Scotia European Fund	D
Scotia Floating Rate Income Fund	1832 Asset Management L.P.	D
Scotia Global Balanced Fund	Scotia Global Balanced Fund	D
Scotia Global Climate Change Fund	Scotia Global Climate Change Fund	D
Scotia Global Dividend Fund	Scotia Global Dividend Fund	D
Scotia Global Growth Fund	Scotia Global Growth Fund	D
Scotia Global Opportunities Fund	Scotia Global Opportunities Fund	D
Scotia Global Small Cap Fund	Scotia Global Small Cap Fund	D
Scotia Income Advantage Fund	Scotia Income Advantage Fund	D
Scotia INNOVA Balanced Growth Portfolio	Scotia INNOVA Balanced Growth Portfolio	D
Scotia INNOVA Balanced Income Portfolio	Scotia INNOVA Balanced Income Portfolio	D
Scotia INNOVA Growth Portfolio	Scotia INNOVA Growth Portfolio	D
Scotia INNOVA Income Portfolio	Scotia INNOVA Income Portfolio	D
Scotia INNOVA Maximum Growth Portfolio	Scotia INNOVA Maximum Growth Portfolio	D
Scotia International Stock Index Fund	Scotia Securities Inc.	D
Scotia International Value Fund	Scotia International Value Fund	D
Scotia Latin American Fund	Scotia Latin American Fund	D
Scotia Long Short Equity Fund	1832 Asset Management L.P.	D
Scotia Money Market Fund	Scotia Securities Inc.	D
Scotia Mortgage Income Fund	Scotia Securities Inc.	D
Scotia Nasdaq Index Fund	Scotia Securities Inc.	D
Scotia Pacific Rim Fund	Scotia Pacific Rim Fund	D
Scotia Partners Aggressive Growth Portfolio	Scotia Securities Inc.	D
Scotia Partners Balanced Income & Growth Portfolio	Scotia Securities Inc.	D
Scotia Partners Conservative Growth Portfolio	Scotia Securities Inc.	D
Scotia Partners Diversified Income Portfolio	Scotia Partners Diversified Income Portfolio	D
Scotia Partners Growth Portfolio	1832 Asset Management L.P.	D
Scotia Partners Income & Modest Growth Portfolio	Scotia Securities Inc.	D
Scotia Precious Metals Fund	Scotia Securities Inc.	D
Scotia Premium T-Bill Fund	Scotia Securities Inc.	D
Scotia Private Advantage Income Pool	Scotia Private Advantage Income Pool	D
Scotia Private Canadian Preferred Share Pool	Scotia Private Canadian Preferred Share Pool	D
Scotia Private Cyclical Opportunities Pool	Scotia Private Cyclical Opportunities Pool	D
Scotia Private Emerging Markets Pool	Scotia Private Emerging Markets Pool	D
Scotia Private Global Equity Pool	1832 Asset Management L.P.	D
Scotia Private Global High Yield Pool	1832 Asset Management L.P.	D
Scotia Private Global Infrastructure Pool	1832 Asset Management L.P.	D
Scotia Private Global Low Volatility Equity Pool	1832 Asset Management L.P.	D
Scotia Private High Yield Bond Pool	1832 Asset Management L.P.	D
Scotia Private International Core Equity Pool	Scotia Private International Core Equity Pool	D

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Scotia Private International Equity Pool	1832 Asset Management L.P.	D
Scotia Private Options Income Pool	1832 Asset Management L.P.	D
Scotia Private Real Estate Income Pool	Scotia Private Real Estate Income Pool	D
Scotia Private Short-Mid Government Fund	Scotia Private Short-Mid Government Fund	D
Scotia Private Total Return Bond Pool	1832 Asset Management L.P.	D
Scotia Private U.S. Dividend Pool	Scotia Private U.S. Dividend Pool	D
Scotia Private U.S. Equity Pool	Scotia Private U.S. Equity Pool	D
Scotia Private U.S. Large Cap Growth Pool	1832 Asset Management L.P.	D
Scotia Private U.S. Mid Cap Growth Pool	1832 Asset Management L.P.	D
Scotia Private U.S. Value Pool	1832 Asset Management L.P.	D
Scotia Private World Infrastructure Pool	1832 Asset Management L.P.	D
Scotia Resource Fund	1832 Asset Management L.P.	D
Scotia Selected Aggressive Growth Portfolio	Scotia Selected Aggressive Growth Portfolio	D
Scotia Selected Balance Income & Growth Fund	Scotia Securities Inc.	D
Scotia Selected Income & Modest Growth Fund	Scotia Securities Inc.	D
Scotia Selected Income Portfolio	Scotia Selected Income Portfolio	D
Scotia Selected Moderate Growth Portfolio	Scotia Selected Moderate Growth Portfolio	D
Scotia Short Term Bond Fund	Scotia Short Term Bond Fund	D
Scotia Strategic Canadian Equity ETF Portfolio	1832 Asset Management L.P.	D
Scotia Strategic Fixed Income ETF Portfolio	1832 Asset Management L.P.	D
Scotia Strategic International Equity ETF Portfolio	1832 Asset Management L.P.	D
Scotia Strategic U.S. Equity ETF Portfolio	1832 Asset Management L.P.	D
Scotia T-Bill Fund	Scotia Securities Inc.	D
Scotia U.S. \$ Balanced Fund	Scotia U.S. \$ Balanced Fund	D
Scotia U.S. Blue Chip Fund	Scotia U.S. Blue Chip Fund	D
Scotia U.S. Dividend Fund	Scotia U.S. Dividend Fund	D
Scotia U.S. Index Fund	Scotia U.S. Index Fund	D
Scotia U.S. Opportunities Fund	Scotia U.S. Opportunities Fund	D
Scotia Vision Aggressive 2010 Fund	Scotia Securities Inc.	D
Scotia Vision Aggressive 2015 Fund	Scotia Securities Inc.	D
Scotia Vision Aggressive 2020 Fund	Scotia Securities Inc.	D
Scotia Vision Aggressive 2030 Fund	Scotia Securities Inc.	D
Scotia Vision Conservative 2010 Fund	Scotia Securities Inc.	D
Scotia Vision Conservative 2015 Fund	Scotia Securities Inc.	D
Scotia Vision Conservative 2020 Fund	Scotia Securities Inc.	D
Scotia Vision Conservative 2030 Fund	Scotia Securities Inc.	D
Scotiabank Capital Trust	Computershare Trust Company of Canada	D
ScotiaMcLeod Canadian Core Portfolio	First Defined Portfolio Management Co.	D
Scott Income Trust	SIT Income Services Inc.	A
Scudder Canadian Bond Fund	Scudder Canada Investor Services	D
Scudder Canadian Equity Fund	Scudder Canada Investor Services	D

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Scudder Canadian Money Market Fund	Scudder Canada Investor Services	D
Scudder Canadian Short Term Bond Fund	Scudder Canada Investor Services	D
Scudder Canadian Small Companies Fund	Scudder Canada Investor Services	D
SEAMARK Pooled Balanced Fund	SEAMARK Asset Management Ltd.	D
SEAMARK Pooled Canadian Bond Fund	SEAMARK Asset Management Ltd.	D
SEAMARK Pooled Canadian Equity Fund	SEAMARK Asset Management Ltd.	D
SEAMARK Pooled Foreign Equity Fund	SEAMARK Asset Management Ltd.	D
SEAMARK Pooled Low Volatility Equity Fund	SEAMARK Asset Management Ltd.	D
SEAMARK Pooled Money Market Fund	SEAMARK Asset Management Ltd.	D
SEAMARK Pooled Total Equity Fund	SEAMARK Asset Management Ltd.	D
SEAMARK Pooled U.S. Equity Fund	SEAMARK Asset Management Ltd.	D
Select Canadian Equity Managed Fund	Select Canadian Equity Managed Fund	D
Select Income Advantage Managed Fund	Select Income Advantage Managed Trust	D
Select Staging Fund	CI Investments Inc.	D
Select U.S. Equity Managed Fund	Select U.S. Equity Managed Fund	D
Sentry All Cap Income Fund	Sentry Investments Inc.	D
Sentry Alternative Asset Income Fund	Sentry Alternative Asset Income Fund	D
Sentry Canadian Bond Fund	Sentry Canadian Bond Fund	D
Sentry Canadian Core Fixed Income Private Trust	Sentry Canadian Core Fixed Income Private Trust	D
Sentry Canadian Equity Fund	Sentry Canadian Equity Fund	D
Sentry Canadian Equity Income Private Trust	Sentry Investments Inc.	D
Sentry Canadian Fixed Income Private Pool	Sentry Investments Inc.	D
Sentry Canadian Income Fund	Sentry Investments Inc.	D
Sentry Conservative Balanced Income Fund	Sentry Investments Inc.	D
Sentry Conservative Monthly Income Fund	Sentry Conservative Monthly Income Fund	D
Sentry Corporate Bond Fund	Sentry Corporate Bond Fund	D
Sentry Diversified Equity Fund	Sentry Select Capital Corporation	D
Sentry Energy Fund	Sentry Investments Inc.	D
Sentry Energy Private Trust	Sentry Energy Private Trust	D
Sentry Global Core Fixed Income Private Trust	Sentry Investments Inc.	D
Sentry Global Growth and Income Fund	Sentry Global Growth and Income Fund	D
Sentry Global High Yield Bond Fund	Sentry Investments Inc.	D
Sentry Global High Yield Fixed Income Private Trust	Sentry Investments Inc.	D
Sentry Global Infrastructure Fund	Sentry Investments Inc.	D
Sentry Global Infrastructure Private Trust	Sentry Investments Inc.	D
Sentry Global Mid Cap Income Fund	Sentry Global Mid Cap Income Fund	D
Sentry Global Monthly Income Fund	Sentry Investments Inc.	D
Sentry Global Real Estate Private Trust	Sentry Investments Inc.	D
Sentry Global REIT Fund	Sentry Investments Inc.	D
Sentry Global Tactical Fixed Income Private Pool	Sentry Investments Inc.	D
Sentry Growth and Income Fund	Sentry Investments Inc.	D

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Sentry International Equity Income Private Trust	Sentry International Equity Income Private Trust	D
Sentry Money Market Fund	Sentry Investments Inc.	D
Sentry Precious Metals Fund	Sentry Investments Inc.	D
Sentry Precious Metals Private Trust	Sentry Investments Inc.	D
Sentry Real Long Term Income Trust	Sentry Investments Inc.	D
Sentry Real Mid Term Income Trust	Sentry Real Mid Term Income Trust	D
Sentry Real Short Term Income Trust	Sentry Real Short Term Income Trust	D
Sentry Select Canadian Resource Fund Ltd.	Sentry Select Capital Corporation	D
Sentry Select Global Small Cap Fund	Sentry Select Global Small Cap Fund	D
Sentry Select Global Value Fund	Sentry Select Global Value Fund	D
Sentry Select Market Neutral RRSP Fund	Sentry Select Market Neutral RRSP Fund	D
Sentry Select Wealth Management Fund 2001	Sentry Select Capital Corporation	D
Sentry Small/Mid Cap Income Fund	Sentry Investments Inc.	D
Sentry U.S. Equity Income Private Trust	Sentry Investments Inc.	D
Sentry U.S. Growth and Income Fund	Sentry Investments Inc.	D
Sentry U.S. Monthly Income Fund	Sentry U.S. Monthly Income Fund	D
Serracan Fixed Income Fund	Serracan Capital Services Inc.	A
Serracan Fixed Income Fund II	Serracan Capital Services Inc.	D
Sevenoaks Opportunities	Redwood Asset Management Inc.	D
Seymour Canadian Equity Fund	Seymour Investment Management Ltd.	A,C
Seymour Mid-Cap Equity Fund	Seymour Investment Management Ltd.	A,C
Seymour Performance Fund	Seymour Investment Management Ltd.	A,C
SFRO Balanced Fund	SFRO Balanced Fund	A,C
Sherpa Diversified Returns Fund	Sherpa Asset Management Inc.	D
Sherpa Market Neutral Income Fund	Sherpa Market Neutral Income Fund	D
Short Term Bond Fund	SEI Investments Canada Company	D
Short Term Bond Fund (Portico)	Short Term Bond Fund (Portico)	D
SIA Tactical Market Neutral Pool	SIA Wealth Management Inc.	D
SIA Tactical U.S. Pool	SIA Wealth Management Inc.	D
Sigil Investment Trust	Sigil Investment Trust	A,B
Signature American Small Companies RSP Fund	CI Investments Inc.	D
Signature Canadian Balanced Fund	CI Investments Inc.	D
Signature Canadian Bond Pool	Signature Canadian Bond Pool	D
Signature Canadian Equity Plus Fund	Signature Canadian Equity Plus Fund	D
Signature Canadian Fund	CI Investments Inc.	D
Signature Cash Management Fund	Signature Cash Management Fund	D
Signature Corporate Bond Pool	Signature Corporate Bond Pool	D
Signature Diversified Yield Fund	Signature Diversified Yield Fund	D
Signature Diversified Yield II Fund	Signature Diversified Yield II Fund	D
Signature Diversified Yield Trust	Signature Diversified Yield Trust	D
Signature Floating Rate Income Pool	CI Investments Inc.	D

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Signature Global Bond Fund	Signature Global Bond Fund	D
Signature Global Dividend Fund	Signature Global Dividend Fund	D
Signature Global Income & Growth Fund	Signature Global Income & Growth Fund	D
Signature Global Income & Growth Pool	Signature Global Income & Growth Pool	D
Signature Global Small Companies RSP Fund	CI Investments Inc.	D
Signature High Yield Bond Fund	Signature High Yield Bond Fund	D
Signature High Yield Bond II Fund	Signature High Yield Bond II Fund	D
Signature Preferred Share Pool	Signature Preferred Share Pool	D
Signature Real Estate Pool	Signature Real Estate Pool	D
Signature Select Global Fund	Signature Select Global Fund	D
Signature Tactical Bond Pool	Signature Tactical Bond Pool	D
Silvercove Hard Asset Trust	Silvercove Fund Management Ltd.	D
Sionna Opportunities Fund	Sionna Opportunities Fund	A,C
Skylon All Asset Trust	Skylon All Asset Trust	D
Skylon Convertible & Yield Advantage Trust	Skylon Advisors Inc.	D
Skylon Growth & Income Trust	Skylon Advisors Inc.	D
Skylon International Advantage Yield Trust	Skylon Advisors Inc.	D
Small-Cap Pooled Fund	The Royal Trust Company	D
Small-Cap Private Client Pooled Fund	The Royal Trust Company	D
SMC Equity Partners 1999 Fund	Scotia Merchant Capital Corporation	D
SMC Equity Partners 2001 Fund	Scotia Merchant Capital Corporation	D
SMC Equity Partners 2002 Fund	Scotia Merchant Capital Corporation	D
SMC Equity Partners 2002-B Fund	Scotia Merchant Capital Corporation	D
SMC Equity Partners 2003 Fund	Scotia Merchant Capital Corporation	D
SMC Equity Partners 2004 Fund	Scotia Merchant Capital Corporation	D
SMC Equity Partners 2005 Fund	SMC Equity Partners 2005 Fund	D
Smedley Special Opportunities Fund	Morgan Meighen & Associates Limited	D
Smith, Kline and French Equity Fund	The Royal Trust Company	A
Social Integrity Canadian Equity Fund	SEI Investments Canada Company	D
Sovereign Canadian Equity Pool	Frank Russell Canada Limited	D
Sovereign Canadian Fixed Income Pool	Frank Russell Canada Limited	D
Sovereign Diversified Monthly Income Portfolio	Frank Russell Canada Limited	A,B
Sovereign Global Equity Pool	Frank Russell Canada Limited	D
Sovereign Money Market Pool	Frank Russell Canada Limited	D
Specfund Ltd.	Specfund Ltd.	A
Spectrum Asset Allocation Fund	Spectrum Investment Management Ltd.	D
Spectrum Canadian Balanced Portfolio	Spectrum Investment Management Ltd.	D
Spectrum Canadian Conservative Portfolio	Spectrum Investment Management Ltd.	D
Spectrum Canadian Equity Fund	Spectrum Investment Management Ltd.	D
Spectrum Canadian Growth Fund	Spectrum Investment Management Ltd.	D
Spectrum Canadian Growth Portfolio	Spectrum Investment Management Ltd.	D

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Spectrum Canadian Income Portfolio	Spectrum Investment Management Ltd.	D
Spectrum Canadian Investment Fund	Spectrum Investment Management Ltd.	D
Spectrum Canadian Maximum Growth Portfolio	Spectrum Investment Management Ltd.	D
Spectrum Canadian Resource Fund	Spectrum Investment Management Ltd.	D
Spectrum Canadian Small-Mid Cap Fund	Spectrum Investment Management Ltd.	D
Spectrum Diversified Fund	Spectrum Investment Management Ltd.	D
Spectrum Dividend Fund	Spectrum Investment Management Ltd.	D
Spectrum Long-Term Bond Fund	Spectrum Investment Management Ltd.	D
Spectrum RRSP American Growth Fund	Spectrum Investment Management Ltd.	D
Spectrum RRSP European Growth Fund	Spectrum Investment Management Ltd.	D
Spectrum RRSP Global Financial Services Fund	Spectrum Investment Management Ltd.	D
Spectrum RRSP Global Growth Fund	Spectrum Investment Management Ltd.	D
Spectrum RRSP Global Health Sciences Fund	Spectrum Investment Management Ltd.	D
Spectrum RRSP Global Telecommunications Fund	Spectrum Investment Management Ltd.	D
Spectrum RRSP International Bond Fund	Spectrum Investment Management Ltd.	D
Spectrum RRSP Tactonics Fund	Spectrum Investment Management Ltd.	D
Spectrum RRSP World Equity Fund	Spectrum Investment Management Ltd.	D
Spectrum RRSP World Growth Managers Fund	Spectrum Investment Management Ltd.	D
Spectrum Short-Term Bond Fund	Spectrum Investment Management Ltd.	D
Sprott Canadian Equity Class	Sprott Corporate Class Inc.	A,C
Sprott Canadian Equity Fund	Sprott Securities Limited	D
Sprott Focused Global Balanced Class	Sprott Corporate Class Inc.	A,C
Sprott Focused US Balanced Class	Sprott Corporate Class Inc.	A,C
Sprott Global Agriculture Fund	Sprott Global Agriculture Fund	A,C
Sprott Gold and Precious Minerals Class	Sprott Corporate Class Inc.	A,C
Sprott Gold Bullion Class	Sprott Corporate Class Inc.	A,C
Sprott Silver Bullion Class	Sprott Corporate Class Inc.	A,C
Sprott Small Cap Equity Fund	Sprott Small Cap Equity Fund	A,C
Sprott Tactical Balanced Class	Sprott Corporate Class Inc.	A,C
Sprott Tactical Balanced Fund	Sprott Tactical Balanced Fund	A,C
Sprott Timber Fund	Sprott Timber Fund	A,C
SRA/PCJ Canadian Equity Core Fund	The Royal Trust Company	D
StableView Progressive Growth Fund	StableView Progressive Growth Fund	D
StableView Yield & Growth Fund	StableView Asset Management Inc.	D
Stacey RSP Fund	Jeffrey D. Stacey & Associates Ltd.	D
Standard Life Aggressive Portfolio Fund	Manulife Trust Services Limited	D
Standard Life Balanced Fund	Standard Life Mutual Funds Ltd.	D
Standard Life Canadian Bond Fund	Standard Life Mutual Funds Ltd.	D
Standard Life Canadian Dividend Growth Fund	Standard Life Mutual Funds Ltd.	D
Standard Life Canadian Equity Fund	Standard Life Mutual Funds Ltd.	D
Standard Life Canadian Equity Growth Fund	Standard Life Canadian Equity Growth Fund	D

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Standard Life Canadian Equity Value Fund	Manulife Trust Services Limited	D
Standard Life Canadian Small Cap Fund	Standard Life Mutual Funds Ltd.	D
Standard Life Conservative Portfolio Fund	Manulife Trust Services Limited	D
Standard Life Corporate Bond Fund	Standard Life Mutual Funds Ltd.	D
Standard Life Corporate Class Inc.	Standard Life Corporate Class Inc.	D
Standard Life Diversified Income Fund	Manulife Trust Services Limited	D
Standard Life Dividend Growth & Income Portfolio Fund	Manulife Trust Services Limited	D
Standard Life Emerging Markets Debt Fund	Standard Life Emerging Markets Debt Fund	D
Standard Life Emerging Markets Dividend Fund	Standard Life Emerging Markets Dividend Fund	D
Standard Life European Equity Fund	Standard Life European Equity Fund	D
Standard Life Global Absolute Return Strategies Fund	Manulife Trust Services Limited	D
Standard Life Global Bond Fund	Standard Life Mutual Funds Ltd.	D
Standard Life Global Dividend Growth Fund	Standard Life Global Dividend Growth Fund	D
Standard Life Global Equity Fund	Standard Life Global Equity Fund	D
Standard Life Global Equity Value Fund	Manulife Trust Services Limited	D
Standard Life Global Portfolio Fund	Standard Life Global Portfolio Fund	D
Standard Life Global Real Estate Fund	Standard Life Global Real Estate Fund (M3056)	D
Standard Life Growth Portfolio Fund	Manulife Trust Services Limited	D
Standard Life High Yield Bond Fund	Manulife Trust Services Limited	D
Standard Life International Equity Fund (MF89)	Standard Life International Equity Fund (MF89)	D
Standard Life Moderate Portfolio Fund	Manulife Trust Services Limited	D
Standard Life Money Market Fund	Standard Life Mutual Funds Ltd.	D
Standard Life Short Term Bond Fund	Standard Life Short Term Bond Fund	D
Standard Life Tactical Bond Fund	Manulife Trust Services Limited	D
Standard Life Tactical Income Fund	Standard Life Global Monthly Income Fund	D
Standard Life U.S. Monthly Income Fund	Manulife Trust Services Limited	D
Stanford Income Trust I	SIT Income Services Inc.	A
STaRS Income Fund	Middlefield STRS Management Limited	D
SteadyHand Equity Fund	Steadyhand Investment Funds Inc.	D
SteadyHand Founders Fund	Steadyhand Investment Funds Inc.	D
SteadyHand Global Equity Fund	Steadyhand Investment Funds Inc.	D
SteadyHand Income Fund	Steadyhand Investment Funds Inc.	D
SteadyHand Savings Fund	Steadyhand Investment Funds Inc.	D
SteadyHand Small-Cap Equity Fund	Steadyhand Investment Funds Inc.	D
Steele Income Trust	Steele Income Trust	A
STEERS Credit-Backed Trust, Series 2003-1 (Crown Street MINTSTM 11)	BNY Trust Company of Canada	B
Stone & Co. Corporate Funds Limited	Stone & Company Ltd.	A,C
Stone & Co. Europlus Dividend Growth Fund	Stone & Company Ltd.	D
Stone & Co. Flagship Global Growth Fund	Stone & Company Ltd.	D
Stone & Co. Flagship Growth & Income Fund Canada	Stone & Company Ltd.	D

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Stone & Co. Flagship Money Market Fund Canada	Stone & Company Ltd.	D
Stone & Co. Flagship Stock Fund Canada	Stone & Company Ltd.	D
Stone & Co. Growth Industries Fund	Stone & Company Ltd.	D
Stone GaleForce Investment Corporation	Stone GaleForce Investment Corporation	D
Strata Income Fund	Middlefield Strata Administration Limited	D
Strategic Growth Portfolio	Goodman & Company, Investment Counsel Ltd.	D
Strategic Income Trust	Strategic Financial Corp.	A
StrategicNova Canadian Large Cap Growth Fund	Dynamic Mutual Funds Ltd.	D
Strathy Growth Fund	Strathy Investments Ltd.	D
Strathy Income Fund	Strathy Investments Ltd.	D
Strongco Income Fund	Strongco Income Fund	D
STYLUS Growth Fund	STYLUS Asset Management	A,C
STYLUS Momentum Fund	STYLUS Asset Management	A,C
STYLUS U.S. Blended Equity Fund	STYLUS Asset Management	A,C
STYLUS Value With Income Fund	STYLUS Asset Management	A,C
STYLUS Wealth Protection Fund	STYLUS Asset Management	A,C
Successful Investor American Fund	Successful Investor Wealth Management Inc.	D
Successful Investor Canadian Fund	Successful Investor Wealth Management Inc.	D
Successful Investor Growth and Income Fund	Successful Investor Wealth Management Inc.	D
Successful Investor Stock Picker Fund	Successful Investor Wealth Management Inc.	D
Summit REIT	Summit Real Estate Investment Trust	A,C
Sun Alta Money-Market Fund	Sun Alta Investment Counsel Ltd.	D
Sun Alta RSP Equity Fund	Sun Alta Investment Counsel Ltd.	D
Sun Alta RSP Income Fund	Sun Alta Investment Counsel Ltd.	D
Sun Life Beutel Goodman Canadian Bond Fund	Sun Life Global Investments (Canada) Inc.	D
Sun Life BlackRock Canadian Balanced Fund	Sun Life Global Investments (Canada) Inc.	D
Sun Life BlackRock Canadian Equity Fund	Sun Life Global Investments (Canada) Inc.	D
Sun Life Capital Trust Registered Investment	Sun Life Capital Trust	D
Sun Life Dynamic American Value Fund	Sun Life Global Investments (Canada) Inc.	D
Sun Life Dynamic Energy Fund	Sun Life Global Investments (Canada) Inc.	D
Sun Life Dynamic Equity Income Fund	Sun Life Global Investments (Canada) Inc.	D
Sun Life Dynamic Strategic Yield Fund	Sun Life Dynamic Strategic Yield Fund	D
Sun Life Global Investments Corporate Class Inc.	Sun Life Global Investments (Canada) Inc.	D
Sun Life JPMorgan International Equity fund	Sun Life JPMorgan International Equity fund	B,C
Sun Life Managed Balanced Growth Portfolio	Sun Life Managed Balanced Growth Portfolio	D
Sun Life Managed Balanced Portfolio	Sun Life Managed Balanced Portfolio	D
Sun Life Managed Conservative Portfolio	Sun Life Managed Conservative Portfolio	D
Sun Life Managed Enhanced Income Portfolio	Sun Life Managed Enhanced Income Portfolio	D
Sun Life Managed Growth Portfolio	Sun Life Managed Growth Portfolio	D
Sun Life Managed Income Portfolio	Sun Life Managed Income Portfolio	D
Sun Life Managed Moderate Portfolio	Sun Life Managed Moderate Portfolio	D

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Sun Life McLean Budden Canadian Bond Fund	Sun Life Global Investments (Canada) Inc.	D
Sun Life McLean Budden Monthly Income Fund	Sun Life Global Investments (Canada) Inc.	D
Sun Life MFS Global Growth Fund	Sun Life Global Investments (Canada) Inc.	D
Sun Life MFS Global Total Return Fund	Sun Life Global Investments (Canada) Inc.	D
Sun Life MFS Global Value Fund	Sun Life Global Investments (Canada) Inc.	D
Sun Life MFS International Growth Fund	Sun Life Global Investments (Canada) Inc.	D
Sun Life MFS International Value Fund	Sun Life Global Investments (Canada) Inc.	D
Sun Life MFS Low Volatility Global Equity Fund	Sun Life Global Investments (Canada) Inc.	D
Sun Life MFS Low Volatility International Equity Fund	Sun Life Global Investments (Canada) Inc.	D
Sun Life MFS US Growth Fund	Sun Life Global Investments (Canada) Inc.	D
Sun Life MFS US Value Fund	Sun Life Global Investments (Canada) Inc.	D
Sun Life Milestone 2020 Fund	Sun Life Global Investments (Canada) Inc.	D
Sun Life Milestone 2025 Fund	Sun Life Global Investments (Canada) Inc.	D
Sun Life Milestone 2030 Fund	Sun Life Global Investments (Canada) Inc.	D
Sun Life Milestone 2035 Fund	Sun Life Global Investments (Canada) Inc.	D
Sun Life Money Market Fund	Sun Life Global Investments (Canada) Inc.	D
Sun Life NWQ Flexible Income Fund	Sun Life Global Investments (Canada) Inc.	D
Sun Life Sentry Conservative Balanced Fund	Sun Life Global Investments (Canada) Inc.	D
Sun Life Sentry Global Mid Cap Fund	Sun Life Global Investments (Canada) Inc.	D
Sun Life Sentry Infrastructure Fund	Sun Life Global Investments (Canada) Inc.	D
Sun Life Sentry Value Fund	Sun Life Sentry Value Fund	D
Sun Life Tactical Balanced ETF Portfolio	Sun Life Tactical Balanced ETF Portfolio	D
Sun Life Tactical Conservative ETF Portfolio	Sun Life Tactical Conservative ETF Portfolio	D
Sun Life Tactical Equity ETF Portfolio	Sun Life Tactical Equity ETF Portfolio	D
Sun Life Tactical Fixed Income ETF Portfolio	Sun Life Tactical Fixed Income ETF Portfolio	D
Sun Life Tactical Growth ETF Portfolio	Sun Life Tactical Growth ETF Portfolio	D
Sun Life Templeton Global Bond Fund	Sun Life Global Investments (Canada) Inc.	D
Sunstone Opportunity (2007) Mortgage Fund	Sunstone Opportunity (2007) Mortgage Fund	D
Sunstone Opportunity (2007) Realty Trust	Sunstone Opportunity (2007) Realty Trust	D
Sunstone Opportunity (2008) Mortgage Fund	Sunstone Opportunity (2008) Mortgage Fund	D
Sunstone Opportunity (2008) Realty Trust	Sunstone Opportunity (2008) Realty Trust	D
Sunstone U.S. Opportunity (No. 2) Realty Trust	Sunstone U.S. Opportunity (No. 2) Realty Trust	D
Sunstone U.S. Opportunity (No. 3) Realty Trust	Sunstone U.S. Opportunity (No. 3) Realty Trust	D
Sunstone U.S. Opportunity (No. 4) Realty Trust	Sunstone U.S. Opportunity (No. 4) Realty Trust	D
Sunstone U.S. Opportunity Realty Trust	Sunstone U.S. Opportunity Realty Trust	D
Symmetry Allocation Pool	Mackenzie Financial Corporation	D
Symmetry Canadian Bond Fund	Symmetry Canadian Bond Fund	D
Symmetry Canadian Equity Fund	Symmetry Canadian Equity Fund	D
Symmetry EAFE Equity Fund	Symmetry EAFE Equity Fund	D
Symmetry Global Bond Fund	Symmetry Global Bond Fund	D

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Symmetry Low Volatility Fund	Symmetry Low Volatility Fund	D
Symmetry One Registered Balanced Portfolio	Symmetry One Registered Balanced Portfolio	D
Symmetry One Registered Conservative Portfolio	Symmetry One Registered Conservative Portfolio	D
Symmetry One Registered Growth Portfolio	Symmetry One Registered Growth Portfolio	D
Symmetry One Registered Moderate Growth Portfolio	Symmetry One Registered Moderate Growth Portfolio	D
Symmetry One Registered Ultra Conservative Portfolio Fund	Symmetry One Registered Ultra Conservative Portfolio Fund	D
Symmetry Registered Fixed Income Pool	Mackenzie Financial Corporation	D
Symmetry U.S. Small Cap Equity Fund	Symmetry U.S. Small Cap Equity Fund	D
Symmetry US Equity Fund	Symmetry US Equity Fund	D
Synergy Canadian Fund Inc.	CI Investments Inc.	D
Synergy Canadian Income Fund	CI Investments Inc.	D
Synergy Extreme Canadian Equity Fund	CI Investments Inc.	D
Synergy Extreme Global Equity RSP Fund	CI Investments Inc.	D
Synergy Global Momentum RSP Fund	CI Investments Inc.	D
Synergy Global Style Management RSP Fund	CI Investments Inc.	D
Synergy Tactical Asset Allocation Fund	CI Investments Inc.	D
T.A.L. Investment Pooled Fund – Canadian Equity TSE 100 Fund Section	T.A.L. Investment Counsel Ltd.	D
T.A.L. Investment Pooled Fund – Retirement Savings Fund Section	T.A.L. Investment Counsel Ltd.	D
T.A.L. Investment Pooled Fund – Savings Fund Section	T.A.L. Investment Counsel Ltd.	D
T.A.L. Investment Pooled Fund – Specialty Debt Fund Section	T.A.L. Investment Counsel Ltd.	D
T.A.L. Investment Pooled Fund – T.A.L. Canadian Equity Growth Fund	T.A.L. Investment Counsel Ltd.	D
Tactex B1 Income Fund	Tactex Asset Management Inc.	D
Tancook International Small Cap Fund	Tancook International Small Cap Fund	D
Tanglewood Mortgage Fund	Tanglewood Farms Ltd.	A
Tax Optimized Return Oriented Securities Trust	Lawrence Asset Management Inc.	D
Taylor Partners Fund	Taylor Partners Fund	D
TCI Premia Canadian VQ Equity Private Pool	TCI Premia Canadian VQ Equity Private Pool	D
TCI Premia Preferred Share Private Pool	TCI Premia Preferred Share Private Pool	D
TCI Premia Real Assets Private Pool	TCI Premia Real Assets Private Pool	D
TD Advantage Balanced Portfolio	TD Advantage Balanced Portfolio	D
TD Advantage Conservative Portfolio	TD Advantage Conservative Portfolio	D
TD Advantage Equity Portfolio	TD Advantage Equity Portfolio	D
TD Advantage Growth Portfolio	TD Advantage Growth Portfolio	D
TD Advantage Moderate Portfolio	TD Advantage Moderate Portfolio	D
TD AmeriGrowth RSP Fund	TD Asset Management Inc.	D
TD AsiaGrowth RSP Fund	TD Asset Management Inc.	D
TD Balanced Fund	TD Asset Management Inc.	D
TD Balanced Growth Fund	TD Asset Management Inc.	D

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TD Balanced Income Fund	TD Asset Management Inc.	D
TD Balanced Index Fund	TD Asset Management Inc.	D
TD Canadian Blue Chip Equity Fund	TD Asset Management Inc.	D
TD Canadian Bond Fund	TD Asset Management Inc.	D
TD Canadian Bond Index Fund	TD Asset Management Inc.	D
TD Canadian Equity Fund	TD Asset Management Inc.	D
TD Canadian Index Fund	TD Asset Management Inc.	D
TD Canadian Low Volatility Fund	TD Asset Management Inc.	D
TD Canadian Money Market Fund	TD Asset Management Inc.	D
TD Canadian T-Bill Fund	TD Asset Management Inc.	D
TD Canadian Value Fund	TD Asset Management Inc.	D
TD Capital Trust	TD Trust Company	D
TD Capital Trust III	TD Capital Trust III	D
TD Dividend Growth Fund	TD Asset Management Inc.	D
TD Dividend Income Fund	TD Asset Management Inc.	D
TD Emerald 2020 Retirement Target Date Pooled Fund Trust	TD Asset Management Inc.	D
TD Emerald 2030 Retirement Target Date Pooled Fund Trust	TD Asset Management Inc.	D
TD Emerald 2040 Retirement Target Date Pooled Fund Trust	TD Asset Management Inc.	D
TD Emerald 2050 Retirement Target Date Pooled Fund Trust	TD Asset Management Inc.	D
TD Emerald International Equity Index Fund	TD Asset Management Inc.	D
TD Emerald Low Volatility Canadian Equity Pooled Fund Trust	TD Asset Management Inc.	D
TD Emerald Low Volatility Global Equity Pooled Fund Trust	TD Asset Management Inc.	D
TD Emerald Pooled U.S. Fund	TD Asset Management Inc.	D
TD Emerald Retirement Income Pooled Fund Trust	TD Asset Management Inc.	D
TD Emerald U.S. Market Index Fund	TD Asset Management Inc.	D
TD Emerging Markets Low Volatility Fund	TD Emerging Markets Low Volatility Fund	D
TD Emerging Markets RSP Fund	TD Asset Management Inc.	D
TD Energy Fund	TD Asset Management Inc.	D
TD Entertainment & Communications RSP Fund	TD Asset Management Inc.	D
TD European Growth RSP Fund	TD Asset Management Inc.	D
TD FundSmart Managed Aggressive Growth RSP Portfolio	TD Asset Management Inc.	D
TD FundSmart Managed Balanced Growth RSP Portfolio	TD Asset Management Inc.	D
TD FundSmart Managed Income & Moderate Growth RSP Portfolio	TD Asset Management Inc.	D
TD FundSmart Managed Income RSP Portfolio	TD Asset Management Inc.	D
TD FundSmart Managed Maximum Equity Growth RSP Portfolio	TD Asset Management Inc.	D
TD Global Asset Allocation Fund	TD Asset Management Inc.	D

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TD Global Equity Focused Fund	TD Asset Management Inc.	D
TD Global Income Fund	TD Asset Management Inc.	D
TD Global Risk Managed Equity Fund	TD Asset Management Inc.	D
TD Global RSP Bond Fund	TD Asset Management Inc.	D
TD Global Select RSP Fund	TD Asset Management Inc.	D
TD Global Unconstrained Bond Fund	TD Asset Management Inc.	D
TD Harbour Capital Balanced Fund	TD Harbour Capital Balanced Fund	D
TD Health Sciences RSP Fund	TD Asset Management Inc.	D
TD Income Advantage Portfolio	TD Asset Management Inc.	D
TD International Equity Fund	TD International Equity Fund	D
TD International RSP Index Fund	TD Asset Management Inc.	D
TD Managed Aggressive Growth ETF Portfolio	TD Asset Management Inc.	D
TD Managed Aggressive Growth RSP Portfolio	TD Asset Management Inc.	D
TD Managed Balanced Growth ETF Portfolio	TD Asset Management Inc.	D
TD Managed Balanced Growth RSP Portfolio	TD Asset Management Inc.	D
TD Managed Income & Moderate Growth ETF Portfolio	TD Asset Management Inc.	D
TD Managed Income & Moderate Growth RSP Portfolio	TD Asset Management Inc.	D
TD Managed Income ETF Portfolio	TD Asset Management Inc.	D
TD Managed Income RSP Portfolio	TD Asset Management Inc.	D
TD Managed Index Aggressive Growth RSP Portfolio	TD Asset Management Inc.	D
TD Managed Index Balanced Growth RSP Portfolio	TD Asset Management Inc.	D
TD Managed Index Income & Moderate Growth RSP Portfolio	TD Asset Management Inc.	D
TD Managed Index Income RSP Portfolio	TD Asset Management Inc.	D
TD Managed Index Maximum Equity Growth RSP Portfolio	TD Asset Management Inc.	D
TD Managed Maximum Equity Growth ETF Portfolio	TD Asset Management Inc.	D
TD Managed Maximum Equity Growth RSP Portfolio	TD Asset Management Inc.	D
TD Monthly Income Fund	TD Asset Management Inc.	D
TD Mortgage Fund	TD Asset Management Inc.	D
TD Nasdaq RSP Index Fund	TD Asset Management Inc.	D
TD North American Small-Cap Equity Fund	TD Asset Management Inc.	D
TD Precious Metals Fund	TD Asset Management Inc.	D
TD Premium Money Market Fund	TD Asset Management Inc.	D
TD Private Canadian Blue Chip Equity Fund	TD Asset Management Inc.	D
TD Private Canadian Bond Income Fund	TD Asset Management Inc.	D
TD Private Canadian Bond Return Fund	TD Asset Management Inc.	D
TD Private Canadian Corporate Bond Fund	TD Asset Management Inc.	D
TD Private Canadian Diversified Yield Fund	TD Asset Management Inc.	D
TD Private Canadian Strategic Opportunities Fund	TD Asset Management Inc.	D
TD Private International Bond Fund	TD Asset Management Inc.	D
TD Private RSP International Equity Fund	TD Asset Management Inc.	D

Name of registered investment / Nom du placement enregistré	Trust or corporation submitting the application / Fiducie ou corporation produisant la déclaration	Note
TD Private RSP U.S. Equity Fund	TD Asset Management Inc.	D
TD Real Return Bond Fund	TD Asset Management Inc.	D
TD Resource Fund	TD Asset Management Inc.	D
TD Risk Management Pool	TD Asset Management Inc.	D
TD Science & Technology RSP Fund	TD Asset Management Inc.	D
TD Short Term Bond Fund	TD Asset Management Inc.	D
TD U.S. Blue Chip Equity Currency Neutral Fund	TD U.S. Blue Chip Equity Currency Neutral Fund	D
TD U.S. Blue Chip Equity RSP Fund	TD Asset Management Inc.	D
TD U.S. Dividend Growth Fund	TD Asset Management Inc.	D
TD U.S. Equity Advantage Currency Neutral Portfolio	TD U.S. Equity Advantage Currency Neutral Portfolio	D
TD U.S. Large-Cap Value Currency Neutral Fund	TD U.S. Large-Cap Value Currency Neutral Fund	D
TD U.S. Low Volatility Currency Neutral Fund	TD Asset Management Inc.	D
TD U.S. Low Volatility Fund	TD U.S. Low Volatility Fund	D
TD U.S. Mid-Cap Growth Currency Neutral Fund	TD U.S. Mid-Cap Growth Currency Neutral Fund	D
TD U.S. Money Market Fund	TD Asset Management Inc.	D
TD U.S. Risk Managed Equity Fund	TD Asset Management Inc.	D
TD U.S. RSP Index Fund	TD Asset Management Inc.	D
TD U.S. Small-Cap Equity Currency Neutral Fund	TD U.S. Small-Cap Equity Currency Neutral Fund	D
TD US\$ Retirement Portfolio	TD Asset Management Inc.	D
Tech Achievers Growth & Income Fund	Tech Achievers Growth & Income Fund	D
Tera Balanced Small Cap Fund	Tera Capital Corporation	D
Tera High Income Fund	Tera Capital Corporation	D
Teraz Fund	Teraz Fund	D
Tetrem Equity Value Funds	Tetrem Equity Value Funds	A
TG Income Trust (Formerly: Mint Income Trust VII)	Mint Income Management Ltd.	D
TG Income Trust II	TG Income Trust II	A
TG Income Trust III	TG Income Trust III	D
TGIT Sub Trust I	TGIT Sub Trust I	A
The C.F.G. Heward Canadian Equity Fund	C.F.G. Heward Investments Management	D
The C.F.G. Heward Equity Fund	C.F.G. Heward Investments Management	D
The Canadian Shield Fund	The Canadian Shield Fund	D
The CGO & V Cumberland Fund	Cranston, Gaskin, O'Reilly and Vernon Investment Counsel	D
The Entrepreneur's Fund	The Entrepreneur's Fund	D
The Financial Institutions Trust, 1998 Portfolio	HSBC James Capel Canada Inc.	D
The GBC Global Balanced Fund	Pembroke Private Wealth Management Ltd.	D
The GBC Growth and Income Fund	GBC Asset Management Inc.	D
The GBC International Growth Fund	GBC Asset Management Inc.	D
The GBC North American Growth Fund Inc.	The GBC North American Growth Fund Inc.	D
The GS+A Canadian Bond Index Fund	Gluskin Sheff + Associates Inc.	D
The GS+A Fixed Income Fund	Gluskin Sheff + Associates Inc.	D
The GS+A U.S. Equity Fund	Gluskin Sheff + Associates Inc.	D

Name of registered investment / Nom du placement enregistré	Trust or corporation submitting the application / Fiducie ou corporation produisant la déclaration	Note
The Hartford Canadian Equity Income Fund	Hartford Investments Canada Corp.	A,C
The Hartford Canadian Value Fund	Hartford Investments Canada Corp.	A,C
The Hartford Growth and Income Fund	Hartford Investments Canada Corp.	A,C
The Hartford U.S. Growth and Income Fund	Hartford Investments Canada Corp.	A,C
The J.C. Clark Statistical Arbitrage Fund	JC Clark Ltd.	D
The Newport Balanced Fund	The Newport Balanced Fund	D
The Osler Fund	Mcelvaine Investment Management Ltd.	D
The Pembroke Canadian Growth Fund	The Pembroke Canadian Growth Fund	D
The Pembroke Canadian Large Cap Fund	Pembroke Private Wealth Management Ltd.	D
The Pembroke Concentrated Fund	Pembroke Private Wealth Management Ltd.	D
The Pembroke Corporate Bond Fund	The Pembroke Corporate Bond Fund	D
The Pembroke Dividend Growth Fund	The Pembroke Dividend Growth Fund	D
The Pembroke Large Cap Growth Fund	The Pembroke Large Cap Growth Fund	D
The Pembroke Long-Short Fund	The Pembroke Long-Short Fund	D
The Pembroke U.S. Growth Fund	GBC Asset Management Inc.	D
The SoundVest North American Opportunity Pool	SoundVest Capital Management Ltd.	D
The SoundVest Portfolio Fund	SoundVest Capital Management Ltd.	D
The Successful Investor China Plus Fund	Successful Investor Wealth Management Inc.	D
The Successful Investor Dividend Fund	Successful Investor Wealth Management Inc.	D
Thornmark Alpha Fund	Thornmark Asset Management Inc.	D
Thornmark Canadian Equity Fund	Thornmark Asset Management Inc.	D
Thornmark Dividend & Income Fund	Thornmark Asset Management Inc.	D
Thornmark Dividend Equity Fund	Thornmark Asset Management Inc.	D
Thornmark Enhanced Equity Fund	Thornmark Asset Management Inc.	D
Thornmark Fixed Income Fund	Thornmark Asset Management Inc.	D
Thornmark Private Equity Fund	Thornmark Asset Management Inc.	D
Thornmark U.S. Equity Fund	Thornmark Asset Management Inc.	D
Timbercreek Four Quadrant Global Real Estate Trust	Timbercreek Four Quadrant Global Real Estate Trust	D
Timbercreek Global Real Estate Income Fund	Timbercreek Asset Management	D
Titan Balanced Growth Portfolio	Titan Funds Incorporated	D
Titan Balanced Income Portfolio	Titan Funds Incorporated	D
Titan Balanced Portfolio	Titan Funds Incorporated	D
Titan Growth Portfolio	Titan Funds Incorporated	D
Titan Money Market Fund	Titan Funds Incorporated	D
TM Asymmetric Global RRSP Fund	Trend Management Limited	A,C
Tonus Select Fund	Tonus Capital Inc.	A
Tradex Bond Fund	Tradex Management Inc.	A,C
Tradex Canadian Growth Fund	Tradex Management Inc.	D
Tradex Equity Fund Limited	Montreal Trust	D
Trafalgar Synthetic US Equity Fund	The Royal Trust Company	D
Trans-Canada Equity Fund	Sagit Investment Management Ltd.	D

Name of registered investment / Nom du placement enregistré	Trust or corporation submitting the application / Fiducie ou corporation produisant la déclaration	Note
Transamerica CI Balanced Portfolio	Transamerica CI Balanced Portfolio	D
Transamerica CI Canadian Balanced Portfolio	Transamerica CI Canadian Balanced Portfolio	D
Transamerica CI Conservative Portfolio	Transamerica CI Conservative Portfolio	D
Transamerica CI Growth Portfolio	Transamerica CI Growth Portfolio	D
Transamerica CI Maximum Growth Portfolio	Transamerica CI Maximum Growth Portfolio	D
Trapeze Value Trust	Trapeze Asset Management Inc.	D
Trez Capital Yield Trust	Trez Capital Yield Trust	D
Trez Capital Yield Trust US	Trez Capital Yield Trust US	A,C
Trez Capital Yield Trust US (Canadian \$)	Trez Capital Yield Trust US (Canadian \$)	D
Tri City Group Monthly Income Mortgage Trust	Tri City Founders Mortgage Trust II	D
Tri City Mortgage Investment Fund	Tri City Mortgage Fund Ltd.	D
Triasima All Country World Equity Ex-Fossil Fuels Fund	Triasima Portfolio Management Inc.	D
Triasima All Country World Equity Fund	Triasima Portfolio Management Inc.	D
Triasima American All Capitalization Fund	Triasima Portfolio Management Inc.	D
Triasima Canadian All Capitalization Fund	Triasima Portfolio Management Inc.	D
Triasima Canadian Bond Fund	Triasima Portfolio Management Inc.	D
Triasima Canadian Diversified Fund	Triasima Portfolio Management Inc.	D
Triasima Canadian Equity Fund	Triasima Portfolio Management Inc.	D
Triasima Canadian Long/Short Fund	Triasima Portfolio Management Inc.	D
Triasima Canadian Small Capitalization Fund	Triasima Portfolio Management Inc.	D
Triasma Canadian Equity Plus Fund	Triasma Management Portfolio	D
TriDelta High Income Balanced Fund	TriDelta High Income Balanced Fund	D
Trimark Canadian Endeavour Fund	AIM Funds Management Inc.	D
Trimark Canadian Fund	AIM Funds Management Inc.	D
Trimark Canadian Small Companies Fund	AIM Funds Management Inc.	D
Trimark Income Growth Fund	AIM Funds Management Inc.	D
Trimark Interest Fund	AIM Funds Management Inc.	D
Trimark Resources Fund	AIM Funds Management Inc.	D
Trimark Select Balanced Fund	AIM Funds Management Inc.	D
Trimark U.S. Money Market Fund	Invesco Canada Ltd.	D
Tristar Balanced Fund	Tristar Mutual Funds Corp.	A,C
Tristar Canadian Equity Fund	Tristar Mutual Funds Corp.	A,C
Tristar Short Term Income Fund	Tristar Mutual Funds Corp.	A,C
Trizec Canada Inc.	Trizec Canada Inc.	D
Trust Prêt et Revenu Fonds H	Trust Prêt et Revenu	D
Trust Units in Dez Capital Mortgage Investment Fund	Dez Capital Mortgage Investment Fund	D
TSX 100 Momentum Fund	Montrusco Bolton Investments Inc.	D
Turtle Creek Equity Fund	Turtle Creek Asset Management Inc.	D
Turtle Creek Investment Fund	Scotia Merchant Capital Corporation	D
Tuscarora First Fund	Tuscarora Capital Inc.	A,C
TWC Risk Managed Balanced Growth Fund	Trivest Wealth Counsel Ltd.	A,C

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Twenty-First Century Canadian Bond Fund	Twenty-First Century Funds Inc.	D
Twenty-First Century Canadian Equity Fund	Twenty-First Century Funds Inc.	D
U.S. Dividend Fund (GWLIM)	U.S. Dividend Fund (GWLIM)	D
U.S. Dollar Cash Management Fund	Invesco Canada Ltd.	D
U.S. Large Cap Synthetic Fund	SEI Investments Canada Company	D
U.S. Midcap Synthetic Fund	SEI Investments Canada Company	D
U.S. Value Fund (Putnam)	U.S. Value Fund (Putnam)	D
UIT Alternative Health Fund	UIT Alternative Health Fund	D
Ultravest Asset Allocation Fund	Ultravest Investment Counsellors	D
United International Fund	United International Fund	D
Units of Deans Knight Equity Growth Fund II	Deans Knight Capital Management Ltd.	D
Units of DK Energy Fund II	Deans Knight Energy Management Ltd.	D
Units of DK Energy Fund III	DK Energy Fund III	D
Units of DK Opportunities Fund	Deans Knight General Management Ltd.	D
Units of Phoenix Investment Club Mortgage Trust	Phoenix Investment Club Mortgage	A,C
Units of Retrocom Mid-Market Real Estate Investment Trust	Retrocom Mid-Market Real Estate Investment Trust	D
Universal Canadian Balanced Fund	Mackenzie Financial Corporation	D
Universal Canadian Growth Fund	Mackenzie Financial Corporation	D
Universal Canadian Resource Fund	Mackenzie Financial Corporation	D
Universal RSP Communications Fund	Mackenzie Financial Corporation	D
Universal RSP European Opportunities Fund	Mackenzie Financial Corporation	D
Universal RSP Financial Services Fund	Mackenzie Financial Corporation	D
Universal RSP Global Ethics Fund	Mackenzie Financial Corporation	D
Universal RSP Health Sciences Fund	Mackenzie Financial Corporation	D
Universal RSP International Stock Fund	Mackenzie Financial Corporation	D
Universal RSP Internet Technologies Fund	Mackenzie Financial Corporation	D
Universal RSP Select Managers Fund	Mackenzie Financial Corporation	D
Universal RSP World Science and Technology Fund	Mackenzie Financial Corporation	D
Universal World Balanced RRSP Fund	Mackenzie Financial Corporation	D
Universal World Growth RRSP Fund	Mackenzie Financial Corporation	D
Universal World Precious Metals Fund	Mackenzie Financial Corporation	D
University Avenue Bond Fund	University Avenue Management Ltd.	D
University Avenue Canadian Fund	University Avenue Management Ltd.	D
University Avenue Canadian Small Cap Fund	University Avenue Management Ltd.	D
University Avenue Money Fund	University Avenue Management Ltd.	D
Upper Circle Canadian Equity Fund	Upper Circle Canadian Equity Fund	D
US Buyback Leaders Fund	Harvest Portfolios Group Inc.	D
US Equity Alpha Pool	CI Investments Inc.	D
US Equity Growth Pool	US Equity Growth Pool	D
US Equity Small Cap Pool	United Financial Corporation	D

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US Equity Value Currency Hedged Pool	CI Investments Inc.	D
US Global GO GOLD and Precious Metal Miners Fund	US Global GO Gold and Precious Metal Miners Fund	D
UWM Disciplined Growth Fund	Ullman Wealth Management Inc.	D
UWM Tactical Multi-Strategy Fund	Ullman Wealth Management Inc.	D
Value Contrarian Canadian Equity Fund	Value Contrarian Asset Mgmt. Inc.	D
Value Leaders Maximum Growth PortFolio Funds	Value Leaders Maximum Growth PortFolio Funds	D
Van Arbor US Advantage Fund	Van Arbor Asset Management Ltd.	A,C
Van Arbor World Advantage Fund	Van Arbor Asset Management Ltd.	A,C
Vancity Perspectives Balanced Portfolio	Vancity Perspectives Balanced Portfolio	D
Vancity Perspectives Conservative Portfolio	Vancity Perspectives Conservative Portfolio	D
Vancity Perspectives Growth Portfolio	Vancity Perspectives Growth Portfolio	D
Vancity Perspectives High Growth Portfolio	Vancity Perspectives High Growth Portfolio	D
Vancity Perspectives Income Portfolio	Vancity Perspectives Income Portfolio	D
Vanguard Canada Universe Bond Index Pooled Fund	Vanguard Canada Universe Bond Index Pooled Fund	D
Vanguard Canadian All-Cap Equity Index Pooled Fund	Vanguard Canadian All-Cap Equity Index Pooled Fund	D
Vanguard Developed All-Cap ex North America Equity Index Pooled Fund	Vanguard All-Cap ex North America Equity Index Pooled Fund	D
Vanguard Emerging Markets All-Cap Equity Index Pooled Fund	Vanguard Emerging Markets All-Cap Equity Index Pooled Fund	D
Vanguard Global All-Cap ex Canada Equity Index Pooled Fund	Vanguard Global All-Cap ex Canada Equity Index Pooled Fund	D
Vanguard Global Balanced Fund	Vanguard Global Balanced Fund	D
Vanguard Global Dividend Fund	Vanguard Global Dividend Fund	D
Vanguard Global ex-Canada Fixed Income Index Pooled Fund (CAD-hedged)	Vanguard Global ex-Canada Fixed Income Index Pooled Fund (CAD-hedged)	D
Vanguard Short Term Investment Pooled Fund	Vanguard Short Term Investment Pooled Fund	D
Vanguard Target Retirement 2015 Pooled Fund	Vanguard Target Retirement 2015 Pooled Fund	D
Vanguard Target Retirement 2020 Pooled Fund	Vanguard Target Retirement 2020 Pooled Fund	D
Vanguard Target Retirement 2025 Pooled Fund	Vanguard Target Retirement 2025 Pooled Fund	D
Vanguard Target Retirement 2030 Pooled Fund	Vanguard Target Retirement 2030 Pooled Fund	D
Vanguard Target Retirement 2035 Pooled Fund	Vanguard Target Retirement 2035 Pooled Fund	D
Vanguard Target Retirement 2040 Pooled Fund	Vanguard Target Retirement 2040 Pooled Fund	D
Vanguard Target Retirement 2045 Pooled Fund	Vanguard Target Retirement 2045 Pooled Fund	D
Vanguard Target Retirement 2050 Pooled Fund	Vanguard Target Retirement 2050 Pooled Fund	D
Vanguard Target Retirement 2055 Pooled Fund	Vanguard Target Retirement 2055 Pooled Fund	D
Vanguard Target Retirement 2060 Pooled Fund	Vanguard Target Retirement 2060 Pooled Fund	D
Vanguard Target Retirement 2065 Pooled Fund	Vanguard Target Retirement 2065 Pooled Fund	D
Vanguard Target Retirement Income Pooled Fund	Vanguard Target Retirement Income Pooled Fund	D
Vanguard U.S. All-Cap Equity Index Pooled Fund	Vanguard U.S. All-Cap Equity Index Pooled Fund	D
Vanguard Windsor U.S. Value Fund	Vanguard Windsor U.S. Value Fund	D
Vanquard International Growth Fund	Vanquard International Growth Fund	D
VBA Canadian Partners Fund / Fonds Canadien des Partenaires de VBA	Van Berkom And Associates Inc.	A

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VBA U.S. Partners' Fund	Van Berkom And Associates Inc.	A
VCIM Bond Fund	VCIM Bond Fund	A
VCIM Canadian Equity Fund	VCIM Canadian Equity Fund	A
VCIM Global Equity Fund	VCIM Global Equity Fund	D
VCIM Income Fund	VCIM Income Fund	D
Vector Canadian Equity Value Fund	Vector Canadian Equity Value Fund	D
Vector Canadian Long Term Bond Fund	Guardian Capital LP	D
Vector Canadian Money Market Fund	Guardian Capital LP	D
Vector Canadian Small/Mid Cap Fund	Guardian Capital LP	D
Vector Index-Enhanced Bond Fund	Vector Index-Enhanced Bond Fund	D
Vector International Equity Fund	Vector International Equity Fund	D
Vector Premium Growth Fund	Guardian Capital LP	D
Vector U.S. Equity Fund	Vector U.S. Equity Fund	D
Veritas Canadian Equity Fund	Veritas Asset Management Inc.	D
Veritas Long Short Fund	Veritas Asset Management Inc.	A,C
Vertex Balanced Fund	Vertex One Asset Management Inc.	D
Vertex Bond Alpha Fund	Vertex One Asset Management Inc.	D
Vertex Enhanced Income Fund	Vertex Enhanced Income Fund	D
Vertex Growth Fund	Vertex Growth Fund	D
Vertex Value Fund	Vertex Value Fund	D
Viking Capital Core Canadian Equity Fund	Viking Capital Corporation	D
Vision Wealth Bamboo Fund	Vision Wealth Management	D
Vision Wealth Cedar Fund	Vision Wealth Management	D
Visum Multi Strategy RRSP Fund	Spartan Fund Management Inc.	D
Vivid Energy Fund	HGC Investment Management Inc.	D
VPI Canadian Balanced Pool	Value Partners Investments Inc.	D
VPI Canadian Equity Pool Fund	Value Partners Investments Inc.	D
VPI Dividend Growth Pool	Value Partners Investments Inc.	D
VPI Foreign Equity Pool Fund	Value Partners Investments Inc.	D
VPI Income Pool	Value Partners Investments Inc.	D
VPI Mortgage Pool	Value Partners Investments Inc.	D
VPI Special Opportunities Pool	VPI Special Opportunities Pool	D
VPI Value Pool	Value Partners Investments Inc.	D
Watermark Partners Value Fund	Watermark Capital Management Inc.	D
Watt Carmichael Opportunity Fund	Watt Carmichael Inc.	D
Waypoint All Weather Alternative Fund	Waypoint Investment Partners Inc.	D
Wealth Management Trust	Moss Lawson & Co. Limited	D
Wellington Fund	Wellington Fund	D
Wenna Mortgage Fund	Wenna Mortgage Fund Trust	D
Wesgroup Capital Mortgage Fund I	Wesgroup Capital Services (MF-I) Inc.	D

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Wesgroup Capital SP Fund	Wesgroup Capital SP Fund	D
West Coast Fixed Income Fund	West Coast Asset Fund Inc.	A
Westcoast Energy Inc. Savings Fund	Westcoast Energy Inc.	A,B
Westshore Terminals Income Fund	Westar Group Ltd.	D
Wickham Canadian Equity Fund	Wickham Investment Counsel Inc.	D
Willoughby Investment Pool	Willoughby Asset Management Inc.	D
Wimberly Fund	Wimberly Fund	A,C
WisdomTree Canada Quality Dividend Growth Index ETF	WisdomTree Asset Management Canada Inc.	D
WisdomTree Emerging Markets Dividend Index ETF	WisdomTree Asset Management Canada Inc.	D
WisdomTree Europe Hedged Equity Index ETF	WisdomTree Asset Management Canada Inc.	D
WisdomTree International Quality Dividend Growth Index ETF	WisdomTree Asset Management Canada Inc.	D
WisdomTree International Quality Dividend Growth Variably Hedged Index ETF	WisdomTree Asset Management Canada Inc.	D
WisdomTree Japan Equity Index ETF	WisdomTree Asset Management Canada Inc.	D
WisdomTree U.S. High Dividend Index ETF	WisdomTree Asset Management Canada Inc.	D
WisdomTree U.S. MidCap Dividend Index ETF	WisdomTree Asset Management Canada Inc.	D
WisdomTree U.S. Quality Dividend Growth Index ETF	WisdomTree Asset Management Canada Inc.	D
WisdomTree U.S. Quality Dividend Growth Variably Hedged Index ETF	WisdomTree Asset Management Canada Inc.	D
WisdomTree Yield Enhanced Canada Aggregate Bond Index ETF	WisdomTree Asset Management Canada Inc.	D
WisdomTree Yield Enhanced Canada Short-Term Aggregate Bond Index ETF	WisdomTree Asset Management Canada Inc.	D
Wise Capital All Cap Canadian Equity Fund	Wise Capital Management Inc.	A,C
Wolverine Opportunity Fund	Wolverine Opportunity Fund	D
XS Cargo Income Fund	XS Cargo Income Fund	D
Yield Advantage Income Trust	Yield Advantage Income Trust	D
YIELDPLUS Income Fund	Middlefield YIELDPLUS Management Limited	D
Zelos Investment Counsel Portfolios Trust	Zelos Investment Counsel Portfolios Trust	A,C

- A Registered as an investment vehicle for Registered Retirement Savings Plans.
Enregistré comme véhicule de placements pour les régimes enregistrés d'épargne-retraite.
- B Registered as an investment vehicle for Deferred Profit Sharing Plans.
Enregistré comme véhicule de placements pour les régimes de participation différée bénéfiques.
- C Registered as an investment vehicle for Registered Retirement Income Funds.
Enregistré comme véhicule de placements pour les fonds enregistrés de revenu de retraite.
- D Registered as an investment vehicle for each of the vehicles A to C above.
Enregistré comme véhicule de placements pour les catégories A à C susmentionnées.

Janice Laird
Director General
Registered Plans Directorate

La directrice générale
Direction des régimes enregistrés
Janice Laird

CANADIAN INTERNATIONAL TRADE TRIBUNAL

INQUIRY

Patent services

The Canadian International Trade Tribunal has received a complaint (File No. PR-2020-064) from 2484726 Ontario Inc. d.b.a. Brion Raffoul (Brion Raffoul), of Ottawa, Ontario, concerning a procurement (Solicitation No. 01R11-20S009/C) made by the Department of Public Works and Government Services (PWGSC) on behalf of the Department of Agriculture and Agri-Food. The solicitation is for patent agent services. Pursuant to subsection 30.13(2) of the *Canadian International Trade Tribunal Act* and subsection 7(2) of the *Canadian International Trade Tribunal Procurement Inquiry Regulations*, notice is hereby given that the Tribunal made a decision on December 10, 2020, to conduct an inquiry into the complaint.

Brion Raffoul alleges that the terms of the solicitation are unreasonable.

Further information may be obtained from the Deputy Registrar, Canadian International Trade Tribunal Secretariat, 333 Laurier Avenue West, 15th Floor, Ottawa, Ontario K1A 0G7, 613-993-3595 (telephone), citt-tcce@tribunal.gc.ca (email).

Ottawa, December 10, 2020

CANADIAN INTERNATIONAL TRADE TRIBUNAL

INQUIRY

Ventilators, infants and adults

The Canadian International Trade Tribunal has received a complaint (File No. PR-2020-062) from Ocalink Technologies Inc. (Ocalink), of Vancouver, British Columbia, concerning a procurement made by the Department of Public Works and Government Services (PWGSC) on behalf of the Department of Industry. The solicitation was for medical ventilators. Pursuant to subsection 30.13(2) of the *Canadian International Trade Tribunal Act* and subsection 7(2) of the *Canadian International Trade Tribunal Procurement Inquiry Regulations*, notice is hereby given that the Tribunal made a decision on December 14, 2020, to conduct an inquiry into the complaint.

Ocalink alleges that the government institution applied undisclosed evaluation criteria and did not conduct the evaluation in accordance with the stated evaluation criteria. Ocalink also alleges that the evaluators were biased.

TRIBUNAL CANADIEN DU COMMERCE EXTÉRIEUR

ENQUÊTE

Services de brevets

Le Tribunal canadien du commerce extérieur a reçu une plainte (dossier n° PR-2020-064) déposée par 2484726 Ontario Inc. s/n Brion Raffoul (Brion Raffoul), d'Ottawa (Ontario), concernant un marché (invitation n° 01R11-20S009/C) passé par le ministère des Travaux publics et des Services gouvernementaux (TPSGC) au nom du ministère de l'Agriculture et de l'Agroalimentaire. L'invitation porte sur des services d'un agent de brevets. Conformément au paragraphe 30.13(2) de la *Loi sur le Tribunal canadien du commerce extérieur* et au paragraphe 7(2) du *Règlement sur les enquêtes du Tribunal canadien du commerce extérieur sur les marchés publics*, avis est donné par la présente que le Tribunal a décidé, le 10 décembre 2020, d'enquêter sur la plainte.

Brion Raffoul allègue que les conditions de l'appel d'offres ne sont pas raisonnables.

Pour plus de renseignements, veuillez communiquer avec la Greffière adjointe, Secrétariat du Tribunal canadien du commerce extérieur, 333, avenue Laurier Ouest, 15^e étage, Ottawa (Ontario) K1A 0G7, 613-993-3595 (téléphone), tcce-citt@tribunal.gc.ca (courriel).

Ottawa, le 10 décembre 2020

TRIBUNAL CANADIEN DU COMMERCE EXTÉRIEUR

ENQUÊTE

Ventilateurs pour enfants et adultes

Le Tribunal canadien du commerce extérieur a reçu une plainte (dossier n° PR-2020-062) déposée par Ocalink Technologies Inc. (Ocalink), de Vancouver (Colombie-Britannique), concernant un marché passé par le ministère des Travaux publics et des Services gouvernementaux (TPSGC) au nom du ministère de l'Industrie. L'invitation portait sur des ventilateurs médicaux. Conformément au paragraphe 30.13(2) de la *Loi sur le Tribunal canadien du commerce extérieur* et au paragraphe 7(2) du *Règlement sur les enquêtes du Tribunal canadien du commerce extérieur sur les marchés publics*, avis est donné par la présente que le Tribunal a décidé, le 14 décembre 2020, d'enquêter sur la plainte.

Ocalink allègue que l'institution fédérale a utilisé des critères d'évaluation non divulgués et n'a pas mené l'évaluation conformément aux critères d'évaluation énoncés. Ocalink allègue également que les évaluateurs ont fait preuve de partialité.

Further information may be obtained from the Deputy Registrar, Canadian International Trade Tribunal Secretariat, 333 Laurier Avenue West, 15th Floor, Ottawa, Ontario K1A 0G7, 613-993-3595 (telephone), citt-tcce@tribunal.gc.ca (email).

Ottawa, December 14, 2020

Pour plus de renseignements, veuillez communiquer avec la Greffière adjointe, Secrétariat du Tribunal canadien du commerce extérieur, 333, avenue Laurier Ouest, 15^e étage, Ottawa (Ontario) K1A 0G7, 613-993-3595 (téléphone), tcce-citt@tribunal.gc.ca (courriel).

Ottawa, le 14 décembre 2020

MISCELLANEOUS NOTICES**AST TRUST COMPANY (CANADA)****TSX TRUST COMPANY****LETTERS PATENT OF AMALGAMATION AND
AMENDED LETTERS PATENT**

Notice is hereby given, pursuant to the provisions of section 233 of the *Trust and Loan Companies Act* (Canada), that AST Trust Company (Canada) and TSX Trust Company (together, the “Applicants”) intend to make a joint application to the Minister of Finance, on or after December 28, 2020, for letters patent of amalgamation continuing the Applicants as one company under the name “AST Trust Company (Canada)” in English and “Société de fiducie AST (Canada)” in French, and subsequently for the amalgamated company, AST Trust Company (Canada), to apply to the Minister of Finance for amended letters patent to change its name to “TSX Trust Company” in English and “Compagnie Trust TSX” in French. The head office of the amalgamated company would be located in Toronto, Ontario.

December 5, 2020

AST Trust Company (Canada)

TSX Trust Company

AVIS DIVERS**SOCIÉTÉ DE FIDUCIE AST (CANADA)****COMPAGNIE TRUST TSX****LETTRES PATENTES DE FUSION ET LETTRES
PATENTES MODIFIÉES**

Avis est par les présentes donné, en vertu des dispositions de l'article 233 de la *Loi sur les sociétés de fiducie et de prêt* du Canada, que Société de fiducie AST (Canada) et Compagnie Trust TSX (ensemble, les « requérants ») entendent faire une demande conjointe au ministre des Finances, le 28 décembre 2020 ou après cette date, pour obtenir des lettres patentes de fusion leur permettant de poursuivre leurs activités en tant que société unique sous le nom anglais « AST Trust Company (Canada) » et le nom français « Société de fiducie AST (Canada) ». La société fusionnée entend ensuite demander au ministre des Finances des lettres patentes modifiées pour remplacer sa dénomination sociale par le nom anglais « TSX Trust Company » et le nom français « Compagnie Trust TSX ». Le siège social de la société fusionnée sera situé à Toronto, en Ontario.

Le 5 décembre 2020

Société de fiducie AST (Canada)

Compagnie Trust TSX

ORDERS IN COUNCIL

PUBLIC HEALTH AGENCY OF CANADA

QUARANTINE ACT

Minimizing the Risk of Exposure to COVID-19 in Canada Order (Prohibition of Entry into Canada from the United States)

P.C. 2020-1128 December 18, 2020

Whereas the Governor in Council is of the opinion, based on the declaration of a pandemic by the World Health Organization, that there is an outbreak of a communicable disease, namely coronavirus disease 2019 (COVID-19), in the majority of foreign countries;

Whereas the Governor in Council is of the opinion that the introduction or spread of the disease would pose an imminent and severe risk to public health in Canada;

Whereas the Governor in Council is of the opinion that the entry of persons into Canada who have recently been in a foreign country may introduce or contribute to the spread of the disease in Canada;

And whereas the Governor in Council is of the opinion that no reasonable alternatives to prevent the introduction or spread of the disease are available;

Therefore, Her Excellency the Governor General in Council, on the recommendation of the Minister of Health, pursuant to section 58 of the *Quarantine Act*^a, makes the annexed *Minimizing the Risk of Exposure to COVID-19 in Canada Order (Prohibition of Entry into Canada from the United States)*.

Minimizing the Risk of Exposure to COVID-19 in Canada Order (Prohibition of Entry into Canada from the United States)

Definitions

1 The following definitions apply in this Order.

common-law partner has the same meaning as in subsection 1(1) of the *Immigration and Refugee Protection Regulations*. (*conjoint de fait*)

^a S.C. 2005, c. 20

DÉCRETS

AGENCE DE LA SANTÉ PUBLIQUE DU CANADA

LOI SUR LA MISE EN QUARANTAINE

Décret visant la réduction du risque d'exposition à la COVID-19 au Canada (interdiction d'entrée au Canada en provenance des États-Unis)

C.P. 2020-1128 Le 18 décembre 2020

Attendu que la gouverneure en conseil est d'avis, compte tenu de la déclaration de pandémie de l'Organisation mondiale de la santé, que la majorité des pays étrangers est aux prises avec l'apparition d'une maladie transmissible, soit la maladie à coronavirus 2019 (COVID-19);

Attendu que la gouverneure en conseil est d'avis que l'introduction ou la propagation de cette maladie présenterait un danger grave et imminent pour la santé publique au Canada;

Attendu que la gouverneure en conseil est d'avis que l'entrée au Canada de personnes qui ont récemment séjourné dans un pays étranger favoriserait l'introduction ou la propagation de la maladie au Canada;

Attendu que la gouverneure en conseil est d'avis qu'il n'existe aucune autre solution raisonnable permettant de prévenir l'introduction ou la propagation de la maladie au Canada,

À ces causes, sur recommandation de la ministre de la Santé et en vertu de l'article 58 de la *Loi sur la mise en quarantaine*^a, Son Excellence la Gouverneure générale en conseil prend le *Décret visant la réduction du risque d'exposition à la COVID-19 au Canada (interdiction d'entrée au Canada en provenance des États-Unis)*, ci-après.

Décret visant la réduction du risque d'exposition à la COVID-19 au Canada (interdiction d'entrée au Canada en provenance des États-Unis)

Définitions

1 Les définitions qui suivent s'appliquent au présent décret.

conjoint de fait S'entend au sens du paragraphe 1(1) du *Règlement sur l'immigration et la protection des réfugiés*. (*common-law partner*)

^a L.C. 2005, ch. 20

dependent child has the same meaning as in section 2 of the *Immigration and Refugee Protection Regulations*. (*enfant à charge*)

extended family member, in respect of a person, means

- (a) an individual who is 18 years of age or older and is in an exclusive dating relationship with the person — who is also 18 years of age or older — and who has been in such a relationship for at least one year and has spent time in the physical presence of the person during the course of the relationship;
- (b) a dependent child of the person referred to in paragraph (a);
- (c) a child of the person or of the person's spouse, common-law partner or the person referred to in paragraph (a) other than a dependent child;
- (d) a dependent child of the child referred to in paragraph (c);
- (e) a sibling, half-sibling or step-sibling of the person or of the person's spouse or common-law partner; or
- (f) a grandparent of the person or of the person's spouse or common-law partner. (*membre de la famille élargie*)

foreign national has the same meaning as in subsection 2(1) of the *Immigration and Refugee Protection Act*. (*étranger*)

immediate family member, in respect of a person, means

- (a) the spouse or common-law partner of the person;
- (b) a dependent child of the person or of the person's spouse or common-law partner;
- (c) a dependent child of the dependent child referred to in paragraph (b);
- (d) the parent or step-parent of the person or of the person's spouse or common-law partner; or
- (e) the guardian or tutor of the person. (*membre de la famille immédiate*)

international single sport event means an event that is governed by the sport's International Federation or its regional or continental counterpart, that has a nationally or internationally established qualification process and that is identified as part of the long-term development plans for high-performance national team athletes of the National Sport Organization for that sport. (*événement unisport international*)

enfant à charge S'entend au sens de l'article 2 du *Règlement sur l'immigration et la protection des réfugiés*. (*dependent child*)

étranger S'entend au sens du paragraphe 2(1) de la *Loi sur l'immigration et la protection des réfugiés*. (*foreign national*)

événement unisport international Événement qui est géré par la Fédération internationale du sport en cause ou par sa contrepartie régionale ou continentale, qui a un processus de qualification établi aux plans national ou international et qui fait partie des plans de l'Organisme national de sport responsable de ce sport en vue du perfectionnement à long terme des athlètes de haut niveau qui sont membres de l'équipe nationale. (*international single sport event*)

membre de la famille élargie S'entend, à l'égard d'une personne :

- a) de la personne âgée de dix-huit ans ou plus avec qui la personne en cause — elle aussi âgée de dix-huit ans ou plus — entretient une relation amoureuse exclusive depuis au moins un an et qui a passé du temps en la présence physique de la personne en cause pendant la relation;
- b) de l'enfant à charge de la personne visée à l'alinéa a);
- c) de son enfant ou de l'enfant de son époux, de son conjoint de fait ou de la personne visée à l'alinéa a) autre qu'un enfant à charge;
- d) de l'enfant à charge d'un enfant visé au paragraphe c);
- e) de l'un des enfants de l'un ou l'autre de ses parents ou de ses beaux-parents ou des enfants de l'un ou l'autre des parents ou des beaux-parents de son époux ou conjoint de fait;
- f) de l'un de ses grands-parents ou des grands-parents de son époux ou conjoint de fait. (*extended family member*)

membre de la famille immédiate S'entend, à l'égard d'une personne :

- a) de son époux ou conjoint de fait;
- b) de son enfant à charge ou de celui de son époux ou conjoint de fait;
- c) de l'enfant à charge de l'enfant à charge visé à l'alinéa b);

permanent resident has the same meaning as in subsection 2(1) of the *Immigration and Refugee Protection Act*. (*résident permanent*)

protected person means a protected person within the meaning of subsection 95(2) of the *Immigration and Refugee Protection Act*. (*personne protégée*)

study permit has the same meaning as in section 2 of the *Immigration and Refugee Protection Regulations*. (*permis d'études*)

temporary resident means a temporary resident within the meaning of the *Immigration and Refugee Protection Act*. (*résident temporaire*)

Prohibition — signs and symptoms

2 (1) A foreign national is prohibited from entering Canada from the United States if they have reasonable grounds to suspect they have COVID-19, if they have signs and symptoms of COVID-19, including a fever and cough or a fever and difficulty breathing, or if they know they have COVID-19.

Non-application — certain persons

(2) Subsection (1) does not apply to persons referred to in subsection 5(1) or (2) who seek to enter Canada from the United States for the purpose of making a claim for refugee protection.

Prohibition — optional or discretionary purpose

3 (1) A foreign national is prohibited from entering Canada from the United States if they seek to enter for an optional or discretionary purpose, such as tourism, recreation or entertainment.

Non-application — immediate family member

(2) Subsection (1) does not apply to a foreign national who is an immediate family member of a Canadian citizen, permanent resident or person registered as an Indian under the *Indian Act* if the foreign national intends to enter Canada to be with the Canadian citizen, permanent resident or person registered as an Indian and can demonstrate their intent to stay in Canada for a period of at least 15 days.

d) de l'un de ses parents ou de ses beaux-parents ou de l'un des parents ou des beaux-parents de son époux ou conjoint de fait;

e) de son tuteur. (*immediate family member*)

permis d'études S'entend au sens de l'article 2 du *Règlement sur l'immigration et la protection des réfugiés*. (*study permit*)

personne protégée Personne protégée au sens du paragraphe 95(2) de la *Loi sur l'immigration et la protection des réfugiés*. (*protected person*)

résident permanent S'entend au sens du paragraphe 2(1) de la *Loi sur l'immigration et la protection des réfugiés*. (*permanent resident*)

résident temporaire Résident temporaire au sens de la *Loi sur l'immigration et la protection des réfugiés*. (*temporary resident*)

Interdiction — signes et symptômes

2 (1) Il est interdit à tout étranger d'entrer au Canada en provenance des États-Unis s'il a des motifs raisonnables de soupçonner qu'il est atteint de la COVID-19, s'il présente des signes et des symptômes de la COVID-19, notamment de la fièvre et de la toux ou de la fièvre et des difficultés respiratoires, ou s'il se sait atteint de la COVID-19.

Non-application — certaines personnes

(2) Le paragraphe (1) ne s'applique pas aux personnes visées aux paragraphes 5(1) ou (2) qui cherchent à entrer au Canada en provenance des États-Unis afin de faire une demande d'asile.

Interdiction — fins de nature optionnelle ou discrétionnaire

3 (1) Il est interdit à tout étranger d'entrer au Canada en provenance des États-Unis s'il cherche à le faire à des fins de nature optionnelle ou discrétionnaire, telles que le tourisme, les loisirs ou le divertissement.

Non-application — membre de la famille immédiate

(2) Le paragraphe (1) ne s'applique pas à l'étranger qui est un membre de la famille immédiate d'un citoyen canadien, d'un résident permanent ou d'une personne inscrite à titre d'Indien sous le régime de la *Loi sur les Indiens*, qui a l'intention d'entrer au Canada pour être avec le citoyen canadien, le résident permanent ou la personne inscrite à titre d'Indien et qui peut démontrer son intention de demeurer au Canada pendant une période d'au moins quinze jours.

Non-application — extended family member

(3) Subsection (1) does not apply to a foreign national who is an extended family member of a Canadian citizen, permanent resident or person registered as an Indian under the *Indian Act* if the foreign national

(a) intends to enter Canada to be with the Canadian citizen, permanent resident or person registered as an Indian and can demonstrate their intent to stay in Canada for a period of at least 15 days;

(b) has a statutory declaration attesting to their relationship with the Canadian citizen, permanent resident or person registered as an Indian that is signed by the Canadian citizen, permanent resident or person registered as an Indian; and

(c) is authorized, in writing, by an officer designated under subsection 6(1) of the *Immigration and Refugee Protection Act* to enter Canada for the purpose referred to in paragraph (a).

Prohibition — extended family member

3.1 A foreign national who is an extended family member of a Canadian citizen, permanent resident or person registered as an Indian under the *Indian Act* and who intends to enter Canada to be with the Canadian citizen, permanent resident or person registered as an Indian is prohibited from entering Canada from the United States unless the foreign national

(a) has a statutory declaration attesting to their relationship with the Canadian citizen, permanent resident or person registered as an Indian that is signed by the Canadian citizen, permanent resident or person registered as an Indian; and

(b) is authorized, in writing, by an officer designated under subsection 6(1) of the *Immigration and Refugee Protection Act* to enter Canada for that purpose.

Prohibition — other orders

4 (1) A foreign national is prohibited from entering Canada from the United States if, based on the purpose of entry and the length of their stay, they cannot comply with the applicable requirement to quarantine under any order made under section 58 of the *Quarantine Act*.

Non-application — certain persons

(2) Subsection (1) does not apply to persons referred to in subsections 5(1) or (2) who seek to enter Canada from the United States for the purpose of making a claim for refugee protection.

Non-application — membre de la famille élargie

(3) Le paragraphe (1) ne s'applique pas à l'étranger qui est un membre de la famille élargie d'un citoyen canadien, d'un résident permanent ou d'une personne inscrite à titre d'Indien sous le régime de la *Loi sur les Indiens* et qui, à la fois :

a) a l'intention d'entrer au Canada pour être avec le citoyen canadien, le résident permanent ou la personne inscrite à titre d'Indien et peut démontrer son intention de demeurer au Canada pendant une période d'au moins quinze jours;

b) possède une affirmation solennelle, signée par le citoyen canadien, le résident permanent ou la personne inscrite à titre d'Indien, attestant sa relation avec celui-ci;

c) est autorisé par écrit, par un agent désigné au titre du paragraphe 6(1) de la *Loi sur l'immigration et la protection des réfugiés*, à entrer au Canada à la fin visée à l'alinéa a).

Interdiction — membre de la famille élargie

3.1 Il est interdit à tout étranger qui est un membre de la famille élargie d'un citoyen canadien, d'un résident permanent ou d'une personne inscrite à titre d'Indien sous le régime de la *Loi sur les Indiens* d'entrer au Canada en provenance des États-Unis s'il cherche à le faire pour être avec le citoyen canadien, le résident permanent ou la personne inscrite à titre d'Indien, sauf si, à la fois :

a) il possède une affirmation solennelle, signée par le citoyen canadien, le résident permanent ou la personne inscrite à titre d'Indien, attestant sa relation avec celui-ci;

b) il est autorisé par écrit, par un agent désigné au titre du paragraphe 6(1) de la *Loi sur l'immigration et la protection des réfugiés*, à entrer au Canada aux fins en cause.

Interdiction — autres décrets

4 (1) Il est interdit à tout étranger d'entrer au Canada en provenance des États-Unis s'il lui est impossible, compte tenu des fins auxquelles il cherche à y entrer ou de la durée prévue de son séjour, de se conformer à l'obligation applicable de se mettre en quarantaine aux termes de tout décret pris en vertu de l'article 58 de la *Loi sur la mise en quarantaine*.

Non-application — certaines personnes

(2) Le paragraphe (1) ne s'applique pas aux personnes visées aux paragraphes 5(1) ou (2) qui cherchent à entrer au Canada en provenance des États-Unis afin de faire une demande d'asile.

Prohibition — claim for refugee protection

5 (1) A foreign national is prohibited from entering Canada from the United States for the purpose of making a claim for refugee protection unless the person

(a) seeks to enter Canada at a land port of entry designated by the Minister of Public Safety and Emergency Preparedness under section 26 of the *Immigration and Refugee Protection Regulations* and

(i) is a claimant referred to in section 159.2, 159.5 or 159.6 of the *Immigration and Refugee Protection Regulations*, or

(ii) is a citizen of the United States; or

(b) is a person or any person in a class of persons whose presence in Canada, as determined by the Minister of Public Safety and Emergency Preparedness or the Minister of Citizenship and Immigration, is in the national or public interest, while recognizing the paramount public health interests of Canada and Canadians.

Non-application — certain persons

(2) Subsection (1) does not apply to the following persons who seek to enter Canada at any place referred to in paragraph 159.4(1)(a), (b) or (c) of the *Immigration and Refugee Protection Regulations*:

(a) a citizen of the United States;

(b) a stateless habitual resident of the United States; or

(c) a person who

(i) has not attained the age of 18 years and is not accompanied by their mother, father or legal guardian within the meaning of the *Immigration and Refugee Protection Regulations*,

(ii) has neither a spouse nor a common-law partner within the meaning of those Regulations, and

(iii) has neither a mother or father nor a legal guardian within the meaning of those Regulations in the United States.

Prohibition — international students

5.1 (1) A foreign national is prohibited from entering Canada from the United States for the purpose of attending an institution other than a listed institution.

Interdiction — demande d'asile

5 (1) Il est interdit à tout étranger d'entrer au Canada en provenance des États-Unis afin de faire une demande d'asile, sauf si, selon le cas :

a) il cherche à entrer au Canada à un point d'entrée terrestre désigné par le ministre de la Sécurité publique et de la Protection civile en vertu de l'article 26 du *Règlement sur l'immigration et la protection des réfugiés* et est :

(i) soit un demandeur visé aux articles 159.2, 159.5 ou 159.6 du *Règlement sur l'immigration et la protection des réfugiés*,

(ii) soit un citoyen des États-Unis;

b) le ministre de la Sécurité publique et de la Protection civile ou le ministre de la Citoyenneté et de l'Immigration conclut que sa présence est, individuellement ou au titre de son appartenance à une catégorie de personnes, dans l'intérêt national ou dans l'intérêt public, compte tenu des intérêts primordiaux du Canada et des Canadiens en matière de santé publique.

Non-application — certaines personnes

(2) Le paragraphe (1) ne s'applique pas aux personnes ci-après qui cherchent à entrer au Canada à l'un des endroits visés aux alinéas 159.4(1)a), b) et c) du *Règlement sur l'immigration et la protection des réfugiés* :

a) le citoyen des États-Unis;

b) l'apatride qui a sa résidence habituelle aux États-Unis;

c) la personne qui satisfait aux exigences suivantes :

(i) elle a moins de dix-huit ans et n'est pas accompagnée par son père, sa mère ou son tuteur légal au sens du *Règlement sur l'immigration et la protection des réfugiés*,

(ii) elle n'a ni époux ni conjoint de fait au sens de ce règlement,

(iii) elle n'a aux États-Unis ni père, ni mère, ni tuteur légal au sens de ce règlement.

Interdiction — étudiants internationaux

5.1 (1) Il est interdit à tout étranger d'entrer au Canada en provenance des États-Unis afin d'y fréquenter un établissement autre qu'un établissement répertorié.

Prohibition — listed institution

(1.1) A foreign national is prohibited from entering Canada from the United States for the purpose of attending a listed institution unless they are

- (a)** a person who holds a valid study permit;
- (b)** a person who may apply for a study permit when entering Canada under section 214 of the *Immigration and Refugee Protection Regulations*; or
- (c)** a person whose application for a study permit was approved under the *Immigration and Refugee Protection Act* and who received written notice of the approval but who has not yet been issued the permit.

Listed institution

(2) For the purposes of subsections (1) and (1.1), a listed institution is an institution that is

- (a)** determined, by the government of the province in which the institution is located, to have appropriate measures in place to ensure that the students who attend the institution can meet applicable obligations under any order with respect to mandatory isolation or quarantine made under section 58 of the *Quarantine Act*; and
- (b)** included in a list that is published by the Department of Citizenship and Immigration on its website, as amended from time to time, for the purposes of this Order.

Non-application — compassionate grounds

5.2 Subsection 3(1), section 3.1 and subsection 4(1) do not apply to a foreign national if

- (a)** the Minister of Health
 - (i)** determines that the foreign national intends to enter Canada in order to engage in one of the following activities:
 - (A)** to attend to the death of or provide support to a Canadian citizen, permanent resident, temporary resident or protected person, or person registered as an Indian under the *Indian Act*, who is residing in Canada and who is deemed to be critically ill by a licensed health care practitioner,
 - (B)** to provide care for a Canadian citizen, permanent resident, temporary resident or protected person, or person registered as an Indian under the *Indian Act*, who is residing in Canada and who is deemed by a licensed health care practitioner to have a medical reason that they require support, or
 - (C)** to attend a funeral or end-of-life ceremony,

Interdiction — établissement répertorié

(1.1) Il est interdit à tout étranger d'entrer au Canada en provenance des États-Unis afin d'y fréquenter un établissement répertorié, sauf si :

- a)** il est titulaire d'un permis d'études;
- b)** il peut faire une demande de permis d'études au moment de son entrée au Canada aux termes de l'article 214 du *Règlement sur l'immigration et la protection des réfugiés*;
- c)** il ne s'est pas encore vu délivrer le permis d'études, mais a été avisé par écrit que sa demande de permis d'études a été approuvée sous le régime de la *Loi sur l'immigration et la protection des réfugiés*.

Établissement répertorié

(2) Pour l'application des paragraphes (1) et (1.1), est un établissement répertorié l'établissement qui :

- a)** de l'avis du gouvernement de la province dans laquelle il est situé, met en place des mesures appropriées pour que les étudiants qui le fréquentent puissent respecter leurs obligations aux termes de tout décret concernant l'obligation de s'isoler ou de se mettre en quarantaine pris en vertu de l'article 58 de la *Loi sur la mise en quarantaine*;
- b)** figure sur la liste publiée par le ministère de la Citoyenneté et de l'Immigration sur son site Web, avec ses modifications successives, pour l'application du présent décret.

Non-application — motifs d'ordre humanitaire

5.2 Le paragraphe 3(1), l'article 3.1 et le paragraphe 4(1) ne s'appliquent pas à l'étranger si l'une ou l'autre des conditions suivantes est remplie :

- a)** le ministre de la Santé :
 - (i)** conclut que l'étranger cherche à entrer au Canada afin d'accomplir l'une des actions suivantes :
 - (A)** fournir un soutien à un citoyen canadien, à un résident permanent, à un résident temporaire, à une personne protégée ou à une personne inscrite à titre d'Indien sous le régime de la *Loi sur les Indiens* qui réside au Canada et qu'un professionnel de la santé titulaire d'une licence ou d'un permis d'exercice juge gravement malade, ou assister au décès d'une telle personne,
 - (B)** fournir des soins à un citoyen canadien, à un résident permanent, à un résident temporaire, à une personne protégée ou à une personne inscrite à titre d'Indien sous le régime de la *Loi sur les Indiens* qui réside au Canada et qui, selon un professionnel de la santé titulaire d'une licence ou

(ii) in the case where a foreign national is, based on the purpose of entry and the length of their stay, unable to comply with the applicable requirement to quarantine under any order made under section 58 of the *Quarantine Act*,

(A) has not received written notice from the government of the province where the activity referred to in subparagraph (i) will take place indicating that that government opposes the non-application of subsection 3(1), section 3.1 and subsection 4(1) to persons who engage in such an activity in that province and who are unable to comply with the applicable requirement to quarantine under any order made under section 58 of the *Quarantine Act*, and

(B) if the foreign national intends to engage in the activity referred to in subparagraph (i) in a location other than a public outdoor location, determines that the person in charge of the location does not object to the presence of the foreign national at that location in order to engage in that activity; or

(b) the foreign national has obtained a limited release from quarantine on compassionate grounds under an order with respect to mandatory isolation or quarantine made under section 58 of the *Quarantine Act* for the activity referred to in subparagraph (a)(i).

Non-application — sports

5.3 (1) Subsection 3(1) does not apply to a foreign national who is authorized by a letter issued under subsection (2) to enter Canada as a high-performance athlete taking part in or a person engaging in an essential role in relation to an international single sport event, if the athlete or the person is affiliated with a national organization responsible for that sport.

Letter of authorization

(2) The Deputy Minister of Canadian Heritage may, if he or she considers it appropriate, issue a letter of authorization to enter Canada after receiving, from the individual or entity in charge of an international single sport event,

(a) the names and contact information of all persons referred to in subsection (1); and

(b) a letter of support for the entry into Canada of all persons referred to in subsection (1) from the government of the province where the international single

d'un permis d'exercice, nécessite du soutien pour une raison médicale,

(C) assister à des funérailles ou à une cérémonie de fin de vie,

(ii) dans le cas où l'étranger est, compte tenu des fins auxquelles il cherche à entrer au Canada ou de la durée prévue de son séjour, dans l'impossibilité de se conformer à l'obligation applicable de se mettre en quarantaine aux termes de tout décret pris en vertu de l'article 58 de la *Loi sur la mise en quarantaine*,

(A) n'a pas reçu d'avis écrit du gouvernement de la province où sera accomplie l'action visée au sous-alinéa (i) indiquant que celui-ci s'oppose à la non-application du paragraphe 3(1), de l'article 3.1 et du paragraphe 4(1) aux personnes qui accomplissent une telle action dans la province et qui sont dans l'impossibilité de se conformer à l'obligation applicable de se mettre en quarantaine aux termes de tout décret pris en vertu de l'article 58 de la *Loi sur la mise en quarantaine*,

(B) si l'étranger entend accomplir l'action visée au sous-alinéa (i) dans tout lieu autre qu'un lieu public extérieur, conclut que le responsable du lieu ne s'oppose pas à ce que l'étranger s'y trouve pour accomplir cette action,

b) l'étranger a obtenu une levée limitée de la mise en quarantaine pour motifs d'ordre humanitaire aux termes de tout décret concernant l'obligation de s'isoler ou de se mettre en quarantaine pris en vertu de l'article 58 de la *Loi sur la mise en quarantaine* pour l'action visée au sous-alinéa a)(i).

Non-application — sports

5.3 (1) Le paragraphe 3(1) ne s'applique pas à l'étranger qui est autorisé, au titre d'une lettre délivrée en vertu du paragraphe (2), à entrer au Canada pour participer à un événement unisport international comme athlète de haut niveau ou pour remplir des fonctions essentielles liées à l'événement, s'il est affilié à un organisme national responsable du sport en cause.

Lettre d'autorisation

(2) Le sous-ministre du Patrimoine canadien peut délivrer, s'il le juge approprié, une lettre d'autorisation d'entrer au Canada après avoir reçu de l'individu ou de l'entité responsable de l'événement unisport international ce qui suit :

a) les prénom, nom et coordonnées de toutes les personnes visées au paragraphe (1);

b) une lettre d'appui en vue de l'entrée au Canada de toutes les personnes visées au paragraphe (1) fournie

sport event will take place and from the local public health authority.

Cancellation or withdrawal of support

(3) Despite subsection (1), a foreign national is prohibited from entering Canada from the United States to take part in an international single sport event if the Deputy Minister of Canadian Heritage withdraws the letter of authorization for one of the following reasons:

- (a)** the event is cancelled by the individual or entity in charge of the event; or
- (b)** the local public health authority or the government of the province withdraws the letter of support referred to in paragraph (2)(b).

Non-application — order

6 This Order does not apply to

- (a)** a person registered as an Indian under the *Indian Act*;
- (b)** a person who, as determined by the Chief Public Health Officer appointed under subsection 6(1) of the *Public Health Agency of Canada Act*, does not pose a risk of significant harm to public health;
- (c)** a protected person; or
- (d)** a person who enters Canadian waters, including the inland waters, or the airspace over Canada on board a conveyance while proceeding directly from one place outside Canada to another place outside Canada, if the person was continuously on board that conveyance while in Canada and
 - (i)** in the case of a conveyance other than an aircraft, the person did not land in Canada and the conveyance did not make contact with another conveyance, moor or anchor while in Canadian waters, including the inland waters, other than anchoring carried out in accordance with the right of innocent passage under international law, or
 - (ii)** in the case of an aircraft, the conveyance did not land while in Canada.

Powers and obligations

7 For greater certainty, this Order does not affect any of the powers and obligations set out in the *Quarantine Act*.

par l'autorité sanitaire locale et par le gouvernement de la province du lieu où se déroulera l'événement uni-sport international.

Annulation ou retrait d'appui

(3) Malgré le paragraphe (1), il est interdit à tout étranger d'entrer au Canada en provenance des États-Unis pour participer à un événement unisport international si le sous-ministre du Patrimoine canadien retire la lettre d'autorisation pour l'une des raisons suivantes :

- a)** l'annulation de l'événement par l'individu ou l'entité responsable de l'événement;
- b)** le retrait, par l'autorité sanitaire locale ou par le gouvernement de la province, de la lettre d'appui visée à l'alinéa (2)b).

Non-application — décret

6 Le présent décret ne s'applique pas :

- a)** à la personne inscrite à titre d'Indien sous le régime de la *Loi sur les Indiens*;
- b)** à la personne qui, selon ce que conclut l'administrateur en chef nommé en vertu du paragraphe 6(1) de la *Loi sur l'Agence de la santé publique du Canada*, ne présente pas de danger grave pour la santé publique;
- c)** à la personne protégée;
- d)** à la personne qui, à bord d'un véhicule, se rend directement d'un lieu à l'extérieur du Canada à un autre lieu à l'extérieur du Canada, en passant par les eaux canadiennes, y compris les eaux internes, ou dans l'espace aérien du Canada, si elle est demeurée à bord du moyen de transport alors qu'il se trouvait au Canada et :
 - (i)** qu'elle n'a pas mis pied au Canada et, s'agissant d'un véhicule autre qu'un aéronef, que celui-ci n'a ni amarré, ni mouillé l'ancre, ni établi de contact avec un autre véhicule, alors qu'il se trouvait dans les eaux canadiennes, notamment les eaux internes, à l'exception d'avoir mouillé l'ancre conformément au droit de passage inoffensif en vertu du droit international,
 - (ii)** s'agissant d'un aéronef, que celui-ci n'a pas atterri alors qu'il se trouvait au Canada.

Pouvoirs et obligations

7 Il est entendu que le présent décret ne porte pas atteinte aux pouvoirs et aux obligations prévus par la *Loi sur la mise en quarantaine*.

Repeal

8 The *Minimizing the Risk of Exposure to COVID-19 in Canada Order (Prohibition of Entry into Canada from the United States)*¹ is repealed.

Effective period

9 This Order has effect for the period beginning at 11:59:59 p.m. Eastern Standard Time on the day on which it is made and ending at 11:59:59 p.m. Eastern Standard Time on January 21, 2021.

EXPLANATORY NOTE

(This note is not part of the Order.)

Proposal

This Order in Council, entitled *Minimizing the Risk of Exposure to COVID-19 in Canada Order (Prohibition of Entry into Canada from the United States)*, is made pursuant to section 58 of the *Quarantine Act*.

The Order repeals and replaces Order in Council P.C. 2020-968 of the same name, which came into force on November 29, 2020.

The new Order complements any Order made under the *Quarantine Act* imposing isolation or quarantine requirements upon entry into Canada.

This Order will be in effect from 11:59:59 p.m., Eastern standard time, on the date it is made until 11:59:59 p.m., Eastern standard time, January 21, 2021.

Objective

This Order extends the effective date of the previous Order restricting entry into Canada from the United States.

This Order maintains Canada's focus on reducing the introduction and spread of COVID-19 by decreasing the risk of importing cases from outside the country. The Order continues to prohibit entry into Canada of foreign nationals arriving from the United States for an optional or discretionary purpose, with some limited exceptions. Even those who are exempted from the prohibition may not enter if they have COVID-19, or if they exhibit signs and symptoms of COVID-19.

¹ P.C. 2020-968, November 29, 2020

Abrogation

8 Le *Décret visant la réduction du risque d'exposition à la COVID-19 au Canada (interdiction d'entrée au Canada en provenance des États-Unis)*¹ est abrogé.

Durée d'application

9 Le présent décret s'applique pendant la période commençant à 23 h 59 min 59 s, heure normale de l'Est, le jour de sa prise et se terminant à 23 h 59 min 59 s, heure normale de l'Est, le 21 janvier 2021.

NOTE EXPLICATIVE

(La présente note ne fait pas partie du Décret.)

Projet

Ce décret, intitulé *Décret visant la réduction du risque d'exposition à la COVID-19 au Canada (interdiction d'entrée au Canada en provenance des États-Unis)*, est pris en vertu de l'article 58 de la *Loi sur la mise en quarantaine*.

Le présent décret abroge et remplace le précédent décret C.P. 2020-968 du même nom, entré en vigueur le 29 novembre 2020.

Le nouveau décret constitue un complément à tout décret pris en vertu de la *Loi sur la mise en quarantaine* imposant les exigences d'isolement et de quarantaine à leur entrée au pays.

Le présent décret entrera en vigueur à 23 h 59 min 59 s, heure normale de l'Est, le jour où il sera pris, et s'appliquera jusqu'au 21 janvier 2021 à 23 h 59 min 59 s, heure normale de l'Est.

Objectif

Le présent décret prolonge la date d'entrée en vigueur du décret précédent qui interdisait l'entrée au Canada en provenance des États-Unis.

Ce décret maintient l'accent mis par le Canada sur la réduction de l'introduction et de la propagation de la COVID-19 en diminuant le risque d'importer des cas de l'extérieur du pays. Le décret interdit toujours l'entrée au Canada de ressortissants étrangers en provenance des États-Unis à des fins optionnelles ou discrétionnaires, à quelques exceptions près. Même ceux qui sont exemptés de l'interdiction peuvent ne pas entrer s'ils ont la COVID-19 ou s'ils présentent des signes et des symptômes de la COVID-19.

¹ C.P. 2020-968 du 29 novembre 2020

Background

COVID-19

COVID-19 is caused by a novel coronavirus capable of causing severe illness, named the Severe Acute Respiratory Syndrome coronavirus 2 (SARS-CoV-2). It is part of a family of viruses that includes Middle East Respiratory Syndrome coronavirus (MERS-CoV) and Severe Acute Respiratory Syndrome coronavirus (SARS-CoV).

COVID-19 was first detected in Wuhan, China, in December 2019. The disease is caused by a new strain of coronavirus never before seen in humans. Therefore, information about the virus, how it causes disease, whom it affects, and how to appropriately treat or prevent illness has been limited and based on best practices approaches to coronaviruses at large. Originally seen to be a local outbreak, COVID-19 has now affected the majority of countries around the globe. The science surrounding the virus is still evolving.

Coronaviruses are spread among humans primarily through the inhalation of infectious respiratory droplets (e.g. when an infected individual coughs or sneezes), and in some situations, through aerosols, created when an infected person coughs, sneezes, sings, shouts, or talks. Coronaviruses are also spread through contact with objects or surfaces contaminated by infectious droplets. Human-to-human transmission is the main driving force of the current COVID-19 outbreak and is exacerbated by a lack of immunity in the general population.

COVID-19 has been clearly demonstrated to be a severe, life-threatening respiratory disease. Patients with COVID-19 present symptoms that may include fever, malaise, dry cough, shortness of breath, and damage to the lungs. In more severe cases, infection can cause pneumonia, severe acute respiratory syndrome, kidney failure and death. Older individuals and those with a weakened immune system or an underlying medical condition have been seen to be at a higher risk of severe disease. The time from exposure to onset of symptoms is currently estimated to be up to 14 days, with an average of 5 days.

The World Health Organization (WHO) declared an outbreak of what is now known as COVID-19 to be a Public Health Emergency of International Concern (PHEIC) on January 30, 2020, and a pandemic on March 11, 2020. COVID-19 has demonstrated that it can cause widespread illness if not properly contained. The WHO continues to provide technical guidance and advice to countries for containing the pandemic, including identification of cases and recommendations for measures to prevent further

Contexte

COVID-19

La COVID-19 est causée par un nouveau coronavirus qui peut provoquer des affections graves, nommément appelé le coronavirus du syndrome respiratoire aigu sévère 2 (SRAS-CoV-2). Ce virus appartient à la même famille que le coronavirus du syndrome respiratoire du Moyen-Orient (SRMO-CoV) et le coronavirus du syndrome respiratoire aigu sévère (SRAS-CoV).

La COVID-19 a été détectée pour la première fois à Wuhan, en Chine, en décembre 2019. La maladie est causée par une nouvelle souche de coronavirus qui n'avait jamais encore été observée chez l'humain. Par conséquent, il existe peu d'information sur le virus, son mode d'action, les personnes affectées et les moyens de traiter ou de prévenir la maladie. Cette information s'appuie sur les pratiques exemplaires contre l'ensemble des coronavirus. D'abord considérée comme une éclosion locale, la COVID-19 s'est maintenant propagée à la plupart des pays. La science du virus évolue toujours.

Les coronavirus se propagent principalement chez les humains par inhalation de gouttelettes respiratoires infectieuses (produites par la toux et les éternuements des personnes infectées) et, dans certains cas, par aérosols, lorsqu'une personne infectée tousse, éternue, chante, crie ou parle. Les coronavirus se propagent également par contact avec des objets ou des surfaces contaminés par des gouttelettes infectieuses. La transmission entre humains est le principal moteur de l'éclosion actuelle de la COVID-19, et l'absence d'immunité vis-à-vis de cette maladie dans la population générale exacerbe la situation.

Il est clairement établi que la COVID-19 est une grave maladie respiratoire, potentiellement mortelle. Les patients atteints de la COVID-19 présentent des symptômes comme de la fièvre, des malaises, une toux sèche, de l'essoufflement et des lésions pulmonaires. Dans les cas les plus graves, l'infection peut causer une pneumonie, un syndrome respiratoire aigu sévère, une insuffisance rénale et la mort. Les personnes âgées et les personnes atteintes d'un déficit immunitaire ou d'un problème de santé sous-jacent présentent un risque accru d'affection grave. Il est estimé que l'intervalle entre l'exposition au virus et l'apparition des symptômes peut durer jusqu'à 14 jours, et qu'il est de 5 jours en moyenne.

Le 30 janvier 2020, l'Organisation mondiale de la Santé (OMS) a déclaré que l'éclosion de la maladie à coronavirus maintenant appelée COVID-19 répondait aux critères d'une urgence de santé publique de portée internationale (USPPI); le 11 mars 2020, l'OMS a qualifié la situation de pandémie. La COVID-19 a démontré qu'elle pouvait se répandre à grande échelle si elle n'était pas endiguée correctement. L'OMS continue d'offrir des orientations et des conseils techniques aux pays pour les aider à contenir la

spread. As case numbers continue to rise throughout Canada, there is concern for the domestic capacity to respond to the pandemic. An increase in the number of reported cases in hospitals and intensive care units may overwhelm the health system, further exacerbating the negative health impacts of the virus.

Government of Canada response to COVID-19 pandemic

The Government of Canada's top priority is the health and safety of Canadians. To limit the introduction and spread of COVID-19 in Canada, the Government of Canada continues to take unprecedented action to implement a comprehensive strategy with layers of precautionary measures. Measures include restrictions on entry into Canada for optional or discretionary travel, restrictions on cruise ship travel in Canada, and mandatory quarantine and isolation measures to prevent further spread of the virus.

Between February 3, 2020, and November 29, 2020, the Governor in Council has made 37 emergency orders under the *Quarantine Act* to minimize the risk of exposure to COVID-19 in Canada — to reduce risks from other countries, to repatriate Canadians, and to strengthen measures at the border to reduce the impact of COVID-19 in Canada.

Together, these measures have been effective. By limiting incoming travel to Canada, requiring mandatory quarantine for asymptomatic travellers (with some exceptions) and mandatory isolation for symptomatic travellers, the Government of Canada has reduced the proportion of travel-related COVID-19 infections from greater than 20% in March to less than 3% in all months since April 2020. While these measures cannot prevent COVID-19 from crossing the borders, they are effective at reducing the risk that community transmission will occur due to international travel.

As the COVID-19 pandemic evolves, the Government of Canada is continuing to evaluate the latest science and situational assessments of what is occurring in jurisdictions across Canada and internationally when considering any changes to border restrictions or border measures. Although domestically Canada saw a decrease in the number of confirmed cases in the summer months, a recent resurgence in case numbers has resulted in many provinces and territories reintroducing public health measures, including lockdowns, to slow the spread of the virus.

pandémie, notamment en ce qui a trait au dépistage des cas et aux mesures recommandées pour prévenir la propagation. Comme le nombre de cas continue d'augmenter dans tout le Canada, la capacité nationale pour répondre à la pandémie est source de préoccupation. Une hausse du nombre de cas signalés dans les hôpitaux et les unités de soins intensifs pourrait surcharger le système de santé, ce qui aggraverait davantage les conséquences néfastes du virus sur la santé.

Réponse du gouvernement du Canada à la pandémie de COVID-19

La priorité absolue du gouvernement du Canada est d'assurer la santé et la sécurité de la population canadienne. Pour limiter l'introduction de cas de la maladie à COVID-19 et la propagation de celle-ci au pays, le gouvernement du Canada prend des mesures sans précédent pour mettre en œuvre une stratégie globale comprenant diverses strates de mesures de protection. Parmi ces mesures, on trouve des restrictions relatives à l'entrée au Canada pour les voyages optionnels ou discrétionnaires, des restrictions pour tous les voyages sur des navires de croisière au Canada et des mesures de quarantaine et d'isolement obligatoire pour empêcher que le virus ne se propage davantage.

Entre le 3 février 2020 et le 29 novembre 2020, la gouverneure en conseil a pris 37 décrets d'urgence en vertu de la *Loi sur la mise en quarantaine* afin de réduire au minimum le risque d'exposition à la COVID-19 au Canada et de réduire les risques d'introduction à partir d'autres pays, de rapatrier des Canadiens et Canadiennes, et de renforcer les mesures à la frontière afin de réduire l'incidence de la COVID-19 au Canada.

Conjuguées, ces mesures ont été efficaces. En limitant les entrées au pays et en imposant une période de mise en quarantaine obligatoire pour les voyageurs asymptomatiques (avec quelques exceptions) et d'isolement obligatoire pour les voyageurs symptomatiques, le gouvernement du Canada a réduit la proportion d'infections par la COVID-19 liées aux voyages de plus de 20 % en mars à moins de 3 % pour tous les mois depuis avril 2020. Bien que ces mesures ne peuvent pas empêcher la COVID-19 de traverser la frontière, elles sont efficaces pour réduire la transmission communautaire en raison des déplacements internationaux.

À mesure que la pandémie de COVID-19 évolue, le gouvernement du Canada continue d'évaluer les dernières données scientifiques et évaluations des faits à divers endroits au pays et à l'étranger pour envisager tout changement aux restrictions à la frontière et aux mesures frontalières. Bien que le nombre de cas confirmés ait diminué au Canada pendant les mois d'été, une récente résurgence du nombre de cas a entraîné la réintroduction de mesures de santé publique dans de nombreuses provinces et territoires, y compris des mesures de fermeture, pour ralentir

In jurisdictions where domestic travel restrictions and quarantine requirements remain stringent, such as provinces in the Atlantic region, low case numbers are evidence that such measures limit community transmission of the virus.

At this time, travel continues to present a risk of imported cases and increases the potential for onward community transmission of COVID-19. Canada continues to have a Level 3 travel health notice for all countries, including the United States, advising Canadians to avoid non-essential travel outside Canada. This is because the global number of cases of COVID-19 is rising at an accelerated pace, with sharp increases in cases in Latin America, Europe, Asia and the Middle East. The number of cases of COVID-19 in the United States also remain high.

The WHO has warned that in many countries, the second wave is already exceeding previous peaks. As of December 6, 2020, there were 14 583 566 detected cases in the United States, 9 644 222 detected cases in India, and 6 577 177 detected cases in Brazil. Domestically, the situation also continues to worsen. For the week of November 22, 2020, an average of 5 591 cases was being reported daily, an increase of 13% from the previous week. Several provinces and territories are reintroducing significant lockdown measures to control the spread of the virus and are warning of increasing pressure on health care facilities and long-term care homes. As of December 6, 2020, Canada's case number stood at 415 182, with 73 379 considered active cases. In October 2020, of the travel-related cases identified in Canada for which a country of origin is identified, 38% of cases were attributed to travellers from the United States. Data current to December 6, 2020, shows the United States reporting the highest number of confirmed cases and deaths of all reporting countries. Therefore, there remains significant potential for a resurgence of travel-related cases in Canada if the border restrictions between the United States and Canada were to be broadly lifted at this time.

The Government has been considering easing restrictions on travel from countries identified as low risk as part of its COVID-19 recovery planning, but currently there is no internationally accepted standard for establishing travel thresholds or assessing a country's COVID-19 risk. Many countries, including the United Kingdom, Italy and other G7/G20 allies, have adopted a tiered country-risk classification system in an attempt to ease travel restrictions. Such an approach applies testing requirements and quarantine restrictions to travellers from countries ranked through a risk-based analysis. However, with rates of COVID-19 infection in constant flux, travel corridors and entry requirements remain dynamic, subject to change,

la propagation du virus. Dans les provinces et territoires où les restrictions de voyage à l'intérieur du pays et les exigences de mise en quarantaine demeurent rigoureuses, comme dans la région de l'Atlantique, le faible nombre de cas démontre que de telles mesures limitent la transmission communautaire du virus.

À l'heure actuelle, les voyages continuent de présenter un risque de cas importés et augmentent le potentiel de transmission communautaire ultérieure de la COVID-19. Le Canada continue d'avoir un avis de santé de voyage de niveau 3 pour tous les pays, y compris les États-Unis, conseillant aux Canadiens d'éviter les voyages non essentiels à l'extérieur du Canada. Cette mesure s'explique par le fait que le nombre mondial de cas de COVID-19 augmente à un rythme accéléré, notamment avec de fortes augmentations en Amérique latine, en Europe, en Asie et au Moyen-Orient. Aux États-Unis, le nombre de cas de COVID-19 demeure également élevé.

L'OMS a signalé que dans de nombreux pays, la deuxième vague surpasse déjà les sommets atteints précédemment. En date du 6 décembre 2020, 14 583 566 cas ont été détectés aux États-Unis, 9 644 222 en Inde et 6 577 177 au Brésil. À l'échelle nationale, la situation continue également de s'aggraver. Pour la semaine du 22 novembre 2020, une moyenne de 5 591 cas a été signalée chaque jour, soit une augmentation de 13 % par rapport à la semaine précédente. Plusieurs provinces et territoires réintroduisent d'importantes mesures de confinement pour contrôler la propagation du virus et mettent en garde contre une pression croissante sur les établissements de soins de santé et les foyers de soins de longue durée. En date du 6 décembre 2020, le nombre de cas au Canada s'élevait à 415 182, dont 73 379 étaient considérés comme actifs. En octobre 2020, parmi les cas liés aux voyages répertoriés au Canada pour lesquels un pays d'origine a été déterminé, 38 % des cas étaient attribués aux voyageurs provenant des États-Unis. Les données à jour en date du 6 décembre 2020, montrent que les États-Unis ont déclaré le plus grand nombre de cas confirmés et de décès parmi tous les pays déclarants. Par conséquent, il y a toujours un risque important de résurgence des cas liés aux voyages au Canada si les restrictions frontalières entre les États-Unis et le Canada étaient largement assouplies à ce moment-ci.

Dans le cadre de sa planification du rétablissement, le gouvernement a envisagé d'assouplir les restrictions sur les voyages en provenance des pays considérés comme à faible risque relatif à la COVID-19. Désormais, il n'existe actuellement aucune norme internationalement acceptée pour établir des seuils de voyages ou évaluer le risque à la COVID-19 d'un pays. De nombreux pays, dont le Royaume-Uni, l'Italie et d'autres alliés du G7 et du G20, ont adopté un système de classification à plusieurs niveaux du risque par pays dans le but d'alléger les restrictions de voyage. Une telle approche impose des exigences en matière de tests et des restrictions de quarantaine aux voyageurs provenant de pays classés au moyen d'une analyse fondée sur

and generally unstable. While some countries saw a slight decline in confirmed cases and deaths in the summer months, the easing of lockdown measures and travel restrictions has resulted in a renewed surge in case numbers. As a result, these countries have now reinstated lockdown measures, causing uncertainty for travellers and industry. To avoid a similar outcome, the Government of Canada must proceed cautiously with easing border restrictions.

Adequate scientific support for the role of laboratory testing as part of a multilayered approach to reduce the risk of importation or to ease quarantine measures is not yet available. To reduce the risk of importation, many countries have begun accepting negative test results prior to entry or arrival, or have introduced rapid testing measures for travellers arriving at airports. As of September 30, some U.S. airlines announced plans to begin pre-flight testing at a cost (US\$80-US\$250) to passengers. Further study of such airport testing measures is required to determine how effectively they limit importation and subsequent community transmission. The Government of Canada is working with provincial governments and industry stakeholders to gather data on testing travellers before, at and beyond the border through pilot programs. A recent study with McMaster HealthLabs, supported by the federal government and in partnership with Air Canada and the Greater Toronto Airports Authority, tested 8 600 travellers who arrived at Pearson International Airport between September 3, 2020, and October 2, 2020; they were also given follow-up tests over the next two weeks. Interim results show that while 99% of travellers tested negative for COVID-19, 1% were found to be positive, with 68.5% of cases detected on the first day, 25.8% on day 7 and 5.6% on day 14. Data gleaned from this and other pilot programs will inform future approaches to border measures.

Changes to international travel restrictions and advice are based on national and international evidence-based risk assessments. The Government recognizes that entry prohibitions and mandatory quarantine requirements place significant burden on the Canadian economy, Canadians, and their immediate and extended families. Entry prohibitions coupled with mandatory isolation and quarantine remain the most effective means of limiting the

le risque. Cependant, étant donné les taux d'infection de COVID-19 très changeants, les couloirs de déplacement et les exigences d'entrée demeurent dynamiques, sujets aux changements et généralement instables. Certains pays ont observé un léger déclin du nombre de cas confirmés et de décès au cours des mois estivaux, toutefois l'assouplissement des mesures de confinement et des restrictions de voyage a entraîné une nouvelle recrudescence du nombre de cas. Par conséquent, ces pays ont maintenant réinstauré les mesures de confinement, ce qui cause de l'incertitude pour les voyageurs et l'industrie. Pour éviter un résultat semblable, le gouvernement du Canada doit adopter une démarche prudente en ce qui concerne l'assouplissement des restrictions frontalières.

Il n'y a pour l'instant pas suffisamment de données scientifiques pour montrer que les tests en laboratoire dans le cadre d'une approche à plusieurs strates réduisent le risque d'importation ou pour justifier un assouplissement des mesures de mise en quarantaine. Pour réduire le risque d'importation, de nombreux pays ont commencé à accepter les résultats négatifs aux tests avant l'entrée ou l'arrivée, ou ont mis en place des mesures de dépistage rapide pour les voyageurs qui arrivent aux aéroports. En date du 30 septembre, certaines compagnies aériennes américaines ont annoncé des plans visant à commencer le dépistage avant le vol, dont le coût est assumé par les passagers (de 80 à 250 \$ US). Une étude plus poussée de telles mesures de dépistage dans les aéroports est nécessaire afin de déterminer leur efficacité pour limiter l'importation du virus et la transmission communautaire ultérieure. Le gouvernement du Canada travaille avec les gouvernements provinciaux et les intervenants de l'industrie pour la collecte de données sur le dépistage des voyageurs avant l'arrivée à la frontière, à la frontière même et une fois la frontière franchie, à l'aide de programmes pilotes. Les résultats provisoires récents d'une étude menée auprès de McMaster HealthLabs avec l'appui du gouvernement fédéral et en partenariat avec Air Canada et l'Autorité aéroportuaire du Grand Toronto ont permis d'évaluer 8 600 voyageurs qui sont arrivés à l'aéroport international Pearson entre le 3 septembre 2020 et le 2 octobre 2020 et qui ont été soumis à des tests de suivi au cours des deux semaines suivantes. Alors que 99 % des voyageurs ayant subi un test de dépistage ont été déclarés négatifs pour la COVID-19, 1 % ont été déclarés positifs. De ces cas positifs, 68,5 % ont été détectés le premier jour, 25,8 % au jour 7 et 5,6 % au jour 14. Les données recueillies dans le cadre de ce projet pilote et d'autres projets pilotes éclaireront les approches futures des mesures frontalières.

Des modifications aux restrictions aux voyages internationaux et des conseils sont fondés sur une évaluation du risque fondée sur des données probantes aux échelles nationale et internationale. Le gouvernement reconnaît que l'interdiction d'entrée et l'exigence de mise en quarantaine obligatoire imposent un important fardeau sur l'économie canadienne, sur les Canadiens et sur leurs familles immédiates et élargies. Les interdictions d'entrée

introduction of new cases of COVID-19 into Canada. With some countries easing COVID-19 protection measures and the increase of new cases in those countries as a result, the Government of Canada continues to take a precautionary approach by largely maintaining the current border restrictions at this time, with limited exceptions, in an effort to preserve the fragile recovery in Canada.

Implications

Key impacts for travellers

By limiting the number of incoming foreign nationals, Canada has taken strict border measures to limit the risk of the introduction or spread of COVID-19 transmitted via travellers from foreign countries, while maintaining critical services and support necessary for Canada.

This Order will continue to generally prohibit foreign nationals from entering Canada from the United States, unless they are entering for non-optional or non-discretionary purposes or other specified permitted purposes. Foreign nationals travelling for any purpose will continue to be denied entry into Canada if they have COVID-19, have reasonable grounds to suspect they have COVID-19 or are exhibiting signs and symptoms of COVID-19, subject to certain narrow exceptions. The enforcement of the prohibition on entry for foreign nationals who arrive exhibiting COVID-19 symptoms, despite having appeared healthy prior to boarding an aircraft or vessel, may be deferred to the extent required to maintain public health and ensure the safety of the commercial transportation system.

The Government of Canada recognizes that the ongoing general restrictions on entry into Canada has significantly impacted the Canadian economy. However, the measures taken by the Government of Canada continue to be necessary to address the serious health threat posed by COVID-19.

Penalties

Failure to comply with this Order and other related measures under the *Quarantine Act* is an offence under the Act. The maximum penalties are a fine of up to \$1,000,000 or imprisonment for three years, or both. Non-compliance is also subject to fines under the federal *Contraventions Act*.

combinées à l'isolement obligatoire et à la quarantaine demeurent le moyen le plus efficace de limiter l'introduction de nouveaux cas de COVID-19 au Canada. Certains pays assouplissent leurs mesures de protection contre la COVID-19 et le nombre de nouveaux cas y augmente en conséquence, ainsi le gouvernement du Canada continue de suivre une approche de précaution en maintenant en grande partie les restrictions frontalières actuelles, à quelques exceptions près, dans un effort pour préserver la reprise fragile au Canada.

Répercussions

Principales répercussions pour les voyageurs

En limitant le nombre de ressortissants étrangers qui entrent au pays, le Canada a pris des mesures de contrôle frontalier strictes pour restreindre le risque d'introduction et de propagation de la COVID-19 transmise par des voyageurs en provenance de pays étrangers tout en maintenant les services essentiels et les services de soutien nécessaires au Canada.

Le présent décret continuera à interdire de façon générale aux ressortissants étrangers d'entrer au Canada à partir des États-Unis, sauf s'ils entrent à des fins essentielles ou non discrétionnaires ou à d'autres fins permises précisées. Les ressortissants étrangers voyageant pour quelque raison que ce soit se verront refuser l'entrée au Canada s'ils ont des motifs raisonnables de soupçonner qu'ils sont atteints de la COVID-19, s'ils sont atteints de la COVID-19, ou s'ils présentent des signes et symptômes de la COVID-19, sous réserve de certaines exemptions limitées. L'application de l'interdiction d'entrer pour les étrangers qui arrivent avec des symptômes de COVID-19, même s'ils ont semblé en bonne santé avant de monter à bord d'un aéronef ou d'un navire, peut être reportée dans la mesure nécessaire pour maintenir la santé publique et assurer la sécurité du réseau de transport commercial.

Le gouvernement du Canada reconnaît que les restrictions générales en vigueur à l'entrée au Canada ont eu des répercussions importantes sur l'économie canadienne. Cependant, les mesures prises par le gouvernement du Canada demeurent nécessaires pour faire face à la grave menace pour la santé posée par la COVID-19.

Peines

Le non-respect de ce décret et des mesures connexes prises sous le régime de la *Loi sur la mise en quarantaine* constitue une infraction à la Loi. Les peines maximales sont une amende allant jusqu'à 1 000 000 \$ ou une peine d'emprisonnement de trois ans, ou les deux. La non-conformité est également passible d'amendes en vertu de la *Loi sur les contraventions* du gouvernement fédéral.

Consultation

The Government of Canada has engaged provinces and territories to coordinate efforts and implementation plans. In addition, there has been consultation across multiple government departments, including the Canada Border Services Agency; Immigration, Refugees and Citizenship Canada; Transport Canada; Public Safety and Emergency Preparedness; and Global Affairs Canada given linkages to departmental mandates and other statutory instruments.

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Consultation

Le gouvernement du Canada a mobilisé les provinces et les territoires afin que leurs efforts et les plans de mise en œuvre soient harmonisés. De plus, des consultations ont été menées auprès de plusieurs ministères, notamment : l'Agence des services frontaliers du Canada; Immigration, Réfugiés et Citoyenneté Canada; Transports Canada; Sécurité publique et Protection civile; et Affaires mondiales Canada compte tenu des liens avec d'autres mandats ministériels et textes réglementaires.

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(This statement is not part of the Regulations.)

Issues

The capacity-based fee model for fixed point-to-point systems in the fixed service

Innovation, Science and Economic Development (ISED) Canada's current capacity-based fee regime for fixed point-to-point systems has the undesired effect of generating higher fees for systems that use spectrum efficiently. This outdated fee model poses an increasing issue as service providers are actively upgrading their networks with state-of-the-art technologies. New and innovative technologies continue to be developed, allowing for the more efficient use of spectrum (i.e. increased data over smaller bandwidths) and faster data rates. Modern radio equipment can transmit more capacity using the same or even less spectrum than previously required. Charging a fee based on capacity means that a system transmitting more data using a given amount of spectrum would be subject to higher fees than another system transmitting less data using the very same amount of spectrum.

The current fee regime for these systems also does not recognize the higher cost associated with deployment in rural and remote areas, especially in the most remote regions of Canada where subscriber density is low relative to the infrastructure needed to support service. Wireless technology is a key component of connectivity for rural homes and businesses, particularly in areas where wireline solutions, such as fibre, are less feasible.

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RÉSUMÉ DE L'ÉTUDE D'IMPACT DE LA RÉGLEMENTATION

(Le présent résumé ne fait pas partie du Règlement.)

Enjeux

Le modèle de droits selon la capacité s'appliquant aux systèmes point à point fixes du service fixe

Le régime actuel de droits selon la capacité s'appliquant aux systèmes point à point fixes d'Innovation, Science et Développement économique Canada (ISDE) a l'effet indésirable de générer des frais plus élevés aux systèmes qui utilisent le spectre de manière efficace. Ce modèle de droits obsolète pose un problème croissant au moment où les fournisseurs de services s'emploient activement à moderniser leurs réseaux à l'aide de technologies de pointe. On continue de mettre au point des technologies novatrices qui exploitent plus efficacement le spectre (transmission d'un plus grand volume de données via des bandes passantes moindres) et qui offrent des débits de transfert plus rapides. Les équipements radio modernes peuvent transmettre plus de signaux sur un spectre égal, voire plus petit qu'auparavant. La facturation de droits selon la capacité signifie qu'un système qui transmet plus de données en utilisant une quantité donnée du spectre serait soumis à des droits plus élevés qu'un autre système qui transmet moins de données en utilisant la même quantité de spectre.

Le régime actuel de droits s'appliquant à ces systèmes ne reconnaît pas non plus le coût plus élevé associé au déploiement dans les régions rurales et éloignées, en particulier dans les régions les plus reculées du Canada où la densité d'abonnés est faible par rapport à l'infrastructure nécessaire pour soutenir le service. La technologie sans fil est un élément clé de la connectivité pour les foyers et les entreprises situés en régions rurales, surtout dans les zones où les solutions filaires, comme la fibre, sont plus difficiles à mettre en place.

Insufficient flexibility in the duration of radio licence terms

In ISED's current regulatory scheme, the licence fee payable in respect of a radio licence may either be an annual fee for the period from April 1 to March 31 of the following year, a monthly fee payable for the period of a year up to March 31 during which the licence is valid, or 30 days (1 month). A licence with a term shorter than a month is charged the monthly fee. The lack of flexibility of licensing terms means that licensees who require a licence for more than one month but less than one year must choose between either an annual licence, a partial year licence with a term ending March 31, or renewing a monthly licence every month. Some respondents to the *Consultation on Licence Fees for Fixed Point-to-Point Radio Systems* (Consultation on Point-to-Point Systems Fees) noted that greater flexibility would allow licensees to better manage change in their radio systems and deploy equipment on a short-term basis while ensuring that their licence fees reflect their spectrum usage.

Unused and obsolete radio licence fees and outdated drafting conventions

Currently, the *Radiocommunication Regulations* (the Regulations) contain provisions related to fees payable for cellular mobile radio frequencies, broadband personal communications services radio frequencies, and radiocommunication service providers for space stations that communicate with mobile stations. These fees were phased out due to changes in the way that spectrum is licensed. They have been replaced by Ministerial fee orders under the *Department of Industry Act*. These provisions are thus obsolete and must be removed. A few miscellaneous changes are also required to bring the Regulations up to date with the Department of Justice's current drafting best practices.

Background

The *Radiocommunication Act* (the Act) governs the technical aspects of all wireless communications. Radiocommunication is a type of telecommunication and so is also subject to the *Telecommunications Act*. While the *Telecommunications Act* sets out the Canadian telecommunications policy objectives, the Act and the Regulations provide the mechanisms for the orderly development of radiocommunication in Canada. The Minister of Innovation, Science and Industry (the Minister) is responsible for developing goals and national policies for spectrum utilization and for ensuring effective management of the radio frequency spectrum. The *Spectrum Policy*

Flexibilité insuffisante de la durée des licences radio

Selon le système réglementaire actuel d'ISDE, les droits de licence radio à payer peuvent être soit des droits annuels couvrant la période du 1^{er} avril au 31 mars de l'année suivante, soit des droits mensuels payables au cours de la période d'une année allant jusqu'au 31 mars pendant laquelle la licence est valide, ou 30 jours (un mois). Une licence d'une durée inférieure à un mois est soumise aux droits mensuels. Le manque de flexibilité des modalités de la délivrance des licences signifie que les titulaires de licences qui ont besoin d'une licence pour plus d'un mois, mais moins d'un an, doivent choisir entre une licence annuelle, une licence pour une année partielle dont la durée se termine le 31 mars ou le renouvellement d'une licence mensuelle tous les mois. Certains répondants à la *Consultation sur les droits de licence applicables aux systèmes radio point à point fixes* (Consultation sur les droits des systèmes point à point) ont noté qu'une plus grande flexibilité permettrait aux titulaires de licences de mieux gérer les changements apportés à leurs systèmes radio et de déployer des équipements à court terme tout en veillant à ce que leurs droits reflètent l'utilisation du spectre.

Droits de licence radio inutilisés et obsolètes, et conventions de rédaction obsolètes

Actuellement, le *Règlement sur la radiocommunication* (le Règlement) contient des dispositions relatives aux droits à payer s'appliquant aux radiofréquences de téléphonie mobile cellulaire, aux radiofréquences du service de communications personnelles à large bande et aux fournisseurs de services de radiocommunication de stations spatiales qui communiquent avec les stations mobiles. Ces droits ont été progressivement supprimés en raison des changements dans la manière dont le spectre est attribué. Ils ont été remplacés par des arrêtés ministériels sur les frais en vertu de la *Loi sur le ministère de l'Industrie*. Ces dispositions sont donc obsolètes et doivent être supprimées. Quelques modifications diverses sont également nécessaires pour mettre le Règlement à jour afin de tenir compte des pratiques exemplaires actuelles du ministère de la Justice en matière de rédaction.

Contexte

La *Loi sur la radiocommunication* (la Loi) régit les aspects techniques de toutes les communications sans fil. La radiocommunication est un type de télécommunication qui est donc également soumise à la *Loi sur les télécommunications*. Alors que cette dernière définit les objectifs de la politique canadienne des télécommunications, la Loi et le *Règlement sur la radiocommunication* fournissent les mécanismes pour le développement ordonné des radiocommunications au Canada. Le ministre de l'Innovation, des Sciences et du Développement économique est responsable d'élaborer les buts et les politiques nationales sur l'utilisation du spectre et de veiller à la gestion efficace

Framework for Canada (SPFC) sets out the policy objective and enabling guidelines for the Canadian Spectrum Management Program that guide ISED in managing the radio frequency spectrum resource in Canada. ISED also seeks to advance other priorities through spectrum management, such as those of *Canada's Innovation and Skills Plan*. *Connect to Innovate* is one initiative of the Innovation and Skills Plan that is bringing high-speed Internet services to some of Canada's most rural and remote communities.

The radio frequency spectrum is a unique resource from which all aspects of society benefit. The radio frequency spectrum is divided into different bands which are used by a variety of communications services including — broadcasting, cellular, satellite, public safety and two-way radio. Radio licence fees are one mechanism designed to promote both the effective use of spectrum and to earn a fair return for the Canadian public for the privilege of access to this public resource.

The International Telecommunication Union defines point-to-point communication as “communication provided by a link, for example, a radio-relay link between two stations located at specified fixed points.” Point-to-point systems use point-to-point communication, including backhaul communications (defined as the transport of aggregate communication signals from base stations to the core network). Backhaul communications are a primary use for point-to-point communication and are an essential part of the infrastructure backbone that enables delivery of Internet, as well as data and voice traffic, by fixed and mobile broadband networks.

Backhaul is also used, for example, to interconnect remote sites and buildings for corporate, health and educational purposes and to support broadcasting undertakings in the transmission of news gathering video. Point-to-point communication is therefore utilized by businesses in a variety of sectors as well as by governmental entities, such as municipalities and crown corporations.

In December 2012, ISED published the *Consultation on Spectrum Utilization Policies and Technical Requirements Related to Backhaul Spectrum in Various Bands, Including Bands Shared With Satellite, Mobile and Other Services* on its website, which initiated a consultation on the technical aspects of point-to-point systems focused on

des ressources du spectre des fréquences radio. Le *Cadre de la politique canadienne du spectre* (CPCS) définit l'objectif stratégique et les directives d'habilitation du Programme de gestion du spectre du Canada, qui guident ISDE dans la gestion des ressources du spectre des radiofréquences au Canada. ISDE cherche également à faire progresser d'autres priorités par la gestion du spectre, comme son *Plan pour l'innovation et les compétences du Canada*. Ce plan comprend l'initiative *Brancher pour innover* qui permet d'offrir des services Internet haute vitesse à certaines des collectivités les plus rurales et les plus éloignées du Canada.

Le spectre des radiofréquences est une ressource unique et bénéfique à tous les aspects de la société. Il se divise en différentes bandes utilisées par divers services de communications, notamment les services de radiodiffusion, de téléphonie cellulaire, de télécommunications par satellite, de sécurité publique et de radio bidirectionnelle. Les droits de licence radio consistent en un mécanisme conçu afin de promouvoir l'utilisation efficace du spectre et d'en obtenir un rendement équitable pour le public canadien en compensation du privilège d'avoir accès à cette ressource publique.

L'Union internationale des télécommunications définit la communication point à point comme « la communication assurée par une liaison, par exemple, au moyen d'un faisceau hertzien, entre deux stations situées en des points fixes spécifiés ». Les systèmes point à point utilisent les communications point à point, y compris les liaisons de raccordement (transport de signaux de communication composites depuis les stations de base jusqu'au réseau central) et les liaisons studio à émetteur. Les liaisons de raccordement sont une des principales utilisations des communications point à point et elles constituent une partie essentielle de l'infrastructure dorsale qui permet la fourniture d'Internet, ainsi que le trafic de données et de voix par des réseaux à large bande du service fixe et du service mobile.

Les liaisons de raccordement sont également utilisées, par exemple, pour interconnecter des sites et des bâtiments éloignés à des fins d'activités sociales, sanitaires et éducationnelles, ainsi que pour aider les entreprises de radiodiffusion à transmettre des vidéos de collecte d'informations. La communication point à point est donc utilisée par des entreprises de divers secteurs ainsi que par des entités gouvernementales, telles que les municipalités et les sociétés d'État.

En décembre 2012, ISDE a publié la *Consultation sur les politiques d'utilisation du spectre et sur les exigences techniques relatives au spectre des liaisons terrestres dans diverses bandes, y compris les bandes partagées avec les services mobiles, les services de satellite et autres services* sur son site Web, ce qui a lancé une consultation

backhaul communications. Many respondents to that Consultation emphasized that a consumption-based fee model would result in high spectral efficiencies by providing incentives for operators to reduce the spectrum consumed. Following that Consultation, ISED stated that it would consult on any proposed revisions to how the fees are established and assessed, potentially resulting from the review of licence fees that was underway at that time.

ISED examined the fee-setting methodologies of other countries such as the United Kingdom (U.K.) and Australia to inform its fee review.

- In the U.K., radio licence fees are designed to reflect spectrum consumption and its overall availability, as well as take into account technical and operational factors. In addition to the amount of spectrum used (bandwidth), the fee also reflects the popularity of the frequency band as well as the system's availability, distance of the link and the use of spectral efficient technologies. Additional information regarding the U.K.'s fixed link licence fees can be found on the [U.K.'s Office of Communications' website](#).
- Australia's pricing framework for fixed systems combines consumption-based fees (to provide incentives for efficient spectrum usage) with additional charges designed to recover administrative costs. In addition to the amount of spectrum consumed, the fee algorithm also takes into account the density of services and demand for spectrum at different frequencies and corresponding geographic areas (i.e. Australia-wide, high, medium, low, and remote density). The fee is also updated each year by the consumer price index. Additional information regarding Australia's apparatus licence fee schedule can be found on the [Australian Communications and Media Authority's \(ACMA\) website](#).
- The United States (U.S.) uses cost recovery as the basis for its pricing framework for fixed systems. The U.S. charges an application fee as well as a regulatory payment fee for licences, which have 10-year terms. Additional fees apply each time a licence is modified during this term. Fees are unrelated to the spectrum consumed, the frequency used, and the location of the station/system. Private enterprises are expected to have access to third-party databases for frequency coordination. Additional information regarding the U.S.' regulatory fees for point-to-point links can be found on the [Federal Communications Commission's \(FCC\) website](#).

sur les aspects techniques des systèmes point à point axés sur les communications de liaison. De nombreux répondants à cette consultation ont souligné qu'un modèle de droits selon la consommation permettrait d'obtenir des rendements spectraux élevés en incitant les opérateurs à réduire le spectre consommé. À la suite de cette consultation, ISDE a déclaré qu'il mènerait des consultations sur toute proposition de révision de la manière dont les droits sont établis et évalués, ce qui pourrait éventuellement se traduire par la révision des droits de licence qui était en cours à ce moment-là.

ISDE a examiné les méthodes de fixation des droits d'autres pays, comme le Royaume-Uni (R.-U.) et l'Australie, afin d'éclairer son examen des droits.

- Au R.-U., les droits de licence radio sont conçus pour refléter la consommation du spectre et sa disponibilité globale, ainsi que pour tenir compte des facteurs techniques et opérationnels. En plus de la quantité de spectre utilisée (bande passante), les droits tiennent compte également de la popularité de la bande de fréquences ainsi que de la disponibilité du système, de la distance de la liaison et de l'utilisation de technologies à haut rendement spectral. Des informations supplémentaires concernant les droits de licence s'appliquant aux liaisons fixes au R.-U. sont disponibles sur le [site Web de l'Office of Communications du R.-U. \(disponible en anglais seulement\)](#).
- Le cadre d'établissement des droits applicables aux systèmes fixes en vigueur en Australie combine des droits fondés sur la consommation (pour offrir des incitatifs à utiliser le spectre de façon efficiente) et des droits supplémentaires prévus pour recouvrer les coûts administratifs. En plus de la quantité du spectre utilisée, l'algorithme des droits tient également compte de la densité des services et de la demande de spectre à différentes fréquences et dans les régions géographiques correspondantes (c'est-à-dire à l'échelle de l'Australie, densité élevée, moyenne, faible et éloignée). Les frais sont également mis à jour chaque année avec l'aide de l'indice des prix à la consommation. Des renseignements supplémentaires sur le barème des droits de licence des appareils de l'Australie se trouvent sur le [site Web de l'Australian Communications and Media Authority \(disponible en anglais seulement\)](#).
- Les États-Unis (É.-U.) utilisent le recouvrement des coûts comme base de leur cadre de tarification s'appliquant aux systèmes fixes. Les É.-U. prélèvent des droits de demande ainsi que des droits de paiement réglementaire visant les licences, qui ont une durée de 10 ans. Des droits supplémentaires s'appliquent

In November 2018, ISED published the Consultation on Point-to-Point Systems Fees on its website, in which ISED proposed to modernize the existing fee structure for fixed point-to-point systems to focus on encouraging the efficient use of spectrum and investment in new technology. The majority of respondents supported ISED's proposal, highlighting the positive impact new fees would have on operating budgets and their ability to offer enhanced network resiliency. Respondents also linked the fee model to supporting 5G deployment. To implement reduced fees for rural and remote parts of Canada in this new fee model, ISED established methodologies for classifying areas as urban, rural or remote using its spectrum licensing policy, as outlined in the *Decision on a New Set of Service Areas for Spectrum Licensing* (the Tier 5 Decision).

Objectives

This proposal seeks to advance the SPFC policy objective, being “to maximize the economic and social benefits that Canadians derive from the use of the radio frequency spectrum resource.” This proposal also seeks to advance ISED's *Innovation and Skills Plan* priorities, such as Connect to Innovate. This proposal seeks to contribute to these objectives by simplifying the fee structure for fixed point-to-point systems and reduce fees overall by moving to a consumption-based fee model to encourage upgrading and deployment of new radiocommunication systems, particularly in rural and remote areas.

This proposal also seeks to modernize the Regulations by removing obsolete provisions and adopting up-to-date regulatory drafting conventions to further enhance their clarity and readability.

chaque fois qu'une licence est modifiée pendant cette période. Les droits ne sont pas liés au spectre utilisé ni à la fréquence utilisée ni à l'emplacement de la station ou du système. Les entreprises privées doivent avoir accès aux bases de données de tiers pour permettre la coordination des fréquences. Des informations supplémentaires concernant les droits réglementaires des É.-U. s'appliquant aux liaisons point à point sont disponibles sur le [site Web de la Federal Communications Commission \(FCC\)](#) [disponible en anglais seulement].

En novembre 2018, ISDE a publié sur son site Web la Consultation sur les droits des systèmes point à point, dans laquelle il propose de moderniser la structure des droits existant s'appliquant aux systèmes fixes point à point afin d'encourager l'utilisation efficace du spectre et l'investissement dans les nouvelles technologies. La majorité des répondants ont appuyé la proposition d'ISDE, en soulignant les répercussions positives sur les budgets d'exploitation et sur leur capacité d'améliorer la résilience de leurs réseaux. Les répondants voient aussi ce modèle de droits comme un appui au déploiement de la technologie 5G. Afin de mettre en œuvre des droits réduits pour les régions rurales et éloignées du Canada dans ce nouveau modèle de tarification, ISDE a établi des méthodologies pour classer les régions en catégories urbaine, rurale ou éloignée, et ce, en utilisant sa politique de délivrance de licences de spectre, comme il est indiqué dans les *Décisions sur un nouvel ensemble de zones de service pour y effectuer la délivrance de licences de spectre* (les décisions de niveau 5).

Objectifs

La présente proposition cherche à faire progresser l'objectif stratégique du CPCS, soit « maximiser, pour les Canadiens et les Canadiennes, les avantages économiques et sociaux découlant de l'utilisation du spectre des radiofréquences ». Cette proposition vise également à faire progresser les priorités du *Plan pour l'innovation et les compétences* d'ISDE, telles que l'initiative Brancher pour innover. Elle vise à contribuer à ces objectifs en simplifiant la structure des droits pour les systèmes point à point fixes et à réduire les droits dans leur ensemble en passant à un modèle de droit fondé sur la consommation afin d'encourager la modernisation et le déploiement de nouveaux systèmes de radiocommunication, en particulier dans les zones rurales et éloignées.

Enfin, elle vise également à moderniser le Règlement en supprimant les dispositions obsolètes et en adoptant des conventions de rédaction réglementaire actualisées afin d'améliorer encore leur clarté et leur lisibilité.

Description

Introducing a new fee model for fixed point-to-point systems in the fixed service

This proposal would amend the Regulations to change the current capacity-based fee model for point-to-point systems in the fixed service to a consumption-based fee model with differential pricing for urban, rural, and remote areas. The new consumption-based fee model would be based on the amount of spectrum that is set out on the licence multiplied by a base rate. The base rate is dependent on the frequency, with reduced rates for frequency ranges that have a greater amount of spectrum available as well as reduced rates in rural and remote areas. For example, base rates are reduced by 20% in rural areas and by 50% in remote areas. ISED has established methodologies for classifying areas as urban, rural or remote under its spectrum licensing policy, as outlined in the Tier 5 Decision. This proposal would create a fixed point-to-point service to ensure the fee model is applied correctly. This new service would provide for communications between fixed point-to-point stations in the scope of this proposal.

The new fee model will not apply to stations operating in or communicating with the land mobile, aeronautical, amateur, maritime, public information and radiodetermination services; transportables and their associated links in any service; satellites or earth stations; and passive repeaters.

These stations were scoped out of the [Decision on the Licence Fee Framework for Fixed Point-to-Point Systems](#) (the Decision on Point-to-Point Systems Fees). While the Decision on Point-to-Point Systems Fees indicated that the new fee model would not apply to stations used as active repeaters, provided no additional spectrum is used, further review found that stations used as active repeaters do consume spectrum along the route of a licensed link. Therefore, links that are used by active repeaters are covered under the proposed fee model.

Flexible radio licence terms

This proposal would introduce licence terms between 30 days and 11 months for all radio licences and would also introduce definitions to clarify renewable and temporary licences. Renewable radio licences would be issued for a period of one year or less, expiring on March 31, and

Description

Introduction d'un nouveau modèle de droits s'appliquant aux systèmes point à point fixes du service fixe

La présente proposition modifierait le Règlement afin de changer le modèle actuel de droits fondé sur la capacité pour les systèmes point à point du service fixe en un modèle de droits fondé sur la consommation ayant une tarification différentielle entre les zones urbaines, rurales et éloignées. Le nouveau modèle de droits fondé sur la consommation serait basé sur la quantité de spectre figurant sur la licence multipliée par un tarif de base. Ce dernier dépend de la fréquence; et il y aura des tarifs réduits s'appliquant aux gammes de fréquences qui ont une plus grande quantité de spectre disponible ainsi que des tarifs réduits offerts dans les zones rurales et éloignées. Par exemple, les tarifs de base sont réduits de 20 % dans les zones rurales et de 50 % dans les régions éloignées. ISDE a établi des méthodologies pour classer les zones en zones urbaines, rurales ou éloignées dans le cadre de sa politique de délivrance de licences d'utilisation du spectre, comme indiqué dans les décisions concernant le niveau 5. Cette proposition créerait un service point à point fixe pour garantir l'application correcte du modèle de droits. Ce nouveau service assurerait les communications entre les stations point à point fixes dans le cadre de cette proposition.

Le nouveau modèle de droits ne s'appliquera pas aux stations exploitées dans les services suivants ou qui communiquent avec ceux-ci : le terrestre mobile, l'aéronautique, l'amateur, le maritime, l'information publique et le radiopérage, les transportables et leurs liaisons connexes avec n'importe quel service, de même que les stations satellites et celles au sol, ainsi que les répéteurs passifs.

Ces stations ont été exclues du champ d'application de la [Décision sur le cadre régissant les droits de licence applicables aux systèmes radio point à point fixes](#) (la décision sur les droits des systèmes point à point). Alors que la décision sur les droits des systèmes point à point indiquait que le nouveau modèle de droits ne s'appliquerait pas aux stations utilisées comme répéteurs actifs, à condition qu'aucune fréquence supplémentaire ne soit utilisée, un examen plus approfondi a révélé que ces stations consomment effectivement du spectre le long du trajet d'une liaison autorisée sous licence. Par conséquent, les liens qui sont utilisés par les répéteurs actifs sont couverts par le modèle de droits proposé.

Durées de licence radio flexibles

Cette proposition introduirait des durées de licence comprises entre 30 jours et 11 mois pour toutes les licences radio et elle introduirait également des définitions pour clarifier les licences renouvelables et temporaires. Les licences radio renouvelables seraient délivrées pour une

would be eligible for renewal for a period of one year. Temporary radio licences would be issued for a period of 11 months or less and would not be renewed. Fees for all radio licences shorter than one year will be assessed based on their monthly fee as prescribed in the Regulations.

Unused and obsolete radio licence fees and updates to drafting conventions

Lastly, this proposal would also repeal four unused radio licence fees identified by ISED and make a few miscellaneous changes to bring the Regulations up to date with the Department of Justice's current drafting best practices.

Regulatory development

Consultation

In December 2012, ISED published the [Consultation on Spectrum Utilization Policies and Technical Requirements Related to Backhaul Spectrum in Various Bands, Including Bands Shared With Satellite, Mobile and Other Services](#) on its website. A [notice of consultation was published on December 22, 2012](#), in the *Canada Gazette*, Part I. ISED received [21 comments](#) and [6 reply comments](#). Stakeholder comments, published in December 2014 in [Decisions on Spectrum Utilization Policies and Technical Requirements Related to Backhaul](#), indicated that a consumption-based fee model would result in high spectral efficiencies by providing incentives for operators to reduce the spectrum consumed, as there would be a direct correlation between the amount of spectrum used and radio licence fees and recommended that such a model be implemented. With the advance of technology, modern radio equipment at that time was already able to transmit more capacity over the same or even less spectrum than previously required.

Therefore, the fees were viewed as penalizing licensees making use of modern highly spectrally efficient equipment and promoting inefficient spectrum use. ISED recognized stakeholders' concerns and the difficulties highlighted with respect to the capacity-based fee structure as it related to point-to-point and backhaul systems.

In November 2018, ISED published the [Consultation on Point-to-Point Systems Fees](#) on its website. A [notice of consultation was published on December 1, 2018](#), in the

période d'un an ou moins, expirant le 31 mars, et pourraient être renouvelées pour une période d'un an. Les licences radio temporaires seraient délivrées pour une période de 11 mois ou moins et ne seraient pas renouvelées. Les droits pour toutes les licences radio de moins d'un an seront calculés sur la base de leurs droits mensuels, comme le prévoit le règlement.

Droits de licence radio inutilisés et obsolètes et mises à jour des conventions de rédaction

Enfin, cette proposition abrogerait également quatre droits de licence radio inutilisés, déterminés par ISDE, et elle apporterait quelques modifications diverses pour mettre le Règlement à jour en tenant compte des pratiques exemplaires actuelles du ministère de la Justice en matière de rédaction.

Élaboration de la réglementation

Consultation

En décembre 2012, ISDE a publié la [Consultation sur les politiques d'utilisation du spectre et sur les exigences techniques relatives au spectre des liaisons terrestres dans diverses bandes, y compris les bandes partagées avec les services mobiles, les services de satellite et autres services](#) sur son site Web. Un [avis de consultation a été publié le 22 décembre 2012](#) dans la Partie I de la *Gazette du Canada*. ISDE a reçu [21 commentaires](#) et fourni [6 réponses aux commentaires](#). Les commentaires des intervenants, publiés en décembre 2014 dans les [Décisions concernant les politiques d'utilisation du spectre et les exigences techniques relatives aux liaisons terrestres](#) faisaient état qu'un modèle de droits fondé sur la consommation permettrait d'obtenir une grande efficacité spectrale en incitant les opérateurs à réduire le spectre consommé, car il y aurait une corrélation directe entre la quantité de spectre utilisée et les droits de licence radio, et on y recommandait qu'un tel modèle soit mis en œuvre. Grâce aux progrès technologiques, les équipements radio modernes à ce moment étaient déjà en mesure de transmettre une plus grande capacité sur le même spectre, voire un spectre inférieur, que ce qui était requis précédemment.

Par conséquent, les droits ont été considérés comme pénalisant les titulaires de licences qui utilisent des équipements modernes à haute efficacité spectrale et comme favorisant une utilisation inefficace du spectre. ISDE a reconnu les préoccupations des intervenants et les difficultés mises en évidence en ce qui concerne la structure des droits basée sur la capacité, dans la mesure où elle concerne les systèmes point à point et de liaisons de raccordement.

En novembre 2018, ISDE a publié la [Consultation sur les droits des systèmes point à point fixes](#). Un [avis de consultation a été publié le 1^{er} décembre 2018](#) dans la Partie I de

Canada Gazette, Part I. ISED received 20 comments and 8 reply comments from its stakeholders during this consultative process and posted their submissions on its website. The initial comment period ended January 4, 2019, and the reply comment period ended January 25, 2019. In July 2019, ISED released the Decision on Point-to-Point Systems Fees, which summarized the comments and reply comments received during the consultation and listed the respondents. Respondents suggested further refinements to ISED's proposal to modernize the existing fee structure, many of which ISED incorporated into the final model, such as refinements to base rates as well as ensuring significant fee decreases for deployments in rural/remote areas.

Some respondents recommended a cost recovery model, such as that used by the U.S. FCC and indicated that such a model aligns better with ISED's primary objective of maximizing the social and economic benefit of telecommunications, by lowering fees to encourage investment in infrastructure leading to better coverage, service and innovative services. While ISED recognized the recommendations of these respondents, it clarified that spectrum and radio licence fees are not designed to solely recover the costs related to the administration of the licensing regime. Radio licence fees are regulatory charges for a limited privilege, permitting access to, and the use of, spectrum — a public natural resource — managed by the Government of Canada. ISED noted that a consumption-based fee model will advance policy objectives such as promoting the effective and efficient use of spectrum while earning a fair return for the use of the resource for the Canadian public. As such, ISED decided to implement a consumption-based fee model.

After a public consultation, ISED released the Tier 5 Decision on its website, in July of 2019. The Tier 5 Decision set out a new tier of licence areas and provides a way to define urban, rural and remote areas in Canada which is referenced in the proposed Regulations.

Modern treaty obligations and Indigenous engagement and consultation

ISED completed an initial Assessment of Modern Treaty Implications. While this proposal would apply to licensees in modern treaty territories, no modern treaty implications were identified. A detailed assessment was found not to be required. This proposal would apply to indigenous peoples in Canada, who had the opportunity to take part in the Consultation on Point-to-Point Systems Fees

la Gazette du Canada. ISDE a reçu 20 commentaires et fourni 8 réponses aux commentaires de ses intervenants au cours de ce processus de consultation, et il a publié leurs contributions sur son site Web. La période de commentaires initiale s'est terminée le 4 janvier 2019 et la période de réponses aux commentaires s'est terminée le 25 janvier 2019. En juillet 2019, ISDE a publié la décision sur les droits des systèmes point à point, qui résume les commentaires reçus et les réponses données au cours de la consultation, ainsi qu'une liste des répondants. Les répondants ont suggéré d'apporter d'autres améliorations à la proposition d'ISDE visant à moderniser la structure de droits existante, dont beaucoup ont été intégrées par ISDE dans le modèle final, comme des améliorations aux tarifs de base ainsi que la garantie de réductions significatives des droits pour effectuer les déploiements dans les zones rurales ou éloignées.

Certains répondants ont recommandé un modèle de recouvrement des coûts, tel que celui utilisé par la FCC des É.-U., et ils ont indiqué qu'un tel modèle correspond mieux à l'objectif premier d'ISDE, qui est de maximiser les avantages sociaux et économiques des télécommunications, en abaissant les droits pour encourager les investissements dans les infrastructures, ce qui permet d'améliorer la couverture, le service et les services innovants. Bien qu'ISDE reconnaisse les recommandations de ces répondants, il a expliqué que les droits de licence radio et d'utilisation du spectre ne servent pas qu'à récupérer les frais d'administration du régime de licences. Les droits de licence radio sont des redevances réglementaires remises en échange d'un privilège limité d'utilisation du spectre — une ressource naturelle publique — administrées par le gouvernement du Canada. ISDE a noté qu'un modèle fondé sur la consommation permettra d'atteindre les objectifs de la politique, comme promouvoir l'utilisation efficace du spectre et assurer un rendement raisonnable aux citoyens canadiens quant à l'utilisation de cette ressource. Voilà pourquoi ISDE a décidé de mettre en œuvre un modèle de droit fondé sur la consommation.

Après une consultation publique, ISDE a publié la décision concernant le niveau 5 sur son site Web, en juillet 2019. Cette décision établit un nouveau niveau de zones de licence et elle donne un moyen de définir les zones urbaines, rurales et éloignées au Canada, qui est référencé dans le règlement proposé.

Obligations relatives aux traités modernes et consultation et mobilisation des Autochtones

ISDE a réalisé une évaluation initiale des répercussions des traités modernes. Bien que cette proposition s'applique aux titulaires de licences exploitées dans les territoires couverts par des traités modernes, aucune répercussion dans les traités modernes n'a été déterminée. Une évaluation détaillée n'a pas été jugée nécessaire. Cette proposition s'appliquerait aux Autochtones du Canada,

and the Tier 5 Consultation. No issues related to modern treaty obligations were raised.

Instrument choice

The radio licence fees as well as licence terms are prescribed through the Regulations. Modernizing the fee model and providing more flexibility in the length of duration of licences to ensure efficient use of spectrum requires amendments to the Regulations. As such, a regulatory amendment is the most appropriate instrument to achieve this objective.

Regulatory analysis

Benefits and costs

Businesses in a range of sectors as well as several forms of governmental entities would be affected by this proposal. Major sectors that would be affected include national, regional and local telecommunications, broadcasting, energy production and delivery, resource extraction, and transportation. Governmental entities including municipal and provincial governments as well as federal government departments and provincial and federal crown corporations. These governmental entities are primarily involved in public administration and public safety.

This cost-benefit analysis uses 2020 radio licence data from ISED's [Spectrum Management System](#), which includes the relevant technical information related to the systems in the scope of this proposal. Once the new point-to-point fee model is implemented, about 815 licensees would be impacted. From this total, approximately 650 licensees are expected to experience a net decrease in fees and approximately 165 are expected to experience a net increase in fees. As of May 2020, the impacted licensees hold approximately 18 700 in-scope licences in total. These licences are used as the baseline for projections regarding the associated fees for any given year over the period of analysis. The new fee model set out in the proposed amendments has been applied to these in-scope licences. The projections assume that the number of licences and type of equipment used by licensees remains constant over time. As a result, the estimated benefits from the reduction in fees should be seen as an approximation. In reality, licensees may decide to change their number of licences or their equipment, which may result in higher or lower estimates. However, these changes are not captured in this analysis because of the lack of appropriate and readily available information around potential options that may be chosen by stakeholders to achieve the most benefit of the new fee model.

qui ont eu l'occasion de participer à la consultation sur les droits s'appliquant aux systèmes point à point et à la consultation concernant le niveau 5. Aucune question relative aux obligations découlant des traités modernes n'a été soulevée.

Choix de l'instrument

Les droits de licence radio ainsi que les conditions de licence sont prescrits par le Règlement. La modernisation du modèle de droits et l'assouplissement de la durée des licences afin de garantir une utilisation efficace du spectre nécessitent des modifications au Règlement. Par conséquent, une modification réglementaire est l'instrument le plus approprié pour atteindre cet objectif.

Analyse de la réglementation

Avantages et coûts

Les entreprises de divers secteurs ainsi que plusieurs formes d'entités gouvernementales seraient concernées par cette proposition. Les principaux secteurs qui seraient touchés sont les télécommunications nationales, régionales et locales, la radiodiffusion, la production et la distribution d'électricité, l'extraction des ressources et le transport. Les entités gouvernementales, y compris les gouvernements municipaux et provinciaux ainsi que les ministères fédéraux et les sociétés d'État provinciales et fédérales. Ces entités gouvernementales participent principalement à l'administration et à la sécurité publiques.

Cette analyse coûts-avantages utilise les données relatives aux licences radio 2020 du [Système de gestion du spectre \(SGS\)](#) d'ISDE, qui comprend les informations techniques pertinentes relatives aux systèmes relevant du champ d'application de la présente proposition. Une fois que le nouveau modèle de droits point à point sera mis en œuvre, environ 815 titulaires de licence seront concernés. Sur ce total, environ 650 d'entre eux devraient connaître une diminution nette des droits et environ 165 s'attendent à une augmentation nette des droits. En mai 2020, les titulaires de licences concernés détenaient au total environ 18 700 licences dans le champ d'application. Ces licences sont utilisées comme base de référence pour les projections concernant les droits associés pour une année donnée sur la période d'analyse. Le nouveau modèle de droits défini dans les modifications proposées a été appliqué à ces licences dans le champ d'application. Les projections supposent que le nombre de licences et le type d'équipement utilisé par les titulaires de licences restent constants dans le temps. Par conséquent, les avantages estimés de la réduction des droits doivent être considérés comme une approximation. En réalité, les titulaires de licence peuvent décider de modifier leur nombre de licences ou leur équipement, ce qui peut entraîner des estimations plus ou moins élevées. Toutefois, ces

The cost-benefit analysis uses a 10-year analytical period from fiscal year 2021–2022 to fiscal year 2030–2031, presents the estimated costs and benefits to business and government in 2020 Canadian dollars and discounts the estimates to 2021 using a 7% discount rate. Overall, the proposal would result in a net cost and is estimated to be about \$338,480 in present value over the period or an annualized value of \$48,191.

Costs to businesses

This proposal is expected to result in costs to some of the businesses operating in the sectors indicated above including, in part, businesses in national, regional and local telecommunications, broadcasting, and in resource extraction.

Based on 2020 data, licensees that are businesses that are expected to experience a net increase in fees are projected to experience up to a total of \$314,408 in present value costs over 10 years, equating to an approximate \$44,764 in annualized average costs. These costs would be compliance costs resulting from increased licence fees for certain systems. Licensees with systems that use spectrum inefficiently will mainly experience fee increases as the new fee model will be based on the amount of spectrum consumed, not the capacity of the system. It has been conservatively assumed for the purpose of this cost-benefit analysis that licensees would pay the higher fee rather than transitioning to new equipment. However, many of these licensees would be able to transition to equipment that uses spectrum more efficiently to take advantage of the new fee model. Assuming that a licensee would switch to equipment that is more efficient if it was cheaper than paying the higher fees, the costs to businesses may be overestimated.

Costs to governments

The proposed fee model is expected to impose costs on governments. Governmental entities that are licensees are expected to experience costs of up to \$780,729 in present value over 10 years, equating to about \$111,158 in annualized average costs. Similarly to those felt by businesses, these compliance costs would primarily be the result of increased licence fees for systems that use spectrum inefficiently. These fees are in part charged to federal governmental entities, such as the Department of National

changements ne sont pas pris en compte dans cette analyse en raison du manque d'informations appropriées et facilement disponibles sur les options potentielles qui peuvent être choisies par les intervenants pour tirer le meilleur parti du nouveau modèle de droits.

L'analyse coûts-avantages utilise une période analytique de 10 ans allant de l'exercice 2021-2022 à l'exercice 2030-2031; elle présente les coûts et les avantages estimés pour les entreprises et le gouvernement en dollars canadiens de 2020 et elle actualise les estimations jusqu'en 2021 en utilisant un taux d'actualisation de 7 %. Dans l'ensemble, la proposition entraînerait un coût net et elle est estimée à environ 338 480 dollars en valeur actualisée sur la période, soit une valeur annualisée de 48 191 \$.

Coûts pour les entreprises

Cette proposition devrait entraîner des coûts pour certaines des entreprises opérant dans les secteurs indiqués ci-dessus, y compris, en partie, pour les entreprises de télécommunications nationales, régionales et locales, de radiodiffusion et d'extraction des ressources.

Sur la base des données de 2020, les titulaires de licences qui sont des entreprises qui devraient voir une augmentation nette des droits devraient connaître un total de 314 408 \$ de coûts en valeur actualisée sur 10 ans, ce qui équivaut à environ 44 764 \$ en coûts moyens annualisés. Ces coûts seraient des coûts de mise en conformité résultant de l'augmentation des droits de licence pour certains systèmes. Les titulaires de licences dont les systèmes utilisent le spectre de manière inefficace subiront principalement des augmentations de droits, car le nouveau modèle de droits sera basé sur la quantité de spectre consommée, et non sur la capacité du système. Aux fins de cette analyse coûts-avantages, on a supposé de manière prudente que les titulaires de licence paieraient les droits les plus élevés plutôt que de passer à un nouvel équipement. Toutefois, bon nombre de ces titulaires de licences pourraient passer à des équipements qui utilisent le spectre plus efficacement pour tirer parti du nouveau modèle de droits. En supposant qu'un titulaire de licence se tournerait vers un équipement plus efficace s'il était moins cher que s'il payait les droits plus élevés, les coûts pour les entreprises peuvent être surestimés.

Coûts pour les gouvernements

Le modèle de droits proposé devrait imposer des coûts aux gouvernements. Les entités gouvernementales qui sont titulaires de licences devraient subir des coûts pouvant atteindre 780 729 \$ en valeur actualisée sur 10 ans, ce qui équivaut à environ 111 158 \$ en coûts moyens annualisés. Comme ceux ressentis par les entreprises, ces coûts de mise en conformité seraient principalement le résultat de l'augmentation des droits de licence s'appliquant aux systèmes qui utilisent le spectre de manière inefficace. Ces

Defence, meaning that the Government of Canada would bear a portion of the costs.

All radio licence fees are directed to the federal government's Consolidated Revenue Fund, therefore the federal government will collect less revenue as there will be a reduction in fees if the new fee model is implemented. The reduction in fee revenue is projected to result in approximately \$218,129,255 in present value, equating to an approximate annualized value of \$31,056,698.

Changes to ISED's licensing and fee-charging system would be required to implement the proposal. These changes are expected to result in approximately \$338,480 in present value costs, equating to an approximate \$48,191 in annualized average costs. Should this proposal result in an increased number of licence requests for ISED, it may lead to increased costs to process new or amended licence applications. Given the normal year-to-year fluctuations in volume of licence applications, this potential increase is expected to be manageable using current resources and any increase in costs to process these applications would be absorbed by ISED's standard operating budget.

ISED would incur other miscellaneous costs to implement this proposal, including time spent training staff and amending supporting policy and guidance documents. These activities would be carried out during the course of ISED's standard operations, with any costs being managed using ISED's standard operating budget.

Benefits to business

This proposal is expected to result in cost savings to businesses. The new fee model will reward spectral efficiency, simplify the fee structure, and reduce fees overall. Businesses are expected to experience savings of up to \$207,182,075 in present value over 10 years, or about \$29,498,066 in average annualized value. These cost savings would be the result of decreased payment in licence fees. National, regional, and local telecommunications service providers will primarily experience these cost savings.

This proposal will also benefit businesses by introducing additional flexibility in radio licence terms, thereby reducing the burden on licensees by removing the need for licensees to constantly re-apply for licences for events that last more than one month. The added flexibility would enable these entities to match licence terms to the duration of the activities, resulting in more efficient use of licences and potential reduction in payment of fees. It is

droits sont en partie facturés à des entités du gouvernement fédéral, comme le ministère de la Défense nationale (MDN), ce qui signifie que le gouvernement du Canada prendrait en charge une partie des coûts.

Tous les droits de licence radio sont versés au Trésor. Par conséquent, le gouvernement fédéral percevra moins de recettes, car il y aura une réduction des droits si le nouveau modèle de droits est mis en œuvre. La réduction des recettes tirées des droits devrait se traduire par une valeur actualisée d'environ 218 129 255 \$, soit une valeur annualisée approximative de 31 056 698 \$.

Des modifications du système de licence et de tarification d'ISDE seraient nécessaires pour mettre en œuvre la proposition. Ces changements devraient se traduire par des coûts d'environ 338 480 \$ en valeur actualisée, ce qui équivaut à environ 48 191 \$ en coûts moyens annualisés. Si cette proposition devait entraîner une augmentation du nombre de demandes de licence auprès d'ISDE, elle pourrait entraîner une augmentation des coûts de traitement des demandes de licence, qu'elles soient nouvelles ou modifiées. Compte tenu des fluctuations normales d'une année à l'autre du volume des demandes de licence, cette augmentation potentielle devrait être gérable en utilisant les ressources actuelles et toute augmentation des coûts de traitement de ces demandes serait absorbée par le budget de fonctionnement standard d'ISDE.

ISDE devrait supporter d'autres coûts divers pour mettre en œuvre cette proposition, notamment le temps consacré à la formation du personnel et à la modification des documents d'orientation et de politique de soutien. Ces activités seraient menées dans le cadre du fonctionnement standard d'ISDE, les coûts éventuels étant gérés à l'aide du budget de fonctionnement standard d'ISDE.

Avantages pour les entreprises

Cette proposition devrait permettre aux entreprises de réaliser des économies. Le nouveau modèle de droits récompensera l'efficacité spectrale, simplifiera la structure des droits et les réduira en général. Les entreprises devraient réaliser des économies pouvant atteindre 207 182 075 \$ en valeur actualisée sur 10 ans, soit environ 29 498 066 \$ en valeur moyenne annualisée. Ces économies seraient le résultat d'une diminution du paiement des droits de licence. Les fournisseurs de services de télécommunications nationaux, régionaux et locaux bénéficieront principalement de ces économies.

Cette proposition profitera également aux entreprises en introduisant une flexibilité supplémentaire dans les conditions de délivrance des licences radio, ce qui réduira la charge qui incombe aux titulaires de licences en leur évitant de devoir constamment renouveler leurs demandes de licences pour des événements qui durent plus d'un mois. Cette flexibilité accrue permettrait à ces entités de faire correspondre les conditions des licences à la durée

not possible to quantitatively estimate the magnitude of this benefit due to lack of information regarding the extent to which increased flexibility in licence durations would be used. Nonetheless, this benefit is acknowledged qualitatively.

Benefits to governments

This proposal is expected to benefit all levels of government. Over the 10-year analytical period, governmental entities are expected to experience savings of up to \$10,947,179 in present value, or about \$1,558,632 in annualized value. These quantified benefits would be the result of decreased payments in licence fees. Benefits are expected to accrue to governmental entities operating in a range of sectors, including, in part, broadcasting, energy production and delivery and public safety.

Governmental entities will also benefit from the additional flexibility in radio licence terms resulting from this proposal. Similarly to businesses, the additional flexibility in radio licence terms would reduce the burden on licensed governmental entities by removing the need to constantly re-apply for licences for events that last more than one month. The added flexibility would enable these entities to match licence terms to the duration of the activities, resulting in more efficient use of licences and potential reduction in payment of fees.

The federal government will collect more revenue from increases to some in-scope licence fees if the new fee model is enacted. The increase in fee revenue is projected to result in approximately \$1,095,137 in present value, equating to an annualized average revenue of approximately \$155,922.

Benefits to Canadians

The proposed consumption-based fee model will ultimately benefit Canadians by encouraging innovation through facilitating the adoption of innovative new technologies that allow for the more efficient use of spectrum and faster data rates. Reduced point-to-point fees in rural and remote parts of Canada will contribute to network development in these areas, facilitating greater connectivity and access to high-quality wireless networks at competitive prices. Encouraging the upgrading and deployment of new systems will contribute to the ability of Canadians to innovate and participate in our economy, democracy and way of life using new digital tools and cutting-edge services. Consumers may also benefit from this proposal if some of the cost savings are passed on to

des activités, ce qui se traduirait par une utilisation plus efficace des licences et une réduction potentielle du paiement des droits. Il n'est pas possible d'estimer quantitativement l'ampleur de cet avantage en raison du manque d'informations concernant la mesure dans laquelle une plus grande flexibilité dans la durée des licences serait utilisée. Néanmoins, cet avantage est reconnu qualitativement.

Avantages pour les gouvernements

Cette proposition devrait profiter à tous les paliers de gouvernement. Sur la période analytique de 10 ans, les entités gouvernementales devraient réaliser des économies pouvant atteindre 10 947 179 \$ en valeur actualisée, soit environ 1 558 632 \$ en valeur annualisée. Ces avantages quantifiés seraient le résultat de la diminution des paiements de droits de licence. Des avantages sont attendus pour les entités gouvernementales opérant dans une série de secteurs, y compris, en partie, la radiodiffusion, la production et la distribution d'électricité et la sécurité publique.

Les entités gouvernementales bénéficieront également de la flexibilité supplémentaire des conditions de délivrance des licences radio résultant de cette proposition. Comme pour les entreprises, la flexibilité supplémentaire des conditions de délivrance des licences radio réduirait la charge qui incombe aux entités gouvernementales titulaires d'une licence en supprimant la nécessité de renouveler constamment les demandes de licence pour les événements qui durent plus d'un mois. Cette flexibilité accrue permettrait à ces entités de faire correspondre les conditions des licences à la durée des activités, ce qui se traduirait par une utilisation plus efficace des licences et une réduction potentielle du paiement des droits.

Le gouvernement fédéral percevra plus de recettes des augmentations de certains droits de licence dans le champ d'application si le nouveau modèle de droits est mis en œuvre. L'augmentation des recettes tirées des droits devrait se traduire par des avantages d'environ 1 095 137 \$ en valeur actualisée, soit une valeur annualisée approximative de 155 922 \$.

Avantages pour la population canadienne

Le modèle de droits proposé, basé sur la consommation, profitera en fin de compte aux Canadiens en encourageant l'innovation grâce à la facilitation de l'adoption de nouvelles technologies innovantes qui permettent une utilisation plus efficace du spectre et des débits de données plus rapides. La réduction des droits point à point dans les régions rurales et éloignées du Canada contribuera au développement de réseaux dans ces régions, en facilitant une plus grande connectivité et l'accès à des réseaux sans fil de grande qualité à des prix concurrentiels. Encourager la mise à niveau et le déploiement de nouveaux systèmes contribuera à la capacité des Canadiens à innover et à participer à notre économie, à notre démocratie et à notre mode de vie en utilisant de nouveaux outils numériques et

them by licensees that are wireless telecommunication service providers in the form of lower prices.

Other benefits

Other benefits with respect to spectrum management are expected as a result of increased flexibility in licensing terms. Stakeholders taking advantage of this increased flexibility will contribute to reduced congestion in areas of high spectrum usage. The increased flexibility would also enable ISED to reassign the spectrum based on need, which will increase its efficient use.

The removal of obsolete fees and unnecessary provisions, as well as making the other miscellaneous changes set out in the proposed amendments, would ensure that the regulations are kept up to date, improve their readability and would ensure that the regulatory text is in line with current best practices in regulatory drafting.

Net impact

The statement below shows the estimated total present value and annualized average total costs and benefits associated with the proposal. These impacts include the projected changes in licence fees for systems in scope of this proposal for both businesses and governmental entities, the reductions in the federal government's revenue from these fees as well as the cost to implement changes to ISED's licensing and fee-charging system.

In sum, the proposed amendments are expected to result in net cost savings for licensees, which would be offset by an equivalent loss in revenue for the federal government. As well, the federal government would incur implementation costs in the first year. The key non-monetized impact associated with the proposed amendments is the benefits associated with increased ability for licensees to expand network development and coverage to rural and remote areas.

Cost-benefit statement

	Base year (2021–2022)	Final year (2030–2031)	Total (present value)	Annualized average
Quantified impacts (in Can\$, 2020 price level / constant dollars)				
Benefits				
Licensees – Businesses	\$27,568,286	\$14,995,321	\$207,182,075	\$29,498,066
Licensees – Governments	\$1,456,666	\$792,329	\$10,947,179	\$1,558,632
Increase in revenue to ISED	\$145,722	\$79,263	\$1,095,137	\$155,922

des services de pointe. Les consommateurs peuvent également bénéficier de cette proposition si les titulaires de licence, les fournisseurs de services de télécommunications sans fil, leur refilent une partie des économies réalisées en baissant leurs prix.

Autres avantages

D'autres avantages en matière de gestion du spectre sont attendus du fait de la flexibilité accrue des conditions de délivrance des licences. Les intervenants qui tirent parti de cette flexibilité accrue contribueront à réduire la congestion dans les zones d'utilisation élevée du spectre. Cette flexibilité accrue permettrait également à ISDE de réattribuer le spectre en fonction des besoins, ce qui permettra de mieux l'utiliser.

La suppression des droits obsolètes et des dispositions inutiles, ainsi que les autres modifications diverses prévues dans les modifications proposées, garantiraient que le Règlement est tenu à jour, amélioreraient sa lisibilité et garantiraient que le texte réglementaire est conforme aux pratiques exemplaires actuelles en matière de rédaction réglementaire.

Incidence nette

Le résumé ci-dessous indique la valeur actualisée totale estimée et les coûts et les avantages totaux moyens annualisés, associés à la proposition. Ces incidences comprennent les changements prévus dans les droits de licence s'appliquant aux systèmes visés par cette proposition, tant pour les entreprises que pour les entités gouvernementales, les réductions des recettes du gouvernement fédéral provenant de ces droits, ainsi que le coût de la mise en œuvre des changements apportés au système de licence et de tarification d'ISED.

En résumé, les modifications proposées devraient entraîner des économies nettes pour les titulaires de licences, ce qui se traduirait cependant par une perte de recettes équivalente pour le gouvernement fédéral. De plus, le gouvernement fédéral devrait assumer les coûts de mise en œuvre au cours de la première année. La principale incidence non monétaire associée aux modifications proposées concerne les avantages liés à la capacité accrue des titulaires de licences d'étendre le développement et la couverture du réseau aux zones rurales et isolées.

	Base year (2021–2022)	Final year (2030–2031)	Total (present value)	Annualized average
Quantified impacts (in Can\$, 2020 price level / constant dollars) – Continued				
Costs				
Licensees – Businesses	\$41,836	\$22,756	\$314,408	\$44,764
Licensees – Governments	\$103,886	\$56,507	\$780,729	\$111,158
Federal government revenue losses	\$29,024,952	\$15,787,651	\$218,129,255	\$31,056,698
Implementation	\$338,480	\$0	\$338,480	\$48,191
Net costs			\$338,480	\$48,191
Qualitative impacts				
Benefits				
— Increased ability to utilize more efficient point-to-point systems				
— Increased ability to expand network development				
— Increased opportunity to expand network coverage to rural and remote areas				
— Increased flexibility in licensing terms				
Costs				
— Staff training, modifications to supporting policy and guidance documents				
— Processing increased number of radio licence authorizations				

Résumé des coûts et des avantages

	Exercice de départ (2021-2022)	Exercice de fin (2030-2031)	Total (valeur actualisée)	Moyenne annualisée
Incidences chiffrées (en \$ CA, niveau des prix de 2020 / dollars constants)				
Avantages				
Titulaires de licence – entreprises	27 568 286 \$	14 995 321 \$	207 182 075 \$	29 498 066 \$
Titulaires de licence – gouvernements	1 456 666 \$	792 329 \$	10 947 179 \$	1 558 632 \$
Augmentation des recettes d'ISDE	145 722 \$	79 263 \$	1 095 137 \$	155 922 \$
Coûts				
Titulaires de licence – entreprises	41 836 \$	22 756 \$	314 408 \$	44 764 \$
Titulaires de licence – gouvernements	103 886 \$	56 507 \$	780 729 \$	111 158 \$
Pertes de recettes du gouvernement fédéral	29 024 952 \$	15 787 651 \$	218 129 255 \$	31 056 698 \$
Mise en œuvre	338 480 \$	0 \$	338 480 \$	48 191 \$
Coûts nets			338 480 \$	48 191 \$
Incidences qualitatives				
Avantages				
— Capacité accrue à utiliser des systèmes point à point plus efficaces				
— Capacité accrue à étendre le développement des réseaux				
— Possibilité accrue d'étendre la couverture du réseau aux zones rurales et isolées				
— Plus grande flexibilité dans les conditions de délivrance des licences				
Coûts				
— Formation du personnel, modification des documents d'orientation et de politique de soutien				
— Traitement d'un nombre accru d'autorisations de licences radio				

Small business lens

From the approximately 815 licensees that are expected to be impacted either positively or negatively by the proposed amendments, 256 of them are considered to be small businesses. Therefore, the small business lens applies. A small business, for the purpose of the small business lens, is any business, including its affiliates, that has fewer than 100 employees or less than \$5 million in annual gross revenues. Similarly to other businesses, small businesses might incur incremental costs or reap incremental benefits depending on whether their systems use spectrum efficiently.

The impacts on small businesses are estimated over 10 years, discounted to 2021 using a 7% discount rate and expressed in 2020 Canadian dollars. Overall, the net benefit to small businesses is expected to be about \$5,279,600 over 10 years or approximately \$751,696 annually.

Compliance costs to small businesses

Increase in payment of fees are expected to be felt primarily by licensees whose systems use spectrum less efficiently. In the case where a small business solely operates systems that use spectrum inefficiently (e.g. when using older equipment), the new fee model will most likely lead to a net increase to the fees of that business. It is estimated that approximately 57 small businesses would be using inefficient equipment. The total cost to these small businesses is estimated to be up to about \$73,471 over 10 years or an annualized cost of \$10,460.

Licensees, including small businesses, that are expected to see increases to fees would be advised in advance. ISED plans to release an easily accessible account fee impact report tool in the fall of 2020 so that all businesses, including small businesses, would be able to easily obtain estimates of how their licence fees will be changing. Licensees were also notified of the proposed implementation date as a part of the Decision on Point-to-Point Systems Fees, which was released in July 2019. It is expected that these businesses may be able to make adjustments to their systems to take advantage of the new fee model and therefore be able to prepare well in advance of the proposed implementation in April 2021.

Benefits to small businesses

It is estimated that a majority of licensees that are small businesses, approximately 199, would substantially benefit from the reduction of fees caused by the new

Lentille des petites entreprises

Sur les quelque 815 titulaires de licences qui devraient être touchés, soit positivement soit négativement, par les modifications proposées, 256 sont considérés comme des petites entreprises. Par conséquent, la lentille des petites entreprises s'applique. Une petite entreprise, aux fins de la lentille des petites entreprises, est une petite entreprise, y compris ses sociétés affiliées, qui compte moins de 100 employés ou moins de 5 000 000 de dollars de recettes brutes annuelles. Comme d'autres entreprises, les petites entreprises peuvent supporter des coûts supplémentaires ou récolter des avantages supplémentaires selon que leurs systèmes utilisent le spectre de manière efficace.

Les incidences sur les petites entreprises sont estimées sur 10 ans, actualisées jusqu'en 2021 en utilisant un taux d'actualisation de 7 % et exprimées en dollars canadiens de 2020. Dans l'ensemble, l'avantage net pour les petites entreprises devrait être environ 5 279 600 \$ sur 10 ans, soit environ 751 696 \$ par an.

Coûts de mise en conformité pour les petites entreprises

L'augmentation du paiement des droits devrait être ressentie principalement par les titulaires de licences dont les systèmes utilisent le spectre de manière moins efficace. Si une petite entreprise exploite uniquement des systèmes qui utilisent le spectre de manière inefficace (par exemple en utilisant des équipements plus anciens), le nouveau modèle de droits entraînera très probablement une augmentation nette des droits de cette entreprise. On estime qu'environ 57 petites entreprises utiliseraient des équipements inefficaces. Le coût total pour ces petites entreprises est estimé à environ 73 471 \$ sur 10 ans, soit un coût annualisé de 10 460 \$.

Les titulaires de licences, y compris les petites entreprises, qui devraient voir leurs redevances augmenter, en seraient informés à l'avance. ISDE prévoit publier un outil de production de rapport sur l'impact des droits sur le compte facilement accessible au cours de l'automne 2020, afin que toutes les entreprises, y compris les petites, puissent obtenir facilement des estimations de l'évolution de leurs droits de licence. Les titulaires de licence ont également été informés de la date de mise en œuvre proposée dans le cadre de la décision sur les droits des systèmes point à point, qui a été publiée en juillet 2019. On s'attend à ce que ces entreprises soient en mesure d'apporter des ajustements à leurs systèmes pour tirer parti du nouveau modèle de droits et donc de se préparer bien avant la mise en œuvre proposée en avril 2021.

Avantages pour les petites entreprises

On estime qu'une majorité de petites entreprises titulaires de licences, environ 199, bénéficieraient considérablement de la réduction des droits causée par le nouveau

point-to-point fee model, especially in cases where they have point-to-point systems that use spectrum efficiently. The decrease in fees as a result of the new fee model is estimated to amount to up to about \$5,353,071 over 10 years or approximately \$762,156 annually.

Small businesses would also benefit from the greater flexibility in licensing durations. This flexibility may especially benefit small businesses that require a licence temporarily by allowing them to more accurately target their required licence duration. Benefits to licensees that are small businesses are expected to be felt primarily by local telecommunications service providers, but will also be shared by licensees across a range of other sectors such as broadcasting, energy production and delivery, resource extraction.

Conclusion

The small business lens analysis indicates that most licensees that are small businesses would benefit from the proposed amendments. The total cost per small business over the 10-year period of analysis is not significant and is estimated to amount to \$287 or \$41 annually.

This proposal is not expected to lead to disproportionate costs for small businesses in comparison to ISDE's other licensees. Prior to developing the proposed amendments, flexibilities for small business were considered in the development of the fee model to ensure that small businesses do not bear a disproportionate burden.

Small businesses were given the opportunity to take part in ISDE's Consultation on Point-to-Point Systems Fees. During the consultation process, fixed wireless access systems were scoped out of the new point-to-point fee model due in part to the disproportionately high fees that would result from the application of the new model. Fixed wireless access systems are often licensed to small businesses that provide wireless internet services in remote and rural areas of Canada. In addition, a prominent issue raised by small businesses was the need to incentivize smaller service providers to increase deployment of point-to-point systems in rural areas. This suggestion was considered and ultimately incorporated into the fee model by setting out discounted rates for links in rural and remote areas. In light of these actions and to preserve the effectiveness of the proposed amendments, no further flexibilities for small businesses have been built into the proposed amendments.

modèle de droits point à point, en particulier dans les cas où ils disposeraient de systèmes point à point qui utilisent efficacement le spectre. La diminution des droits résultant du nouveau modèle de droits est estimée à environ 5 353 071 \$ sur 10 ans, soit environ 762 156 \$ par an.

Les petites entreprises bénéficieraient également d'une plus grande flexibilité dans la durée des licences. Cette flexibilité pourrait être particulièrement bénéfique pour les petites entreprises qui ont besoin d'une licence temporaire, en leur permettant de cibler plus précisément la durée de la licence requise. Les avantages pour les petites entreprises titulaires de licences devraient être ressentis principalement par les fournisseurs de services de télécommunications locaux, mais ils seront également partagés par les titulaires de licences dans toute une série d'autres secteurs tels que la radiodiffusion, la production et la distribution d'électricité, l'extraction de ressources.

Conclusion

L'analyse de la lentille des petites entreprises indique que la plupart de celles titulaires de licence bénéficieraient des modifications proposées. Le coût total par petite entreprise sur la période d'analyse de 10 ans n'est pas significatif et il est estimé à 287 \$ ou 41 \$ par an.

Cette proposition ne devrait pas entraîner de coûts disproportionnés pour les petites entreprises par rapport aux autres titulaires de licence d'ISDE. Avant d'élaborer les modifications proposées, des mesures flexibles à l'intention des petites entreprises ont été prises en compte dans l'élaboration du modèle de droits afin de garantir que celles-ci n'aient pas à soutenir un fardeau disproportionné.

Les petites entreprises ont eu l'occasion de participer à la consultation d'ISDE sur les droits des systèmes point à point. Au cours du processus de consultation, les systèmes d'accès fixe sans fil ont été exclus du nouveau modèle de droits point à point, en partie à cause des droits disproportionnés qui résulteraient de l'application du nouveau modèle. Des licences pour exploiter des systèmes d'accès fixe sans fil sont souvent accordées aux petites entreprises qui fournissent des services Internet sans fil dans les régions rurales et éloignées du Canada. En outre, une question importante soulevée par les petites entreprises était la nécessité d'inciter les petits fournisseurs de services à accroître le déploiement des systèmes point à point dans les zones rurales. Cette suggestion a été examinée et finalement intégrée dans le modèle de droits en établissant des tarifs réduits s'appliquant aux liaisons dans les zones rurales et éloignées. À la lumière de ces actions et pour préserver l'efficacité des modifications proposées, aucune flexibilité supplémentaire pour les petites entreprises n'a été intégrée dans les modifications proposées.

The summary below shows the estimated total present value and annualized average total costs and benefits to small businesses associated with the proposed amendments.

Small business lens summary		
Number of small businesses impacted	256	
Number of years	10	
Base year for costing	2021–2022	
Compliance costs (Increase in Licence Fees)	Annualized Value	Present Value
TOTAL	\$10,460	\$73,471
TOTAL COST (all impacted small businesses)	\$10,460	\$73,471
Cost per small business	\$41	\$287
Benefits (reduction in licence fees)	Annualized Value	Present Value
TOTAL BENEFIT (all impacted small businesses)	\$762,156	\$5,353,071
BENEFIT per small business	\$20,910	\$2,977
NET BENEFIT	\$751,696	\$5,279,600

One-for-one rule

The one-for-one rule does not apply, as there is no incremental change in administrative burden. Although the changes in the fee model may lead to a change in the number of applications for radio licences, the proposal would not modify any of the actual administrative requirements, nor their scope.

Regulatory cooperation and alignment

Canada is not party to any international agreement whose obligations would need to be satisfied as part of implementing the proposed amendments.

The proposed amendments are not developed as part of a formal regulatory cooperation initiative. However, ISED examined the fee-setting methodologies of other countries, such as the U.S., the U.K. and Australia.

While many factors used to calculate fees in other countries are comparable, it is difficult to draw definitive conclusions on the international value of spectrum given the differences in each country's spectrum environment and market. Differences include demographics, geography, timing of fee initiatives, and relative competitiveness — all of which have a significant impact on the value of spectrum. Most regulators seek to reflect the utility of

Le résumé ci-dessous indique la valeur actualisée totale estimée et les coûts et avantages totaux moyens annualisés associés à la proposition.

Résumé de la lentille des petites entreprises		
Nombre de petites entreprises touchées	256	
Nombre d'années	10	
Année de référence pour les coûts	2021-2022	
Coûts de mise en conformité (augmentation des droits de licence)	Valeur annualisée	Valeur actualisée
TOTAL	10 460 \$	73 471 \$
COÛT TOTAL (toutes les petites entreprises touchées)	10 460 \$	73 471 \$
Coût par petite entreprise	41 \$	287 \$
Avantages (réduction des droits de licence)	Valeur annualisée	Valeur actualisée
AVANTAGE TOTAL (toutes les petites entreprises touchées)	762 156 \$	5 353 071 \$
AVANTAGE par petites entreprises	20 910 \$	2 977 \$
AVANTAGE NET	751 696 \$	5 279 600 \$

Règle du « un pour un »

La règle du « un pour un » ne s'applique pas parce qu'il n'y a pas de changement supplémentaire ajouté au fardeau administratif des entreprises. Bien que les modifications du modèle de droits puissent entraîner un changement dans le nombre de demandes de licences radio, la proposition ne modifierait aucune des exigences administratives actuelles ni leur portée.

Coopération en matière de réglementation et harmonisation des règlements

Le Canada n'est partie à aucun accord international dont les obligations devraient être satisfaites dans le cadre de la mise en œuvre des modifications proposées.

Ces dernières ne sont pas élaborées dans le cadre d'une initiative officielle de coopération réglementaire. Toutefois, ISDE a examiné les méthodes de fixation des frais d'autres pays, tels que les É.-U., le R.-U. et l'Australie.

Bien que de nombreux facteurs utilisés pour calculer les droits dans d'autres pays soient comparables, il est difficile de tirer des conclusions définitives sur la valeur internationale du spectre compte tenu des différences entre l'environnement et le marché du spectre de chaque pays. Les différences comprennent la démographie, la géographie, l'échéancier des initiatives de tarification et la compétitivité relative, qui ont tous des répercussions

spectrum in licence fees, but the approaches for accomplishing this vary considerably and are often affected by other historical and institutional factors.

In the area of spectrum management, ISED compares relatively closely with the regulators in the U.K. and Australia, where fees are used as a policy tool to promote efficient use of this resource and are directly linked to spectrum consumption. A description of fee-setting and the factors considered in designing the fees in these countries is presented in the background section.

The proposed consumption-based fee model for point-to-point systems in Canada would increase alignment between Canada and the U.K. and Australia. Canada's model will have unique base rates and frequency ranges reflective of its spectrum environment and market. Like Australia, Canada will now reflect spectrum availability in rural and remote areas by providing lower base rates. Reflecting spectrum availability in pricing by providing lower base rates in rural and remote areas is expected to encourage network deployment in these areas, ultimately facilitate greater connectivity and access to high quality wireless networks at competitive prices.

Strategic environmental assessment

In accordance with the *Cabinet Directive on the Environmental Assessment of Policy, Plan and Program Proposals*, a preliminary scan found no evidence that the proposed amendments would have any potential for impacts to the environment. Therefore, a strategic environmental assessment is not required.

Gender-based analysis plus

A preliminary gender-based analysis plus (GBA+) was conducted to determine if any group would be affected differently than others based on gender or other socio-economic characteristics. While the proposed amendments would improve the fee structure and are expected to bring benefits to rural areas and people living in those communities, there is no evidence that women, men or any socio-economic groups would be impacted differently or that there would be a disproportionate distribution of the benefits among groups. Thus, no GBA+ impacts have been identified for this proposal.

importantes sur la valeur du spectre. La majorité des organismes de réglementation veulent que les droits de licence reflètent la valeur du spectre, mais les approches pour y parvenir varient beaucoup et sont souvent influencées par d'autres facteurs historiques et institutionnels.

Dans le domaine de la gestion du spectre, ISDE se compare relativement étroitement aux organismes de réglementation du R.-U. et de l'Australie, où les droits sont utilisés comme outil stratégique pour promouvoir l'utilisation efficace de cette ressource et sont directement liés à la consommation du spectre. Une description de la fixation des droits et des facteurs pris en compte dans la conception des droits dans ces pays est présentée dans la section sur le contexte.

Le modèle proposé de droits fondé sur la consommation s'appliquant aux systèmes point à point au Canada permettrait de renforcer l'harmonisation entre le Canada, le R.-U. et l'Australie. Le modèle du Canada aura des tarifs de base et des gammes de fréquences uniques reflétant son environnement et son marché du spectre. Comme l'Australie, le Canada va désormais tenir compte de la disponibilité du spectre dans les zones rurales et reculées en proposant des tarifs de base plus bas. La prise en compte de la disponibilité du spectre dans la tarification en offrant des tarifs de base plus bas dans les zones rurales et éloignées devrait encourager le déploiement de réseaux dans ces zones, et faciliter à terme une plus grande connectivité et l'accès à des réseaux sans fil de grande qualité à des prix concurrentiels.

Évaluation environnementale stratégique

Conformément à la *Directive du Cabinet sur l'évaluation environnementale des projets de politiques, de plans et de programmes*, une analyse préliminaire n'a pas permis de trouver d'éléments prouvant que les modifications proposées auraient des incidences potentielles sur l'environnement. Par conséquent, une évaluation environnementale stratégique n'est pas requise.

Analyse comparative entre les sexes plus

Une analyse préliminaire fondée sur le sexe plus (ACS+) a été menée pour déterminer si un groupe serait affecté différemment des autres en fonction de son sexe ou d'autres caractéristiques socioéconomiques. Bien que les modifications proposées améliorent la structure des droits et soient censées apporter des avantages aux zones rurales et aux personnes vivant dans ces collectivités, rien ne prouve que les femmes, les hommes ou tout groupe socioéconomique seraient touchés différemment ou qu'il y aurait une répartition disproportionnée des avantages entre les groupes. Par conséquent, aucune incidence relative à l'ACS+ n'a été décelée pour cette proposition.

Implementation, compliance and enforcement, and service standards

Implementation

If they are made, the proposed amendments are planned to come into force on April 1, 2021. To ensure the effective and efficient implementation of these proposed amendments, ISED would modify its licensing and fee calculation system, make the required updates to its external and internal policies and procedures, appropriately train its staff, and engage in external communications to ensure its stakeholders are informed of the proposed changes.

The performance measurement of the proposed new fee model for point-to-point systems would be incorporated into ISED's annual [Departmental Fees Report](#), as required by the *Service Fees Act*.

Compliance and enforcement

As the proposed regulatory amendments would modernize licencing fees, they would not alter ISED's existing compliance and enforcement strategies. Further information on compliance and enforcement can be found on [ISED's website](#).

Service standards

ISED has a number of established standards related to the processing of licences once a complete application has been submitted. The processing of licences associated with the new fee model for point-to-point systems will have the same service standards as fixed microwave radio stations, 28 calendar days (four weeks) for new licences or for amending existing licences subject to frequency coordination with users in some cases. For more information on service standards, refer to ISED's [Spectrum Management Service Standards web page](#).

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Mise en œuvre, conformité et application de la loi, et normes de service

Mise en œuvre

Si elles sont adoptées, les modifications proposées devraient entrer en vigueur le 1^{er} avril 2021. Pour assurer la mise en œuvre efficace de ces modifications proposées, ISDE modifierait son système de délivrance de licences et de calcul des droits, procéderait aux mises à jour requises de ses politiques et procédures externes et internes, formerait son personnel de manière appropriée et s'engagerait dans des communications externes pour s'assurer que ses intervenants sont informés des changements proposés.

La mesure du rendement du nouveau modèle de droits proposé pour les systèmes point à point serait intégrée dans le [Rapport ministériel sur les frais](#) annuel d'ISDE, comme l'exige la *Loi sur les frais de service*.

Conformité et application de la loi

Comme les modifications réglementaires proposées moderniseraient les droits de licence, elles ne modifieraient pas les stratégies actuelles de conformité et d'application d'ISDE. De plus amples informations sur le respect et l'application de la réglementation sont disponibles sur le [site Web d'ISDE](#).

Normes de service

ISDE a établi un certain nombre de normes relatives au traitement des licences une fois qu'une demande complète a été soumise. Le traitement des licences associées au nouveau modèle de droits s'appliquant aux systèmes point à point aura les mêmes normes de service que les stations radio fixes à micro-ondes, 28 jours civils (quatre semaines) pour obtenir une nouvelle licence ou pour effectuer la modification de licences existantes, sous réserve d'une coordination des fréquences avec les utilisateurs dans certains cas. Pour plus d'informations sur les normes de service, consulter la page Web, [Spectre et télécommunications – Normes de service](#) d'ISDE.

Personne-ressource

Line Perron
Directrice
Radiodiffusion, coordination et planification
Direction générale des opérations de la gestion du spectre
Secteur du spectre et des télécommunications
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PROPOSED REGULATORY TEXT

Notice is given that the Governor in Council, pursuant to section 6^a of the *Radiocommunication Act*^b, proposes to make the annexed *Regulations Amending the Radiocommunication Regulations*.

Interested persons may make representations concerning the proposed Regulations within 30 days after the date of publication of this notice. All such representations must cite the *Canada Gazette*, Part I, and the date of publication of this notice, and be addressed to Line Perron, Director, Broadcast, Coordination and Planning Directorate, Spectrum Management Operations Branch, Innovation, Science and Economic Development Canada, 235 Queen Street, 6th Floor, Ottawa, Ontario K1A 0H5 (tel.: 343-291-3441; email: ic.spectrumregulatory-reglementationduspectre.ic@canada.ca).

Ottawa, December 10, 2020

Julie Adair
Assistant Clerk of the Privy Council

Regulations Amending the Radiocommunication Regulations**Amendments**

1 The long title of the *Radiocommunication Regulations*¹ is replaced by the following:

Radiocommunication Regulations

2 Section 1 of the Regulations and the heading before it are repealed.

3 (1) The definition *Minister* in section 2 of the Regulations is repealed.

(2) Section 2 of the Regulations is amended by adding the following in alphabetical order:

fixed point-to-point service means a radiocommunication service that provides for communications on radio frequencies above 30 MHz between two fixed stations that are each authorized to operate at a specific point, other than fixed stations that also operate within the land mobile service on the same radio frequency as the one assigned to the land mobile service. (*service point à point fixe*)

^a S.C. 1989, c. 17, s. 4

^b R.S., c. R-2; S.C. 1989, c. 17, s. 2

¹ SOR/96-484

PROJET DE RÉGLEMENTATION

Avis est donné que la gouverneure en conseil, en vertu de l'article 6^a de la *Loi sur la radiocommunication*^b, se propose de prendre le *Règlement modifiant le Règlement sur la radiocommunication*, ci-après.

Les intéressés peuvent présenter leurs observations au sujet du projet de règlement dans les trente jours suivant la date de publication du présent avis. Ils sont priés d'y citer la Partie I de la *Gazette du Canada*, ainsi que la date de publication, et d'envoyer le tout à Line Perron, directrice, direction de la radiodiffusion, coordination et planification, direction générale des opérations de la gestion du spectre, Innovation, Sciences et Développement économique Canada, 235, rue Queen, 6^e étage, Ottawa (Ontario) K1A 0H5 (tél. : 343-291-3441; courriel : ic.spectrumregulatory-reglementationduspectre.ic@canada.ca).

Ottawa, le 10 décembre 2020

La greffière adjointe du Conseil privé
Julie Adair

Règlement modifiant le Règlement sur la radiocommunication**Modifications**

1 Le titre intégral du *Règlement sur la radiocommunication*¹ est remplacé par ce qui suit :

Règlement sur la radiocommunication

2 L'article 1 du même règlement et l'intertitre le précédant sont abrogés.

3 (1) La définition de *ministre*, à l'article 2 du même règlement, est abrogée.

(2) L'article 2 du même règlement est modifié par adjonction, selon l'ordre alphabétique, de ce qui suit :

licence radio renouvelable Licence radio qui est délivrée pour une période d'un an ou moins, qui expire le 31 mars et qui peut être renouvelée pour une période d'un an. (*renewable radio licence*)

^a L.C. 1989, ch. 17, art. 4

^b L.R., ch. R-2; L.C. 1989, ch. 17, art. 2

¹ DORS/96-484

renewable radio licence means a radio licence that is issued for a period of one year or less, that expires on March 31 and that can be renewed for a period of one year. (*licence radio renouvelable*)

temporary radio licence means a radio licence that is issued for a period of 11 months or less and that cannot be renewed. (*licence radio temporaire*)

4 Paragraph 3(a) of the Regulations is amended by striking out “and” at the end of subparagraph (viii), by adding “and” at the end of subparagraph (ix) and by adding the following after subparagraph (ix):

(x) fixed point-to-point service; and

5 (1) The definitions *broadband personal communications services radio frequencies* and *cellular mobile radio frequencies* in section 55 of the Regulations are repealed.

(2) Section 55 of the Regulations is amended by adding the following in alphabetical order:

link means the spectrum dedicated to an assigned radio frequency that is used to communicate between two stations. (*liaison*)

remote area any area not identified as a “Metro area”, “Urban area” or “Rural area” on the map set out in Annex B of the decision of the Department of Industry entitled *DGSO-006-19, Decision on a New Set of Service Areas for Spectrum Licensing* published in July 2019. (*région éloignée*)

rural area means any area identified as a “Rural area” on the map set out in Annex B of the decision of the Department of Industry entitled *DGSO-006-19, Decision on a New Set of Service Areas for Spectrum Licensing*, published in July 2019. (*région rurale*)

urban area means any area identified as an “Urban area” or “Metro area” on the map set out in Annex B of the decision of the Department of Industry entitled *DGSO-006-19, Decision on a New Set of Service Areas for Spectrum Licensing*, published in July 2019. (*région urbaine*)

licence radio temporaire Licence radio qui est délivrée pour une période de onze mois ou moins et qui ne peut être renouvelé. (*temporary radio licence*)

service point à point fixe Service de radiocommunication qui sert à assurer les communications, sur des radiofréquences supérieures à 30 MHz, entre deux stations fixes qui sont chacune autorisée à être exploitée à un endroit précis, à l’exception de stations également utilisées pour le service mobile terrestre sur la même radiofréquence que celle assignée au service mobile terrestre. (*fixed point-to-point service*)

4 L’alinéa 3a) du même règlement est modifié par adjonction, après le sous-alinéa (ix), de ce qui suit :

(x) service point à point fixe;

5 (1) Les définitions de *radiofréquences des services de communications personnelles à large bande* et *radiofréquences du service mobile cellulaire*, à l’article 55 du même règlement, sont abrogées.

(2) L’article 55 du même règlement est modifié par adjonction, selon l’ordre alphabétique, de ce qui suit :

liaison Spectre dédié à une radiofréquence assignée qui est utilisée pour la communication entre deux stations. (*link*)

région éloignée Région qui n’est pas délimitée en tant que « zone métropolitaine », « zone urbaine » ou « zone rurale » sur la carte figurant à l’annexe B des décisions du ministère de l’Industrie numéro DGSO-006-19 intitulées *Décisions sur un nouvel ensemble de zones de service pour y effectuer la délivrance de licences de spectre*, publiées en juillet 2019. (*remote area*)

région rurale Région délimitée en tant que « zone rurale » sur la carte figurant à l’annexe B des décisions du ministère de l’Industrie numéro DGSO-006-19 intitulées *Décisions sur un nouvel ensemble de zones de service pour y effectuer la délivrance de licences de spectre*, publiées en juillet 2019. (*rural area*)

région urbaine Région délimitée en tant que « zone urbaine » ou « zone métropolitaine » sur la carte figurant à l’annexe B des décisions du ministère de l’Industrie numéro DGSO-006-19 intitulées *Décisions sur un nouvel ensemble de zones de service pour y effectuer la délivrance de licences de spectre*, publiées en juillet 2019. (*urban area*)

6 Section 56 of the Regulations is replaced by the following:

56 The radio licence fee payable in respect of a radio licence that is issued in respect of radio apparatus installed in a station and that authorizes the use of certain frequencies is

(a) in the case of a renewable radio licence, the annual fee for the period from April 1 to March 31 of the following year, that is payable in advance on March 31 of each year and that is the fee set out in section 61.1 or 65.1 or in column IV of Parts I to IV, V and VI of Schedule III;

(b) in the case of either a renewable radio licence or a temporary radio licence that is valid for a period of more than 30 days, the monthly fee set out in section 61.1 or 65.1 or in column III of Parts I to IV, V and VI of Schedule III multiplied by the number of months for which the licence is valid; or

(c) in the case of either a renewable radio licence or a temporary radio licence that is valid for a period of 30 days or less, the monthly fee set out in section 61.1 or 65.1 or in column III of Parts I to IV, V and VI of Schedule III.

7 (1) Subsection 61(1) of the Regulations is replaced by the following:

61 (1) Subject to subsection (2), the radio licence fee payable by a radiocommunication user in respect of radio apparatus installed in a fixed station, other than a fixed station that operates in the land mobile service or a fixed station described in section 61.1 or 62, is for each transmitter and each receiver installed at the station the sum of the applicable fees set out in Part II of Schedule III that corresponds to the number of telephone channels per radio frequency assigned to that transmitter or receiver.

(2) The portion of subsection 61(2) of the Regulations before paragraph (a) is replaced by the following:

(2) If a fixed station, other than a fixed station described in section 61.1, 62 or 63, communicates solely on one transmit radio frequency and one receive radio frequency that are not manually selected with another fixed station, other than a fixed station in the land mobile service, operated for the automatic reception and retransmission of radiocommunications within a communication system that does not accept traffic from or deliver traffic to external points by means other than radio, the radio licence fee payable is the sum of

6 L'article 56 du même règlement est remplacé par ce qui suit :

56 Le droit à payer pour une licence radio visant un appareil radio installé dans une station et autorisant l'utilisation de certaines fréquences correspond :

a) s'agissant d'une licence radio renouvelable, au droit annuel qui est payable d'avance le 31 mars, pour la période du 1er avril au 31 mars de l'année suivante, et dont le montant est prévu aux articles 61.1 ou 65.1 ou figure à la colonne IV des parties I à IV, V et VI de l'annexe III;

b) s'agissant d'une licence radio renouvelable ou d'une licence radio temporaire dont la période de validité est de plus de trente jours, au droit mensuel dont le montant est prévu aux articles 61.1 ou 65.1 ou figure à la colonne III des parties I à IV, V et VI de l'annexe III multiplié par le nombre de mois pour lequel la licence est valide;

c) s'agissant d'une licence radio renouvelable ou d'une licence radio temporaire dont la période de validité est d'au plus trente jours, au droit mensuel dont le montant est prévu aux articles 61.1 ou 65.1 ou figure à la colonne III des parties I à IV, V et VI de l'annexe III.

7 (1) Le paragraphe 61(1) du même règlement est remplacé par ce qui suit :

61 (1) Sous réserve du paragraphe (2), le droit de licence radio à payer par l'utilisateur radio à l'égard d'un appareil radio installé dans une station fixe, autre qu'une station fixe du service mobile terrestre ou une station fixe visée aux articles 61.1 ou 62, est, pour chaque émetteur et chaque récepteur de la station, la somme des droits applicables prévus à la partie II de l'annexe III, établis en fonction du nombre de voies téléphoniques par radiofréquence assignée à l'émetteur ou au récepteur.

(2) Le passage du paragraphe 61(2) du même règlement précédant l'alinéa a) est remplacé par ce qui suit :

(2) Lorsqu'une station fixe, autre qu'une station fixe visée aux articles 61.1, 62 ou 63, communique exclusivement sur une seule radiofréquence d'émission et une seule radiofréquence de réception, sintonisées de façon automatique, avec une autre station fixe, autre qu'une station fixe du service mobile terrestre, qui est exploitée pour la réception et la retransmission automatiques de radiocommunications au sein d'un système de communication et qui n'accepte pas de trafic en provenance ou à destination de points extérieurs autrement que par radio, le droit de licence radio à payer est la somme des droits suivants :

8 The Regulations are amended by adding the following after section 61:

Fixed Point-to-Point Service

61.1 (1) The radio licence fee payable by a radiocommunication user in respect of radio apparatus installed in a fixed station that is part of the fixed point-to-point service consisting of two stations located in an urban area or one station located in an urban area and another in a rural area is, for each link authorized by the licence, the applicable base rate set out in item 1 of Part IV.1 of Schedule III multiplied by the assigned spectrum, in MHz, that is set out in the licence.

(2) The radio licence fee payable by a radiocommunication user in respect of radio apparatus installed in a fixed station that is part of the fixed point-to-point service consisting of two stations located in a rural area is, for each link authorized by the licence, the applicable base rate set out in item 2 of Part IV.1 of Schedule III multiplied by the assigned spectrum, in MHz, that is set out in the licence.

(3) The radio licence fee payable by a radiocommunication user in respect of radio apparatus installed in a fixed station that is part of the fixed point-to-point service consisting of at least one station located in a remote area is, for each link authorized by the licence, the applicable base rate set out in item 3 of Part IV.1 of Schedule III multiplied by the assigned spectrum, in MHz, that is set out in the licence.

(4) The minimum radio licence fee payable by a radiocommunication user in respect of radio apparatus installed in a fixed station that is part of the fixed point-to-point service is, for each link authorized by the licence,

(a) if the two stations are located in an urban area or one station is located in an urban area and the other is located in a rural area, the applicable fee set out in item 1 of Part IV.2 of Schedule III;

(b) if the two stations are located in a rural area, the applicable fee set out in item 2 of Part IV.2 of Schedule III; and

(c) if at least one station is located in a remote area, the applicable fee set out in item 3 of Part IV.2 of Schedule III.

9 Paragraphs 62(1)(b) and (c) of the Regulations are replaced by the following:

(b) communicate on radio frequencies at or below 30 MHz; or

(c) communicate on radio frequencies assigned to a radiocommunication service provider and for which

8 Le même règlement est modifié par adjonction, après l'article 61, de ce qui suit :

Service point à point fixe

61.1 (1) Le droit de licence radio à payer par l'utilisateur radio à l'égard d'un appareil radio installé dans une station fixe faisant partie du service point à point fixe dont les deux stations sont situées en région urbaine ou dont une station est située en région urbaine et l'autre en région rurale est, pour chaque liaison autorisée par la licence, le taux de référence applicable prévu à l'article 1 de la partie IV.1 de l'annexe III, multiplié par le spectre assigné prévu par la licence, en MHz.

(2) Le droit de licence radio à payer par l'utilisateur radio à l'égard d'un appareil radio installé dans une station fixe faisant partie du service point à point fixe dont les deux stations sont situées en région rurale est, pour chaque liaison autorisée par la licence, le taux de référence applicable prévu à l'article 2 de la partie IV.1 de l'annexe III, multiplié par le spectre assigné prévu par la licence, en MHz.

(3) Le droit de licence radio à payer par l'utilisateur radio à l'égard d'un appareil radio installé dans une station fixe faisant partie du service point à point fixe dont au moins une station est située en région éloignée est, pour chaque liaison autorisée par la licence, le taux de référence applicable prévu à l'article 3 de la partie IV.1 de l'annexe III, multiplié par le spectre assigné prévu par la licence, en MHz.

(4) Le droit de licence radio minimum à payer par l'utilisateur radio à l'égard d'un appareil radio installé dans une station fixe faisant partie du service point à point fixe est, pour chaque liaison autorisée par la licence :

a) lorsque les deux stations sont situées en région urbaine ou lorsqu'une station est située en région urbaine et l'autre en région rurale, le droit applicable prévu à l'article 1 de la partie IV.2 de l'annexe III;

b) lorsque les deux stations sont situées en région rurale, le droit applicable prévu à l'article 2 de la partie IV.2 de l'annexe III;

c) lorsqu'au moins une station est située en région éloignée, le droit applicable prévu à l'article 3 de la partie IV.2 de l'annexe III.

9 Les alinéas 62(1)(b) et c) du même règlement sont remplacés par ce qui suit :

b) communication sur des radiofréquences inférieures ou égales à 30 MHz;

c) communication sur des radiofréquences assignées à un fournisseur de services radio et pour lesquelles

the radiocommunication user does not come under the authority of the radiocommunication service provider's licence as a subscriber.

10 Section 65 of the Regulations is replaced by the following:

65 The radio licence fee payable by a radiocommunication service provider in respect of radio apparatus installed in a fixed station, other than a fixed station referred to in any of sections 65.1 to 71, is for each transmitter and each receiver installed at the station the sum of the applicable fees set out in Part II of Schedule III that corresponds to the number of telephone channels per radio frequency assigned to that transmitter or receiver.

Fixed Point-to-Point Service

65.1 (1) The radio licence fee payable by a radiocommunication service provider in respect of radio apparatus installed in a fixed station that is part of the fixed point-to-point service consisting of two stations located in an urban area or one station located in an urban area and another in a rural area is, for each link authorized by the licence, the applicable base rate set out in item 1 of Part IV.1 of Schedule III multiplied by the assigned spectrum, in MHz, that is set out in the licence.

(2) The radio licence fee payable by a radiocommunication service provider in respect of radio apparatus installed in a fixed station that is part of the fixed point-to-point service consisting of two stations located in a rural area is, for each link authorized by the licence, the applicable base rate set out in item 2 of Part IV.1 of Schedule III multiplied by the assigned spectrum, in MHz, that is set out in the licence.

(3) The radio licence fee payable by a radiocommunication service provider in respect of radio apparatus installed in a fixed station that is part of the fixed point-to-point service consisting of at least one station located in a remote area is, for each link authorized by the licence, the applicable base rate set out in item 3 of Part IV.1 of Schedule III multiplied by the assigned spectrum, in MHz, that is set out in the licence.

(4) The minimum radio licence fee payable by a radiocommunication provider in respect of radio apparatus installed in a fixed station that is part of the fixed point-to-point service is, for each link authorized by the licence,

(a) if the two stations are located in an urban area or one station is located in an urban area and the other is located in a rural area, the applicable fee set out in item 1 of Part IV.2 of Schedule III;

(b) if the two stations are located in a rural area, the applicable fee set out in item 2 of Part IV.2 of Schedule III; and

l'utilisateur radio, en tant qu'abonné, n'est pas assujéti à la licence de ce fournisseur.

10 L'article 65 du même règlement est remplacé par ce qui suit :

65 Le droit de licence radio à payer par le fournisseur de services radio à l'égard d'un appareil radio installé dans une station fixe, autre qu'une station fixe visée aux articles 65.1 à 71, est, pour chaque émetteur et chaque récepteur de la station, la somme des droits applicables prévus à la partie II de l'annexe III, établis en fonction du nombre de voies téléphoniques par radiofréquence assignée à l'émetteur ou au récepteur.

Service point à point fixe

65.1 (1) Le droit de licence radio à payer par le fournisseur de services radio à l'égard d'un appareil radio installé dans une station fixe faisant partie du service point à point fixe dont les deux stations sont situées en région urbaine ou dont une station est située en région urbaine et l'autre en région rurale est, pour chaque liaison autorisée par la licence, le taux de référence applicable prévu à l'article 1 de la partie IV.1 de l'annexe III, multiplié par le spectre assigné prévu par la licence, en MHz.

(2) Le droit de licence radio à payer par le fournisseur de services radio à l'égard d'un appareil radio installé dans une station fixe faisant partie du service point à point fixe dont les deux stations sont situées en région rurale est, pour chaque liaison autorisée par la licence, le taux de référence applicable prévu à l'article 2 de la partie IV.1 de l'annexe III, multiplié par le spectre assigné prévu par la licence, en MHz.

(3) Le droit de licence radio à payer par le fournisseur de services radio à l'égard d'un appareil radio installé dans une station fixe faisant partie du service point à point fixe dont au moins une station est située en région éloignée est, pour chaque liaison autorisée par la licence, le taux de référence applicable prévu à l'article 3 de la partie IV.1 de l'annexe III, multiplié par le spectre assigné prévu par la licence, en MHz.

(4) Le droit de licence radio minimum à payer par le fournisseur de services radio à l'égard d'un appareil radio installé dans une station fixe faisant partie du service point à point fixe est, pour chaque liaison autorisée par la licence :

a) lorsque les deux stations sont situées en région urbaine ou lorsqu'une station est située en région urbaine et l'autre en région rurale, le droit applicable prévu à l'article 1 de la partie IV.2 de l'annexe III;

b) lorsque les deux stations sont situées en région rurale, le droit applicable prévu à l'article 2 de la partie IV.2 de l'annexe III;

(c) if at least one station is located in a remote area, the applicable fee set out in item 3 of Part IV.2 of Schedule III.

c) lorsqu'au moins une station est située en région éloignée, le droit applicable prévu à l'article 3 de la partie IV.2 de l'annexe III.

11 The heading before section 69 and sections 69 to 70 of the Regulations are repealed.

11 L'intertitre précédant l'article 69 et les articles 69 à 70 du même règlement sont abrogés.

12 Section 74 of the Regulations is repealed.

12 L'article 74 du même règlement est abrogé.

13 The heading of Part II of Schedule III to the Regulations is replaced by the following:

13 L'intertitre de la partie II de l'annexe III du même règlement est remplacé par ce qui suit :

Fee Schedule Applicable for Fixed Stations that Communicate with other Fixed Stations or Space Stations

Droits applicables pour les stations fixes communiquant avec d'autres stations fixes ou des stations spatiales

14 Schedule III to the Regulations is amended by adding the following after Part IV:

14 L'annexe III du même règlement est modifiée par adjonction, après la partie IV, de ce qui suit :

PART IV.1

PARTIE IV.1

(Subsections 61.1(1) to (3) and 65.1(1) to (3))

(paragraphe 61.1(1) à (3) et 65.1(1) à (3))

Fee Schedule Applicable for Stations in the Fixed Point-to-Point Service

Droits applicables pour les stations du service point à point fixe

Item	Column I Area and Assigned Radio Frequency	Column II Monthly Base Rate (\$/MHz)	Column III Annual Base Rate (\$/MHz)
1	Urban Area		
	(a) ≤ 890 MHz	229.17	2,750.00
	(b) > 890 and ≤ 960 MHz	11.50	138.00
	(c) > 960 and ≤ 4200 MHz	3.75	45.00
	(d) > 4.2 and ≤ 8.5 GHz	2.83	34.00
	(e) > 8.5 and ≤ 15.35 GHz	2.00	24.00
	(f) > 15.35 and ≤ 24.25 GHz	1.33	16.00
	(g) > 24.25 and ≤ 52.6 GHz	0.83	10.00
	(h) > 52.6 GHz	0.04	0.50
2	Rural Area		
	(a) ≤ 890 MHz	183.33	2,200.00
	(b) > 890 and ≤ 960 MHz	9.20	110.40
	(c) > 960 and ≤ 4200 MHz	3.00	36.00

Article	Colonne I Région et radiofréquences assignées	Colonne II Taux de référence mensuel (\$/MHz)	Colonne III Taux de référence annuel (\$/MHz)
1	Région urbaine		
	a) ≤ 890 MHz	229,17	2 750,00
	b) > 890 et ≤ 960 MHz	11,50	138,00
	c) > 960 et ≤ 4200 MHz	3,75	45,00
	d) > 4,2 et ≤ 8,5 GHz	2,83	34,00
	e) > 8,5 et ≤ 15,35 GHz	2,00	24,00
	f) > 15,35 et ≤ 24,25 GHz	1,33	16,00
	g) > 24,25 et ≤ 52,6 GHz	0,83	10,00
	h) > 52,6 GHz	0,04	0,50
2	Région rurale		
	a) ≤ 890 MHz	183,33	2 200,00
	b) > 890 et ≤ 960 MHz	9,20	110,40
	c) > 960 et ≤ 4200 MHz	3,00	36,00

Item	Column I Area and Assigned Radio Frequency	Column II Monthly Base Rate (\$/MHz)	Column III Annual Base Rate (\$/MHz)
	(d) > 4.2 and ≤ 8.5 GHz	2.27	27.20
	(e) > 8.5 and ≤ 15.35 GHz	1.60	19.20
	(f) > 15.35 and ≤ 24.25 GHz	1.07	12.80
	(g) > 24.25 and ≤ 52.6 GHz	0.67	8.00
	(h) > 52.6 GHz	0.03	0.40
3	Remote Area		
	(a) ≤ 890 MHz	114.58	1,375.00
	(b) > 890 and ≤ 960 MHz	5.75	69.00
	(c) > 960 and ≤ 4200 MHz	1.88	22.50
	(d) > 4.2 and ≤ 8.5 GHz	1.42	17.00
	(e) > 8.5 and ≤ 15.35 GHz	1.00	12.00
	(f) > 15.35 and ≤ 24.25 GHz	0.67	8.00
	(g) > 24.25 and ≤ 52.6 GHz	0.42	5.00
	(h) > 52.6 GHz	0.02	0.25

Article	Colonne I Région et radiofréquences assignées	Colonne II Taux de référence mensuel (\$/MHz)	Colonne III Taux de référence annuel (\$/MHz)
	d) > 4,2 et ≤ 8,5 GHz	2,27	27,20
	e) > 8,5 et ≤ 15,35 GHz	1,60	19,20
	f) > 15,35 et ≤ 24,25 GHz	1,07	12,80
	g) > 24,25 et ≤ 52,6 GHz	0,67	8,00
	h) > 52,6 GHz	0,03	0,40
3	Région éloignée		
	a) ≤ 890 MHz	114,58	1 375,00
	b) > 890 et ≤ 960 MHz	5,75	69,00
	c) > 960 et ≤ 4200 MHz	1,88	22,50
	d) > 4,2 et ≤ 8,5 GHz	1,42	17,00
	e) > 8,5 et ≤ 15,35 GHz	1,00	12,00
	f) > 15,35 et ≤ 24,25 GHz	0,67	8,00
	g) > 24,25 et ≤ 52,6 GHz	0,42	5,00
	h) > 52,6 GHz	0,02	0,25

PART IV.2

(Subsections 61.1(4) and 65.1(4))

Minimum Fee Schedule Applicable for Stations in the Fixed Point-to-Point Service

Item	Column I Area	Column II Minimum Monthly Fee (\$)	Column III Minimum Annual Fee (\$)
1	Urban Area	5.83	70.00
2	Rural Area	4.67	56.00
3	Remote Area	2.92	35.00

15 Items 4 and 5 of Part V of Schedule III to the Regulations are repealed.

16 Part VII of Schedule III to the Regulations is repealed.

Coming into Force

17 These Regulations come into force on April 1, 2021, but if they are registered after that day, they come into force on the day on which they are registered.

PARTIE IV.2

(paragaphes 61.1(4) et 65.1(4))

Droits minimums applicables pour les stations du service point à point fixe

Article	Colonne I Région	Colonne II Droit mensuel minimum (\$)	Colonne III Droit annuel minimum (\$)
1	Région urbaine	5,83	70,00
2	Région rurale	4,67	56,00
3	Région éloignée	2,92	35,00

15 Les articles 4 et 5 de la partie V de l'annexe III du même règlement sont abrogés.

16 La partie VII de l'annexe III du même règlement est abrogée.

Entrée en vigueur

17 Le présent règlement entre en vigueur le 1^{er} avril 2021 ou, si elle est postérieure, à la date de son enregistrement.

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* Cet avis a déjà été publié.